

## Cases related to Non-Compliance of BSE listed top 100 Companies against NVGs

S.No.	Company	Sector	Basic detail of the complaint	Nature of complaint	Related Principle	Date of publication of article	Article Source	Source link	Company Response*	Whether the Company transparently discloses the information pertaining to
1	Colgate-Palmolive (India) Ltd.	FMCG	A study by the Delhi Institute of Pharmaceutical Sciences and Research found that many of the toothpaste manufacturers are adulterating toothpastes and toothpowders with high quantity of nicotine. Some of these brands were - Colgate Herbal, Himalaya, Neem paste, Neem Tulsi, RA Thermoseal, Sensoform and Stoline - were found to contain nicotine. Colgate Herbal and Neem Tulsi, also a herbal product, had 18 and 10 mg of nicotine, which is equivalent to the quantity found in nine and five cigarettes respectively.	Adulteration of products.	Principle 2 & 5	September 11, 2011	India Today	<a href="http://indiatoday.in/story/toothpastes-contain-cancer-causing-nicotine-study/1/150836.html">http://indiatoday.in/story/toothpastes-contain-cancer-causing-nicotine-study/1/150836.html</a>	No response from the company	No
2	Dabur India Ltd.	FMCG	ASCI's Consumer Complaints Council (CCC) ruled that 105 of the 146 ads for October 2014 it evaluated had violated the self-regulation code. (More detail wasn't given in the article).	Violation of Self regulation code.	Principle 9	December 10, 2014	Campaign India	<a href="http://www.campaignindia.in/default.aspx/Video/Article/392110,asci-rules-against-105-ads-that-violated-code-in-october-2014.aspx">http://www.campaignindia.in/default.aspx/Video/Article/392110,asci-rules-against-105-ads-that-violated-code-in-october-2014.aspx</a>	No Response	No
3	Dabur India Ltd.	FMCG	The Baddi-based unit of Dabur India Limited has been found violating employment norms laid down by the state government. It has neither complied with the mandatory norm of granting 70 per cent employment to Himachalis nor the employees are provided with identity cards.	Violation of Employment norms.	Principle 3 & 5	April 30, 2011	Tribune India, Chandigarh	<a href="http://www.tribuneindia.com/2011/20110501/himachal.htm#2">http://www.tribuneindia.com/2011/20110501/himachal.htm#2</a>	No Response	No

4	Canara Bank	Finance	Canara Bank has had to withdraw a recently introduced recruitment form that had questions seeking health details of women candidates, including their menstrual cycle and pregnancy. The form, which was to be furnished by the candidate before the selection, asked for details such as the date of the last menstrual period, evidence of pregnancy and history of diseases of uterus, cervix, ovaries and breasts.	Violation of human rights and gender discrimination	Principle 3 & 5	July 10, 2014	The times of India	<a href="http://timesofindia.indiatimes.com/india/Canara-Bank-decides-to-play-gynaecologist-sparks-outrage/articleshow/38095677.cms">http://timesofindia.indiatimes.com/india/Canara-Bank-decides-to-play-gynaecologist-sparks-outrage/articleshow/38095677.cms</a>	The company has withdrawn the format	No
5	Canara Bank	Finance	The Reserve Bank of India has initiated an inquiry into how 104 branches of 21 major banks in Noida got permissions to start operations from residential plots in clear violation of Noida authority's by-laws. These branches were ordered by the Supreme Court on December 5 last year to vacate the premises or face sealing action.	Violation of Noida authority's by-laws	Principle 1	April 4, 2012	Economic Times	<a href="http://articles.economictimes.indiatimes.com/2012-04-04/news/31287641_1_icici-bank-hdfc-bank-axis-bank">http://articles.economictimes.indiatimes.com/2012-04-04/news/31287641_1_icici-bank-hdfc-bank-axis-bank</a>	No Response	No
6	Canara Bank	Finance	Irda has slapped a penalty of Rs 31 lakh on Canara, HSBC, Oriental Bank of Commerce, Life Insurance Company and Rs 5 lakh on Anand Rathi Insurance Brokers for violating regulatory norms. Irda has penalised Canara HSBC Oriental Bank of Commerce Life Insurance Company on charges including, delay in payment of claims, non-adherence to product specifications and violating licensing of corporate agents norms.	Violation of Regulatory Norms	Principle 1	December 18, 2014	Economic Times	<a href="http://articles.economictimes.indiatimes.com/2014-12-18/news/57196601_1_irda-insurance-regulatory-5-lakh">http://articles.economictimes.indiatimes.com/2014-12-18/news/57196601_1_irda-insurance-regulatory-5-lakh</a>	No Response	No

7	Canara Bank	Finance	RBI fined for violation of anti-money laundering norms. Among public sector lenders, State Bank of India, Bank of Baroda, Bank of India, Canara Bank, Central Bank of India, and Indian Overseas Bank have been imposed a monetary penalty of Rs. 3 crore each	Violation of anti-money laundering norms	Principle 1	July 15, 2015	The Hindu	<a href="http://www.thehindubusinessline.com/banking/rbi-fines-22-banks-for-violating-antimoney-laundering-norms/article4917197.ece">http://www.thehindubusinessline.com/banking/rbi-fines-22-banks-for-violating-antimoney-laundering-norms/article4917197.ece</a>	No Response	No
8	Ranbaxy Laboratories Ltd.	Healthcare	The U.S. Food and Drug Administration notified Ranbaxy Laboratories, Ltd., that it is prohibited from manufacturing and distributing active pharmaceutical ingredients (APIs) from its facility in Toansa, India, for FDA-regulated drug products. The Toansa facility is subject to certain terms of a consent decree of permanent injunction entered against Ranbaxy in January 2012. The decree contains, among other things, provisions to ensure compliance with current good manufacturing practice (CGMP) requirements at Ranbaxy facilities in Paonta Sahib and Dewas, India, as well as provisions to address data integrity issues at those facilities.	Manufacturing Violations	Principle 2 & 5	January 23, 2014	FDA	<a href="http://www.fda.gov/NewsEvents/Newsroom/PressAnnouncements/ucm382736.htm">http://www.fda.gov/NewsEvents/Newsroom/PressAnnouncements/ucm382736.htm</a>	The company has been banned for importing drugs in US market	No
9	Ranbaxy Laboratories Ltd.	Healthcare	Ranbaxy Laboratories will have to pay a Rs 242 crore fine to the US authorities due to violations found at its Active Pharmaceutical Ingredient (API) manufacturing factory in Toansa, Punjab. The facility is currently banned from supplying products to the American market.	Manufacturing Violations	Principle 2 & 5	August 22, 2014	Business Standard	<a href="http://www.business-standard.com/article/companies/another-big-blow-for-ranbaxy-114082100977_1.html">http://www.business-standard.com/article/companies/another-big-blow-for-ranbaxy-114082100977_1.html</a>	The company has been banned for importing drugs in US market	No

10	Ranbaxy Laboratories Ltd.	Healthcare	USFDA suspected hair, oil in tablets. Tablets embedded with 'black fibre' that was suspected to be hair from an employee's arm, 'black spots' of oil from machines in tablets and absence of running water in toilets were some observations made by USFDA that has banned import of drugs made at Ranbaxy's Mohali unit.	Manufacturing Violations	Principle 2 & 5	September 18, 2013	Business Standard	<a href="http://www.business-standard.com/article/companies/ranbaxy-import-ban-usfda-found-suspected-hair-oil-in-tablets-113091800573_1.html">http://www.business-standard.com/article/companies/ranbaxy-import-ban-usfda-found-suspected-hair-oil-in-tablets-113091800573_1.html</a>	The company has been banned for importing drugs in US market	No
11	Ranbaxy Laboratories Ltd.	Healthcare	More than 300 commercial units in Madhya Pradesh are causing pollution by emitting harmful air and water effluents beyond the permissible limits, the State Pollution Control Board has said. As per the reply to RTI, Ranbaxy India Limited, Curlon Industries and Kodak India Ltd, Malanpur and Godrej Consumers Product Ltd, Bhind are also found to flouting mandatory pollution control norms.	Pollution harassment	Principle 6	November 16, 2010	The times of India	<a href="http://timesofindia.indiatimes.com/home/environment/pollution/Over-300-industries-in-MP-flout-pollution-control-norms/articleshow/6936535.cms">http://timesofindia.indiatimes.com/home/environment/pollution/Over-300-industries-in-MP-flout-pollution-control-norms/articleshow/6936535.cms</a>	No Response	No
12	Ranbaxy Laboratories Ltd.	Healthcare	National Pharmaceutical Pricing Authority (NPPA) has penalised around 1,000 pharmaceutical companies for overcharging while selling medicines used to treat serious illnesses like respiratory tract infection, infection in bones, joints and severe pain in the body among others. The list of companies penalised includes like Ranbaxy Laboratories, Johnson & Johnson, Cipla Ltd, Torrent Pharmaceuticals, Dr. Reddy Lab, Glaxo India, Cadila Healthcare and many others.	Overpricing of products	Principle 9, 1 & 5	May 25, 2013	The Sunday Gaurdian	<a href="http://www.sunday-guardian.com/business/big-pharma-companies-fined-for-overcharging">http://www.sunday-guardian.com/business/big-pharma-companies-fined-for-overcharging</a>	No Response	No

13	Sun TV Network Limited	Media and Publishing	Sun TV's chief operating officer Praveen Chhangathodi was arrested from his residence in Chennai for the alleged sexual harassment of a former woman employee. He has allegedly been harassing the woman for the past two years. The victim had furnished evidence including voice and data recordings of conversations.	Sexual Harassment	Principle 3 & 5	December 28, 2014	Business Today	<a href="http://businesstoday.intoday.in/story/sun-tv-ceo-praveen-chhangathodi-arrested-over-sexual-harassment-case/1/213942.html">http://businesstoday.intoday.in/story/sun-tv-ceo-praveen-chhangathodi-arrested-over-sexual-harassment-case/1/213942.html</a>	No Response	No
14	Sun TV Network Limited	Media and Publishing	Sun TV was denied security clearance and may go off air because of the impending cases on the owners and violations of many rules by the organization.	Corruption	Principle 1	June 8, 2015	The News Minute	<a href="http://www.thenewsminute.com/article/will-sun-tv-be-forced-go-air-ambiguity-remains">http://www.thenewsminute.com/article/will-sun-tv-be-forced-go-air-ambiguity-remains</a>	No official statement by CFO SL Narayanan	No
15	Sun TV Network Limited	Media and Publishing	Mr. Kalanithi Maran in his letter addressed to Mr. Narendra Modi has reasoned that most TV companies had criminal cases pending against them or against their directors or promoters or their group companies, but only his company was singled out and denied security clearance.	Corruption	Principle 1	June 19, 2015	The Indian Express	<a href="http://indianexpress.com/article/india/india-others/maran-to-pm-modi-cases-against-61-channels-but-sun-singled-out/">http://indianexpress.com/article/india/india-others/maran-to-pm-modi-cases-against-61-channels-but-sun-singled-out/</a>	No Response	No
16	Sun TV Network Limited	Media and Publishing	Union Home Ministry today made it clear that it will not give security clearance to Maran-owned Sun television network, alleging that many rules had been violated by the owners.	Corruption	Principle 1	June 27, 2015	Deccan Herald	<a href="http://www.deccanherald.com/content/486148/no-security-clearance-sun-tv.html">http://www.deccanherald.com/content/486148/no-security-clearance-sun-tv.html</a>	No Response	No

17	Sun TV Network Limited	Media and Publishing	Sun TV Network attorney-General Mukul Rohatgi has said the refusal by the Ministry of Home Affairs to grant security licence to the media group is "not legal." Giving his opinion to the Information and Broadcasting Ministry, has said the decision to deny clearance to 33 channels of the network is unnecessary given that the CBI and the ED have already been investigating corruption charges against its promoters.	Corruption	Principle 1	June 20, 2015	The Hindu	<a href="http://www.thehindu.com/news/national/attorney-general-mukul-rohatgi-supports-security-clearance-grant-to-sun-tv-network/article7333395.ece">http://www.thehindu.com/news/national/attorney-general-mukul-rohatgi-supports-security-clearance-grant-to-sun-tv-network/article7333395.ece</a>	No Response	No
18	Sun TV Network Limited	Media and Publishing	The Home Ministry hinted that it may review its decision to withdraw security clearance given to 33 channels of Sun TV Network if a sound legal opinion could satisfy it that the grounds under which the permission was denied were not satisfactory.	Corruption	Principle 1	June 12, 2015	The Economic Times	<a href="http://economictimes.indiatimes.com/industry/media/entertainment/media/home-ministry-hints-it-may-review-decision-on-sun-tv-network/articleshow/47648091.cms">http://economictimes.indiatimes.com/industry/media/entertainment/media/home-ministry-hints-it-may-review-decision-on-sun-tv-network/articleshow/47648091.cms</a>	No Response	No
19	Sun TV Network Limited	Media and Publishing	The CBI filed a first information report (FIR) against Maran that alleged that he received Rs 549 crore for favouring Aircel in various deals that were owned by Maxis. The FIR also mentioned how Dayanidhi Maran had counselled C. Sivasankaran (owner of Aircel) into selling his stake of Aircel to Maxis.	Frauds and Corruptions	Principle 1	July 23, 2014	DNA India	<a href="http://www.dnaindia.com/india/report-what-is-the-aircel-maxis-deal-and-why-is-dayanidhi-maran-in-trouble-2004611">http://www.dnaindia.com/india/report-what-is-the-aircel-maxis-deal-and-why-is-dayanidhi-maran-in-trouble-2004611</a>	No Response	No

20	Sun TV Network Limited	Media and Publishing	Attorney General of India Mukul Rohatgi has told the Central Bureau of Investigation that there was enough evidence to file a chargesheet against former telecom minister Dayanidhi Maran and his brother Kalanidhi Maran in the Aircel-Maxis deal. The CBI, in its FIR filed in the case in 2011, had alleged that Dayanidhi Maran, who was then the telecom minister, had forced Sivasankaran to sell his entire stake in Aircel to Ananda Krishnan.	Frauds and Allegations	Principle 1	July 23, 2014	India TV News	<a href="http://www.indiatvnews.com/news/india/enough-evidence-prosecute-dayanidhi-maran-aircel-maxis-rohtagi-39517.html">http://www.indiatvnews.com/news/india/enough-evidence-prosecute-dayanidhi-maran-aircel-maxis-rohtagi-39517.html</a>	No Response	No
21	Sun TV Network Limited	Media and Publishing	CBI arrested three people, V Gowthaman (the then additional private secretary of Dayanidhi Maran), Chief Technical Officer S Kannan and electrician L S Ravi of Sun TV network in connection with alleged allotment of more than 300 high-speed telephone lines to the then Telecom Minister's residence in Chennai which were extended to his brother's Sun TV channel.	Frauds and Allegations	Principle 1	January 22, 2015	The Hindu Business Line	<a href="http://www.thehindubusinessline.com/news/dayanidhi-marans-former-private-secretary-arrested/article6809025.ece">http://www.thehindubusinessline.com/news/dayanidhi-marans-former-private-secretary-arrested/article6809025.ece</a>	No Response	No
22	Sun TV Network Limited	Media and Publishing	Indian police have raided the homes of former minister Dayanidhi Maran following allegations of his involvement in the country's biggest corruption scandal. The homes and offices of Mr Maran's brother, Kalanidhi Maran, have been also raided. Kalanidhi Maran owns the SUN TV network, which allegedly received a kickback from from Maxis.	Corruption	Principle 1	October 10, 2011	BBC News	<a href="http://www.bbc.com/news/world-south-asia-15236215">http://www.bbc.com/news/world-south-asia-15236215</a>	He has denied allegations that he coerced the founder of the mobile phone firm Aircel to sell off his stake to Malaysia-based Maxis.	No

23	Sun TV Network Limited	Media and Publishing	The Enforcement Directorate today attached assets worth Rs 742 crore held by former telecom minister Dayanidhi Maran and brother Kalanithi (Owner of Sun TV) in connection with its money-laundering probe into the Aircel-Maxis deal	connection with Money-laundering	Principle 1	April 2, 2015	The Telegraph	<a href="http://www.telegraphindia.com/1150402/jsp/nation/story_12220.jsp#.VbXFliqqqko">http://www.telegraphindia.com/1150402/jsp/nation/story_12220.jsp#.VbXFliqqqko</a>	No Response	No
24	Sun TV Network Limited	Media and Publishing	The Supreme Court on Monday asked the Enforcement Directorate (ED) not to take any final action in the case of attachment of assets of Dayanidhi Maran-owned Sun TV Networks Ltd till 25th July.	Corruption	Principle 1	July 13, 2015	Live Mint	<a href="http://www.livemint.com/Companies/3you50c5tMdefL1RrFAuK/SC-asks-ED-to-not-take-final-action-against-Sun-TV.html">http://www.livemint.com/Companies/3you50c5tMdefL1RrFAuK/SC-asks-ED-to-not-take-final-action-against-Sun-TV.html</a>	No Response	No
25	Sun TV Network Limited	Media and Publishing	Sun TV said in a filing to the Bombay Stock Exchange that Sun TV Network and Kalanithi Maran do not have any relationship whatsoever relating to the 2G scam.	2G Scam	Principle 1	June 3, 2011	The Economic Times	<a href="http://articles.economictimes.indiatimes.com/2011-06-03/news/29617096_1_kalanithi-maran-involvement-in-2g-scam-telecom-minister">http://articles.economictimes.indiatimes.com/2011-06-03/news/29617096_1_kalanithi-maran-involvement-in-2g-scam-telecom-minister</a>	No Response	No
26	Sun TV Network Limited	Media and Publishing	Former TRAI Chairman Pradeep Baijal makes various allegations on Dhayanidhi Maran in a tell-all book and also says that he informed the PM of his reservations due to the conflict of interest in Dayanidhi Maran being appointed as the Telecom minister as his family was a TV broadcaster.	Corruption	Principle 1	May 26, 2015	The News Minute	<a href="http://www.thenewsminute.com/article/former-trai-chief-makes-explosive-allegations-against-maran">http://www.thenewsminute.com/article/former-trai-chief-makes-explosive-allegations-against-maran</a>	No Response	No
27	Sun TV Network Limited	Media and Publishing	The Enforcement Directorate (ED) attached assets worth Rs. 742.58 crore in the name of former Telecom Minister Dayanidhi Maran, owner of Sun TV Network Kalanithi and other family members in connection with its money laundering probe in the Aircel-Maxis deal.	Corruption	Principle 1	April 2, 2015	The Financial Express	<a href="http://www.financialexpress.com/article/companies/aircel-maxis-deal-rs-742-cr-assets-of-dayanidhi-kalanithi-maran-attached/59653/">http://www.financialexpress.com/article/companies/aircel-maxis-deal-rs-742-cr-assets-of-dayanidhi-kalanithi-maran-attached/59653/</a>	No Response	No



28	Reliance Infrastructure Ltd.	Power	The Comptroller and Auditor General (CAG) in its report submitted in Parliament said NHA have been unduly allowed Reliance Infrastructure to collect additional toll on nine major national highways and gave undue benefits to Reliance Infra SPV for the six-laning of a National Highway project as an important clause was 'deleted' from the agreement. Also, the special purpose vehicle created by Anil Ambani-led group's Reliance Infra was found to have diverted a part of the toll funds to invest in Reliance's own mutual fund.	Corruption and Bribery	Principle 1	December 24, 2014	NDTV Profit	<a href="http://profit.ndtv.com/news/corporates/article-cag-pulls-up-nhai-for-favouring-reliance-infra-717344">http://profit.ndtv.com/news/corporates/article-cag-pulls-up-nhai-for-favouring-reliance-infra-717344</a>	The company responded with a statement that the allegations made in the report are baseless and devoid of any facts. They have not violated any law/concession agreements. All investments and expenses are made in full compliance of the agreements signed with NHA and lenders.	No
29	Reliance Infrastructure Ltd.	Power	Swan Telecom Pvt Ltd, accused in the 2G spectrum case, was incorporated by the employees of Reliance Infrastructure Ltd or its Group companies, a prosecution witness today told a Delhi court.	Corruption	Principle 1	February 8, 2012	First Post	<a href="http://www.firstpost.com/india/2g-reliance-infra-employees-incorporated-swan-4-other-firms-207620.html">http://www.firstpost.com/india/2g-reliance-infra-employees-incorporated-swan-4-other-firms-207620.html</a>	No Response	No

30	Reliance Infrastructure Ltd.	Power	The Aam Aadmi Party has accused power companies Tata Power and Reliance Infrastructure of misrepresenting tax costs, resulting in an additional burden of Rs.434 crore for consumers in the Mumbai region. AAP's State convenor, Anjali Damania, said the company has been paying corporate tax at the rate of 18 per cent. But when they approached the Maharashtra Electricity Regulatory Commission (MERC) for fixing the tariff, they declared their tax burden at 33 per cent. The difference is passed on to consumers as tariff hike, she said.	Misinterpretation of taxes.	Principle 1	February 26, 2014	The Hindu Business Line	<a href="http://www.thehindubusinessline.com/news/politics/tata-power-and-reliance-infra-are-misrepresenting-tax-charge-says-aap/article5730030.ece">http://www.thehindubusinessline.com/news/politics/tata-power-and-reliance-infra-are-misrepresenting-tax-charge-says-aap/article5730030.ece</a>	No Response	No
31	Reliance Infrastructure Ltd.	Power	CBI to probe if NHAI favoured Reliance Infrastructure. As per Concession Agreement (CA), the toll collected is required to be deposited in an escrow account and the withheld amount is not supposed to be released to the private party till such time that the defaulted milestones have been achieved. While allotting the toll project to Reliance Infrastructure Ltd this was purposely omitted to give undue convenience to the private sector company.	Corruption	Principle 1	December 24, 2014	Millennium Post	<a href="http://www.millenniumpost.in/NewsContent.aspx?NID=89939">http://www.millenniumpost.in/NewsContent.aspx?NID=89939</a>	No Response	No

32	Reliance Infrastructure Ltd.	Power	Orissa Electricity Regulatory commission cancelled the distribution license of three power distribution companies owned by Reliance Infrastructure. The commission ordered the cancellation of the power distribution due to their failure to lift the performance and financial health and running the organization in a financially viable manner.	Violation of Regulatory Norms	Principle 1	March 5, 2015	India TV News	<a href="http://www.indiatvnews.com/news/india/reliance-infrastructure-power-licenses-revoked-by-orissa-government-48215.html">http://www.indiatvnews.com/news/india/reliance-infrastructure-power-licenses-revoked-by-orissa-government-48215.html</a>	No Response	No
33	Reliance Communications Ltd.	Telecom	The CBI will charge India's top conglomerates the Essar Group and Reliance ADAG with criminal conspiracy and cheating in the multi billion dollar 2G Telecom Licenses Scandal. The CBI has said that the 2 companies Loop and Swan Telecom were used as front companies by established telecom operators Essar and Reliance Communications to get more license.	Corruption	Principle 1	March 29, 2011	Green World Investor	<a href="http://www.greenworldinvestor.com/2011/03/29/cbi-2g-telecom-scandal-criminal-conspiracy-cheating-charge-against-essar-reliance-communications-will-sc-throw-top-executives-in-jail-like-raja/">http://www.greenworldinvestor.com/2011/03/29/cbi-2g-telecom-scandal-criminal-conspiracy-cheating-charge-against-essar-reliance-communications-will-sc-throw-top-executives-in-jail-like-raja/</a>	Reliance Communications' on second generation (2G) spectrum allocation case that its holdings in Swan Telecom at the time of award of airwaves was well within the prescribed limits.	No
34	Reliance Communications Ltd.	Telecom	The CBI moved an application in the court saying Mr. Ambani, his wife and 15 others are to be examined as witnesses in the case, as "some new facts," including some bank documents pertaining to money transaction of Rs. 997 crore in October 2007 between the DB Group Company and Reliance ADA Group companies. Reliance Telecom Ltd. (RTL) and Vinod Goenka, Managing Director of DB Realty Ltd., are accused in the case.	Corruption	Principle 1	May 30, 2013	The Hindu	<a href="http://www.thehindu.com/news/national/cbi-wants-anil-ambani-wife-summoned-in-2g-case/article4765922.ece">http://www.thehindu.com/news/national/cbi-wants-anil-ambani-wife-summoned-in-2g-case/article4765922.ece</a>	No Response	No

35	Reliance Communications Ltd.	Telecom	The Supreme Court upheld the imposition of the maximum penalty by BSNL on Anil Ambani Group firm RCom for wrongly routing international calls through the state-run telco's network as local connections by tampering with Calling Line Identification (CLI) numbers.	Corruption	Principle 1	November 30, 2010	The Indian Express	<a href="http://archive.indianexpress.com/news/maximum-penalty-for-rcom-on-fraud/718011/">http://archive.indianexpress.com/news/maximum-penalty-for-rcom-on-fraud/718011/</a>	No Response	No
36	Reliance Communications Ltd.	Telecom	The Central Bureau of Investigation to chargesheet three officials of the Anil Dhirubhai Ambani Group (ADAG) and the chargesheet would claim that ADAG officials colluded with executives of Swan, an ineligible company, to help it obtain mobile permits.	Corruption	Principle 1	March 31, 2011	The Economic Times	<a href="http://articles.economictimes.indiatimes.com/2011-03-31/news/29365954_1_swam-telecom-adag-rcom">http://articles.economictimes.indiatimes.com/2011-03-31/news/29365954_1_swam-telecom-adag-rcom</a>	No Response	No
37	Reliance Communications Ltd.	Telecom	India's leading mobile service operator Bharti airtel, Reliance Communications (Rcom) and Vodafone Essar are on top when it comes to their Mobile Service users complaints related to Billing & Tariff related issues and Activation of chargeable Value Added Services (VAS) without explicit consent of the customer. Indian telecom watchdog Telecom Regulatory Authority of India (TRAI) has been receiving complaints against all the leading private Mobile Service Providers alleging various problems which include billing, metering & tariff related issues and activation of chargeable value added services without explicit consent of the customer.	Grievances and complaints.	Principle 9 & 5	March 24, 2011	Telecom Talk	<a href="http://telecomtalk.info/trai-gets-maximum-complaints-against-airtel-reliance-and-vodafone/58992/">http://telecomtalk.info/trai-gets-maximum-complaints-against-airtel-reliance-and-vodafone/58992/</a>	No Response	No

38	Yes Bank Ltd.	Finance	The scam unearthed by Enforcement Directorate (ED) involves importers depositing fake bills of entries (of imports) in banks and remittances are made to unknown people outside India. Six leading banks -- ICICI Bank, IndusInd Bank, ING Vysya, YES Bank, Kotak Mahindra Bank and Bank of India – were hit by the scam.	Violation of norms of Foreign Exchange Management Act (FEMA)	Principle 1 & 5	January 7, 2015	DNA India	<a href="http://www.dnaindia.com/mumbai/report-rs-15000-crore-remittance-scam-hits-six-banks-2050352">http://www.dnaindia.com/mumbai/report-rs-15000-crore-remittance-scam-hits-six-banks-2050352</a>	No Response	No
39	Yes Bank Ltd.	Finance	A customer at YES Bank's had claimed Rs 1 crore had been stolen from his bank account. The money was transferred from his account through the real-time gross settlement (RTGS) system.	Online Frauds	Principle 1 & 5	February 3, 2013	Business Standard	<a href="http://www.business-standard.com/article/finance/rbi-to-quiz-yes-bank-tomorrow-over-cyber-fraud-113020300056_1.html">http://www.business-standard.com/article/finance/rbi-to-quiz-yes-bank-tomorrow-over-cyber-fraud-113020300056_1.html</a>	Rana Kapoor, founder, managing director and chief executive of YES Bank, confirmed the bank was probing the incident. "We are probing and will (provide) suitable answers."	No
40	Yes Bank Ltd.	Finance	Two people were arrested following a complaint by one Vijay Kher that someone had transferred Rs 20 lakh from his account. The accused had managed to get a duplicate SIM card of Kher issued. Using which, they managed to get the One Time Password, provided by the bank to complete an online transaction. They managed to transfer money through internet banking from his (Kher) account to various bank accounts opened on fake identities.	Online Frauds	Principle 1	January 18, 2013	Financial Express	<a href="http://archive.financialexpress.com/news/duo-arrested-for-internet-banking-fraud/1061205">http://archive.financialexpress.com/news/duo-arrested-for-internet-banking-fraud/1061205</a>	No Response	No

41	Yes Bank Ltd.	Finance	In a judgment on online banking frauds, six banks, a telecom giant and a card company have been asked to pay out compensation to customers who have been victims of various online frauds in the past two years.	Online Frauds	Principle 1 & 5	January 14, 2015	Konnect World	<a href="http://www.konnectworldwide.com/6-banks-telecom-firm-to-pay-for-credit-card-frauds/">http://www.konnectworldwide.com/6-banks-telecom-firm-to-pay-for-credit-card-frauds/</a>	No Response	No
42	Bosch Ltd.	Transport equipments	Striking Bosch workers at the Adugodi plant in Bangalore, southern India, are continuing a five-week strike for higher wages and better conditions, defying the outlawing of their strike by the Karnataka state government. They are demanding a wage rise of at least 20 percent, the refund of wage cuts imposed on workers for earlier strikes, an end to harassment and the reinstatement of victimised workers	Labour strike	Principle 3 & 5	October 22, 2014	World Socialist Web Site	<a href="https://www.wsws.org/en/articles/2014/10/22/bosc-o22.html">https://www.wsws.org/en/articles/2014/10/22/bosc-o22.html</a>	No Response	No
43	Bosch Ltd.	Transport equipments	Automotive component manufacturer Bosch Ltd declared a lock-out at its Naganathapura plant. The main issue at stake between the two sides pertains to a fresh wage settlement, which had been pending since December 31, 2008.	Labour strike	Principle 3 & 5	March 10, 2010	The Hindu	<a href="http://www.thehindu.com/todays-paper/tp-national/tp-karnataka/bosch-declares-lockout-at-city-plant/article726070.ece">http://www.thehindu.com/todays-paper/tp-national/tp-karnataka/bosch-declares-lockout-at-city-plant/article726070.ece</a>	No Response	No
44	Grasim Industries Ltd.	Textile	Two Grasim Industries officials at Nagda have been made accused in an incident in which two workers sustained injuries while fixing fault in power house. Two workers were injured in the mishap. The MCC room at the power house in the factory was inundated with water which led to a short circuit injuring the two.	Safety violations	Principle 4 & 5	November 18, 2012	Times of India	<a href="http://timesofindia.indiatimes.com/city/indore/2-Grasim-officials-charged-sheeted/articleshow/17262910.cms">http://timesofindia.indiatimes.com/city/indore/2-Grasim-officials-charged-sheeted/articleshow/17262910.cms</a>	No Response	No

45	Grasim Industries Ltd.	Textile	An inter-ministerial panel has recommended the de-allocation of two coal blocks held by five companies, including Gujarat Ambuja Cement, Grasim Industries and Lafarge India, bringing the total number of such blocks to 13. The Inter-ministerial Group (IMG) has completed the review of 29 coal blocks held by private companies and recommended cancellation of licences for blocks holding an estimated 2.6Bnt of coal, affecting 28 private companies.	de-allocation of coal blocks.	Principle 1	September 26, 2012	Global Cement	<a href="http://www.globalcement.com/news/item/1164-ambuja-grassim-and-lafarge-face-coal-block-cancellations">http://www.globalcement.com/news/item/1164-ambuja-grassim-and-lafarge-face-coal-block-cancellations</a>	No Response	No
46	Grasim Industries Ltd.	Textile	The Competition Appellate Tribunal (Compat) Friday stayed a Rs6,300 crore penalty on 11 cement makers that had been levied on them for acting as a cartel but said 10% of the amount would need to be deposited pending their appeal. Among the companies, the worst-hit were ACC Ltd, Ambuja Cements Ltd, UltraTech Cement Ltd and Jaiprakash Associates Ltd, which were fined in excess of Rs1,000 crore each.	Violated the provisions of Competition Act, 2002	Principle 1	May 17, 2013	Live Mint	<a href="http://www.livemint.com/Industry/1HnGwqly8bvNZ7k1o1IEcM/Compat-lifts-stay-on-CCI-penalty-in-cement-cartelization-cas.html">http://www.livemint.com/Industry/1HnGwqly8bvNZ7k1o1IEcM/Compat-lifts-stay-on-CCI-penalty-in-cement-cartelization-cas.html</a>	No Response	No
47	Grasim Industries Ltd.	Textile	More than 300 commercial units in Madhya Pradesh are causing pollution by emitting harmful air and water effluents beyond the permissible limits and some of the companies responsible are Grasim Ltd, Nagda, Prestige Food Ltd, Dewas, Tata International Ltd, Dewas and Madhya Pradesh State Cooperative Dairy Federation Limited, Bhopal.	Pollution harassment	Principle 6	November 16, 2010	Times of India	<a href="http://timesofindia.indiatimes.com/home/environment/pollution/Over-300-industries-in-MP-flout-pollution-control-norms/articleshow/6936535.cms">http://timesofindia.indiatimes.com/home/environment/pollution/Over-300-industries-in-MP-flout-pollution-control-norms/articleshow/6936535.cms</a>	No Response	No

48	Tata Power Co. Ltd	Power	Over a hundred prominent organisations expressed shock over World Bank President inaction on the audit report on Tata Mundra Power Project in Gujarat, condemned it and demanded International Finance Corporation's (IFC) withdrawal from the project. The independent recourse mechanism of IFC the Compliance Advisor Ombudsman (CAO) released their findings after a year-long investigation into the financing of IFC to the project. CAO found IFC made serious lapses in funding Tata coal plant. These organizations feel that World bank ignoring the damning findings of CAO, they are complicit in the human rights violations, livelihood loss, impacts of air pollution, water contamination, and perilous marine ecological disaster in the wake of the IFC-funded project.	Environmental Violations	Principle 6	November 4, 2013	Intercultural Resources	<a href="https://icrindia.wordpress.com/tag/international-finance-corporation/">https://icrindia.wordpress.com/tag/international-finance-corporation/</a>	No Response	No
49	Tata Power Co. Ltd	Power	In the complaint against the power plant owned by Coastal Gujarat Power Ltd, as special purpose vehicle floated by Tata Power , has already affected the lives of the people who live in its vicinity. The thermal pollution discharged from the plant's cooling system has led to a decline in the fish populations that local fishing communities depend on.	Pollution harassment	Principle 6 & 5	May 5, 2015	Times of India	<a href="http://timesofindia.indiatimes.com/india/Gujarat-fishermen-sue-IFC-in-US-Court-for-funding-Tata-Powers-Mundra-UMPP/articleshow/47161150.cms">http://timesofindia.indiatimes.com/india/Gujarat-fishermen-sue-IFC-in-US-Court-for-funding-Tata-Powers-Mundra-UMPP/articleshow/47161150.cms</a>	No Response	No
50	Tata Power Co. Ltd	Power	Residents and political parties of Chembur in Mumbai have rejected Tata Power's plans to modernise Unit 6 of its Trombay Thermal Power Station. The residents believe the modernisation would lead to extreme pollution.	Possible environmental violations.	Principle 6	March 14, 2013	Down to Earth	<a href="http://www.downtoearth.org.in/news/residents-protest-tata-powers-modernisation-plans-for-trombay-plant-40592">http://www.downtoearth.org.in/news/residents-protest-tata-powers-modernisation-plans-for-trombay-plant-40592</a>	No Response	No



51	Tata Power Co. Ltd	Power	Industrial groups like Tata Power and Anil Ambani's Reliance ADAG were allocated coal blocks despite not being recommended by the Power Ministry during when Prime Minister Manmohan Singh was heading the coal ministry.	Corruption	Principle 1	January 16, 2014	First Post	<a href="http://www.firstpost.com/india/coal-scam-centre-submits-list-of-companies-to-the-supreme-court-1343955.html">http://www.firstpost.com/india/coal-scam-centre-submits-list-of-companies-to-the-supreme-court-1343955.html</a>	No Response	No
52	Bharat Petroleum Corpn. Ltd.	Oil and Gas	The delivery boys of LPG cylinders were paid below the minimum wages prescribed under the Shops and Establishments Act of Tamil Nadu.	Violation of provision of minimum wages act.	Principle 3 & 5	March 29, 2012	Times of India	<a href="http://timesofindia.indiatimes.com/city/madurai/LPG-delivery-boys-lament-poor-salary/articleshow/12449380.cms">http://timesofindia.indiatimes.com/city/madurai/LPG-delivery-boys-lament-poor-salary/articleshow/12449380.cms</a>	No Response	No
53	Bharat Petroleum Corpn. Ltd.	Oil and Gas	Essar Oil Ltd a private sector Oil company and BPCL, are actively involved in a massive multi / several thousand crore rupees scandal by knowingly encouraging tax fraud due to different Tax structures in the North East.	Tax Fraud	Principle 1	February 3, 2014	Nagaland Post	<a href="http://www.nagalandpost.com/ChannelNews/Regional/RegionalNews.aspx?news=TkVXUzEwMDA1MzY4MQ%3D%3D">http://www.nagalandpost.com/ChannelNews/Regional/RegionalNews.aspx?news=TkVXUzEwMDA1MzY4MQ%3D%3D</a>	No Response	No
54	Bharat Petroleum Corpn. Ltd.	Oil and Gas	Farmers oppose the laying of the proposed 200-km pipeline by Bharat Petroleum Corporation Ltd. (BPCL) between Kochi and Coimbatore.	Protest by locals due to possible damage to environment.	Principle 6 & 5	May 22, 2014	The Hindu	<a href="http://www.thehindu.com/todays-paper/tp-national/tp-kerala/farmers-oppose-bpcl-gas-pipeline/article6035540.ece">http://www.thehindu.com/todays-paper/tp-national/tp-kerala/farmers-oppose-bpcl-gas-pipeline/article6035540.ece</a>	No Response	No
55	Zee Entertainment Enterprises Ltd.	Media and Publishing	Two editors of Zee TV were remanded back to two-day police custody by a Delhi court to enable the investigators to confront them with Zee group head Subhash Chandra and his son in a case of alleged extortion bid of Rs 100 crore from Congress MP Naveen Jindal's company.	Corruption	Principle 1	July 31, 2013	Mail Online India	<a href="http://www.dailymail.co.uk/indiahome/indianews/article-2382167/Subhash-Chandra-Zee-boss-charged-Jindal-extortion-claims.html">http://www.dailymail.co.uk/indiahome/indianews/article-2382167/Subhash-Chandra-Zee-boss-charged-Jindal-extortion-claims.html</a>	No Response	No

56	LIC Housing Finance Ltd.	Finance	LIC's Chief Managing Director and other associates bribed senior officials of public sector banks and financial institutions to facilitate the sanction of large-scale corporate loans.	Bribery	Principle 1	November 25, 2010	The Hindu	<a href="http://www.thehindu.com/news/national/bribe-scam-unearthed-top-lic-bank-officials-held/article910890.ece">http://www.thehindu.com/news/national/bribe-scam-unearthed-top-lic-bank-officials-held/article910890.ece</a>	No Response	No
57	LIC Housing Finance Ltd.	Finance	The labour ministry-controlled Employees' Provident Fund Organization made a decision not to invest further in LIC Housing Finance Ltd as some of it's officials are under investigation on suspicion of having taken bribes to disburse loans.	Bribery	Principle 1	December 9, 2010	Live Mint	<a href="http://www.livemint.com/Politics/oHX4uLbzoFZLbgFkRU57LL/EPFO-suspends-investment-in-LIC-Housing-Finance.html">http://www.livemint.com/Politics/oHX4uLbzoFZLbgFkRU57LL/EPFO-suspends-investment-in-LIC-Housing-Finance.html</a>	No Response	No
58	Glaxosmithkline Pharmaceuticals Ltd.	Healthcare	Several of the children used as 'guinea pigs' for the anti-cervical cancer drug trial in India reported suffering problems including weight loss, fatigue, dizziness and menstrual problems.	Ethical Violations	Principle 1 & 5	January 12, 2015	Mail Online	<a href="http://www.dailymail.co.uk/news/article-2893485/Children-guinea-pigs-anti-cervical-cancer-drug.html">http://www.dailymail.co.uk/news/article-2893485/Children-guinea-pigs-anti-cervical-cancer-drug.html</a>	No Response	No
59	Glaxosmithkline Pharmaceuticals Ltd.	Healthcare	There were gross ethical violations in which trial of the anti cervical cancer drug by GSK (Cervarix) and Merck (Gardasil) participants were recruited. Consent was not taken either from the girls or from their parents or guardians. Hostel wardens signed up to give consent for hundreds of girls in their charge. The district health systems were in no position to monitor the health of the trial subjects or to follow up on possible adverse effects.	Ethical Violations	Principle 1 & 5	September 6, 2013	News Click	<a href="http://newsclick.in/india/parliamentary-committee-indicts-clinical-trial-industry">http://newsclick.in/india/parliamentary-committee-indicts-clinical-trial-industry</a>	No Response	No

60	Glaxosmithkline Pharmaceuticals Ltd.	Healthcare	GSK, Pfizer other global pharma companies too come under USFDA net. The fraud settlement of \$3 billion happened when GSK pleaded guilty to charges of unlawful promotion of certain prescription drugs, failure to report certain safety data and alleged false price reporting.	Unlawful promotion of certain products.	Principle 9	May 10, 2014	The Indian Express	<a href="http://indianexpress.com/article/business/companies/gsk-pfizer-other-global-pharma-companies-too-come-under-usfda-net/">http://indianexpress.com/article/business/companies/gsk-pfizer-other-global-pharma-companies-too-come-under-usfda-net/</a>	No Response	No
61	Sesa Goa Ltd.	Metal, Metal Products and Mining	CNN-IBN has now found that one of the biggest alleged violators, Sesa Goa Ltd, have made large donations to nearly all political parties in the state. The funding was made from 2007 onwards and the amounts varied.	Bribery	Principle 1	October 11, 2011	First Post	<a href="http://www.firstpost.com/politics/goa-mining-scam-sesa-go-gave-donations-to-all-political-parties-105238.html">http://www.firstpost.com/politics/goa-mining-scam-sesa-go-gave-donations-to-all-political-parties-105238.html</a>	No Response	No
62	Sesa Goa Ltd.	Metal, Metal Products and Mining	The voluminous report holds the state government and central government agencies as parties to the scam, along with the powerful mining operators at Sesa Goa, who according to Justice Shah, plundered natural resource and facilitated an "unrestricted, unchecked and unregulated export of iron ore to China".	unrestricted, unchecked and unregulated export of iron ore to China	Principle 1	September 7, 2012	Hindustan Times	<a href="http://www.hindustantimes.com/india-news/goa-mining-scam-worth-rs-34-935-crore-justice-shah-commission/article-1-926249.aspx">http://www.hindustantimes.com/india-news/goa-mining-scam-worth-rs-34-935-crore-justice-shah-commission/article-1-926249.aspx</a>	No Response	No
63	Sesa Goa Ltd.	Metal, Metal Products and Mining	Sesa Goa Ltd admitted to accidental misfiring of two burners at its coke plant causing pollution in nearby areas. The company, however, refuted allegations levelled by the villagers and others that it had violated laws	Environmental Violations	Principle 6 & 5	August 29, 2012	Thaindian	<a href="http://www.thaindian.com/newsportal/environment/sesa-admits-to-causing-pollution-in-go-village_100641379.html">http://www.thaindian.com/newsportal/environment/sesa-admits-to-causing-pollution-in-go-village_100641379.html</a>	No Response	No

64	Sesa Goa Ltd.	Metal, Metal Products and Mining	The government records show that from the 66 mining lessees -- 15,444,245 tons (15 million metric tons) were extracted illegally in the past three years. Sesa Goa in its mines, TC No 6/55 had not obtained environment clearance as well yet extracted 745,562 tonnes in 2008-09, 665,976 tonnes 2009-10 and 512,378 tons 2010-2011 (up to January). The cost: Rs Rs 769 crore.	Illegal mining.	Principle 1	October 4, 2011	Rediff.com	<a href="http://www.rediff.com/news/report/goa-mining-scam-15-million-tonnes-of-ore-worth-rs-6100-crore/20111004.htm">http://www.rediff.com/news/report/goa-mining-scam-15-million-tonnes-of-ore-worth-rs-6100-crore/20111004.htm</a>	No Response	No
65	Sesa Goa Ltd.	Metal, Metal Products and Mining	After an investigation, spanning over one-and-a-half years, the SFIO has found that iron-ore exporter Sesa Goa has over-invoiced import receipts of coking coal by Rs 14.6 crore and also sale of iron-ore by Rs 42.51 crore, while under-invoicing exports by Rs 1,002 crore.	Over-invoiced import and Under invoicing exports.	Principle 1	May 23, 2011	The Indian Express	<a href="http://archive.indiaexpress.com/news/sesa-go-faces-over-rs-1kcr-fraud-case/794560/">http://archive.indiaexpress.com/news/sesa-go-faces-over-rs-1kcr-fraud-case/794560/</a>	No Response	No
66	Sesa Goa Ltd.	Metal, Metal Products and Mining	The government has challenged the merger of Vedanta Resources Plc's local units in court on grounds that the deal by the flagship company of billionaire Anil Agarwal was aimed at avoiding taxes.	Tax Evasion	Principle 1	July 16, 2014	Herald Goa	<a href="http://www.heraldgoa.in/Business/Govt-moves-SC-against-Sesa-Sterlite-merger/75840.html">http://www.heraldgoa.in/Business/Govt-moves-SC-against-Sesa-Sterlite-merger/75840.html</a>	Vedanta's spokesperson Roma Balwani said the company had no comments on the Bloomberg story.	No

67	Sesa Goa Ltd.	Metal, Metal Products and Mining	Trouble for mining companies continues in Goa. A special investigation team (SIT) of the Karnataka police raided offices of two major mining companies in Goa in connection with illegal iron ore exports from Karnataka. The Karnataka Lokayukta report on illegal mining had pointed out that illegal ore seized by the forest department in Bellary and other places was exported from the Belekeri port near Karwar in Karnataka, bordering Goa.	Illegal ore mining	Principle 1	August 13, 2014	The times of India	<a href="http://timesofindia.indiatimes.com/city/goa/Karnataka-SIT-raids-Sesa-Sterlite-Salgaocar-Mining-in-illegal-ore-exports-cases/articleshow/40138311.cms">http://timesofindia.indiatimes.com/city/goa/Karnataka-SIT-raids-Sesa-Sterlite-Salgaocar-Mining-in-illegal-ore-exports-cases/articleshow/40138311.cms</a>	The Vedanta Group firm Sesa Goa has contested Karnataka Lokayukta's report and said no illegal transaction was done with regard to export of iron ore as the shipments had reached the Krishnapatnam port before the ban on its transportation took effect.	No
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68	Mangalore Refinery & Petrochemicals	Oil and Gas	Affected residents living in the vicinity of Mangalore Refinery and Petrochemicals Limited (MRPL), an Oil and Natural Gas Corporation (ONGC) company, protested against the refinery for causing alleged air, noise and water pollution. They are demanding immediate suspension of sulphur and coke plants having experienced extreme air and noise pollution ever since the company partially commissioned its third phase expansion that includes sulphur and coke plants.	Pollution harassment	Principle 6 & 5	October 26, 2014	Business and Human Rights Resource Centre	<a href="http://business-humanrights.org/en/india-protest-against-mangalorean-refinery-petrochemical-ltd-villagers-cite-adverse-impact-on-health-company-claims-protests-motivated-with-vested-interests-to-gain-employment">http://business-humanrights.org/en/india-protest-against-mangalorean-refinery-petrochemical-ltd-villagers-cite-adverse-impact-on-health-company-claims-protests-motivated-with-vested-interests-to-gain-employment</a>	The official spokesperson of the Mangalore Refinery and Petrochemicals Limited has levelled allegations against the Jokatte Nagarika Horata Samiti (JNHS), claiming that the protests against phase III units were motivated and vested interests are largely at play to gain employment under Mangalore	No
69	Mangalore Refinery & Petrochemicals	Oil and Gas	Several women residents of Jokatte staged a protest holding brooms against the pollution allegedly caused by the coke sulphur unit of the Mangalore Refinery and Petrochemical Limited (MRPL)	Pollution harassment	Principle 6 & 5	January 22, 2015	The Hindu	<a href="http://www.thehindu.com/news/cities/Mangalore/women-protest-against-mrpl-coke-sulphur-unit/article6810740.ece">http://www.thehindu.com/news/cities/Mangalore/women-protest-against-mrpl-coke-sulphur-unit/article6810740.ece</a>	The MRPL has maintained that the coke sulphur unit has not been causing pollution in the area.	No

70	Mangalore Refinery & Petrochemicals	Oil and Gas	One worker was killed and five were injured in a blast inside the Mangalore Refinery and Petrochemicals Limited (MRPL). There were different accounts of what caused the blast. According to the workers, he was carrying out welding work on a pump in a pit related to the Oil Water Sewer (OWS) when the explosion took place. Another worker said that the blast also caused a fire which was put out by the MRPL's fire tender.	Safety violations	Principle 3 & 5	January 22, 2012	Udupi Today	<a href="http://www.udupitoday.com/udtoday/news_Mangalore-1-dead-5-injured-in-MRPL-blast_65.html">http://www.udupitoday.com/udtoday/news_Mangalore-1-dead-5-injured-in-MRPL-blast_65.html</a>	According to MRPL's Deputy General Manager (Corporate Communications), Lekshmi Kumaran, the blast and fire had occurred in a pit next to the Sulphur Recovery Unit II.	No
71	Mangalore Refinery & Petrochemicals	Oil and Gas	Democratic Youth Federation of India (DYFI) District President Muneer Katipalla has alleged that the Mangalore Refinery and Petrochemicals Limited (MRPL) has failed to take safety measures at its coke and sulphur unit in spite of repeated warnings by Karnataka State Pollution Control Board (KSPCB).	Possible environmental violations.	Principle 6 & 5	April 5, 2015	Deccan Herald	<a href="http://www.deccanherald.com/content/469782/black-flags-greet-union-ministers.html">http://www.deccanherald.com/content/469782/black-flags-greet-union-ministers.html</a>	At first the company tried to defend that no pollution is going on in the area. Later, it submitted the list of precautionary measures it is following to stop pollution. KSPCB was not happy as there were no time bound action plans.	No

72	IndusInd Bank Ltd.	Finance	State head of IndusInd Bank, was today suspended by the bank from his duty following sexual harassment allegations made by his colleagues. The Commissionerate police have served a notice to Surendra to appear before the Saheed Nagar police station IIC and the zonal ACP within 14 days to record his statement in the case.	Sexual Harassment	Principle 3 & 5	April 5, 2015	Odisha Today	<a href="http://www.odishatoday.com/viewnews.php?news_id=8248">http://www.odishatoday.com/viewnews.php?news_id=8248</a>	No Response	No
73	IndusInd Bank Ltd.	Finance	Funds of an IndusInd customer invested in Mutual Funds (as suggested by the manager) was transformed into another account with Axis Bank by the Manager and two other employees by forging necessary documents and signature.	Forgery	Principle 1 & 5	April 2, 2012	Times of India	<a href="http://timesofindia.indiatimes.com/city/mumbai/Bank-managers-cheat-NRI-divert-Rs-48L/articleshow/12497466.cms">http://timesofindia.indiatimes.com/city/mumbai/Bank-managers-cheat-NRI-divert-Rs-48L/articleshow/12497466.cms</a>	No statement from the bank was received.	No
74	IndusInd Bank Ltd.	Finance	Four people were killed and seven injured in a major fire at a seven-storey building in MIDC, Andheri (East). Fire officers said the building violated several safety norms. They said its riser system was not working which delayed firefighting efforts. The building also does not have an additional fire exit or an extra staircase.	Safety violations	Principle 3 & 5	June 8, 2013	Indian Express	<a href="http://archive.indianexpress.com/news/4-indusind-bank-employees-killed-in-back-office-fire/1126441/">http://archive.indianexpress.com/news/4-indusind-bank-employees-killed-in-back-office-fire/1126441/</a>	No Response	No



75	Godrej Consumer Products Ltd.	FMCG	The Consumer Complaints Council (CCC) under the Advertising Standards Council of India (ASCI) has banned as many as 110 advertisements out of 127 complaints it received across segments during June 2014. The advertisement of the product claims to be a 'Best Ever Hair Color' as it does not have ammonia. This claim is misleading by implication and omission that it is the best product and other products in the market have ammonia. Also, the TVC claim incorrectly imply that other products have ammonia and thus it disparages competitive products. The TVC contravened Chapters I.4 and IV.1 (e) of the Code.	Misleading advertisement	Principle 9 & 5	September 23, 2014	Money Life	<a href="http://www.moneylife.in/article/asci-bans-110-ads-including-amazon-hul-dr-batras-loreal-godrej-consumer-marico-wipro-thomas-cook-delhi-metro-in-june/38878.html">http://www.moneylife.in/article/asci-bans-110-ads-including-amazon-hul-dr-batras-loreal-godrej-consumer-marico-wipro-thomas-cook-delhi-metro-in-june/38878.html</a>	No Response	No
76	Godrej Consumer Products Ltd.	FMCG	More than 300 commercial units in Madhya Pradesh are causing pollution by emitting harmful air and water effluents beyond the permissible limits, the State Pollution Control Board has said. In a reply to the RTI, Godrej Consumers Product Ltd, was also found to flouting mandatory pollution control norms in Madhya Pradesh	Pollution harassment	Principle 6 & 5	November 16, 2010	Times of India	<a href="http://timesofindia.indiatimes.com/home/environment/pollution/Over-300-industries-in-MP-flout-pollution-control-norms/articleshow/6936535.cms">http://timesofindia.indiatimes.com/home/environment/pollution/Over-300-industries-in-MP-flout-pollution-control-norms/articleshow/6936535.cms</a>	No Response	No

77	Godrej Consumer Products Ltd.	FMCG	An employee of consumer goods maker Godrej Consumer Products Ltd or GCPL, has embezzled gold worth crore of rupees, that has come under the radar of the economic offences wing (EOW) of the Mumbai police. The company used to buy gold coins to give it to retailers and distributors as an incentive for sales. City-based Orra Diamond Jewellery, Cygnus Jewellery, Asmi Jewellery, Basanti Gold Pvt Ltd, Hiralal Jain Enterprises and Kolkata based P C Chandra Jewellers together claim Rs25 crore from GCPL. However, the company said the order was placed “illegally without any authority” by one of its former employees Amit Gaine.	Corruption	Principle 1	May 31, 2010	Live Mint	<a href="http://www.livemint.com/Companies/FHD81F4flg6VwUZrBQIGVN/Godrej-faces-EoW-after-employee-fraud.html">http://www.livemint.com/Companies/FHD81F4flg6VwUZrBQIGVN/Godrej-faces-EoW-after-employee-fraud.html</a>	No Response	No
78	Petronet LNG Ltd.	Oil and Gas	A Rs 27,000 crore loss caused by an alleged change of contract by a Qatari company supplying liquid gas (LNG) to Petronet LNG needs to be probed, former Secretary to Government of India E A S Sarma has demanded. Sarma in a letter to the Prime Minister's Office sought a CBI probe in the role of officials of Oil Ministry and Petronet LNG Ltd in allowing RasGas of Qatar to allegedly violate the contract for supply of 7.5 million tonnes per annum of liquefied natural gas (LNG). He wanted a probe into the issue of how Petronet, whose Chairman is Oil Secretary, quietly switched to buying lean gas, which can only be used as fuel, instead of rich gas that can also produce petrochemicals and cooking gas (LPG).	Corruption	Principle 1	March 3, 2013	Economic Times	<a href="http://articles.economictimes.indiatimes.com/2013-03-03/news/37410323_1_rasgas-petronet-lng-petronet-s-dahej">http://articles.economictimes.indiatimes.com/2013-03-03/news/37410323_1_rasgas-petronet-lng-petronet-s-dahej</a>	No Response	No

79	Petronet LNG Ltd.	Oil and Gas	The A'bad zonal unit of the Directorate General of Central Excise Intelligence (DGCEI) has detected in all service tax evasion of Rs181.22 crore during the year 2011-12 (up to December 2011). So far Rs76.38 crore has been recovered against the evasion. Of the total evasion detected, evasion by 15 companies was found in the last four months. Some of the names include Petronet LNG, United Phosphorus Ltd and Cadila Pharmaceuticals etc.	Service tax evasion	Principle 1	January 11, 2011	DNA India	<a href="http://www.dnaindia.com/india/report-ahmedabad-dgcei-detects-rs181cr-tax-evasion-in-11-12-1636197">http://www.dnaindia.com/india/report-ahmedabad-dgcei-detects-rs181cr-tax-evasion-in-11-12-1636197</a>	No Response	No
80	Petronet LNG Ltd.	Oil and Gas	The Expert Appraisal Committee under the Ministry of Environment and Forests has deferred clearance of land-based Liquefied Natural Gas (LNG) terminal at Gangavaram Port in Andhra Pradesh, being developed by Petronet LNG Limited, for want of compliance reports. Citing pollution issues that were raised during public hearing on the project, the EAC has advised the company to obtain report on compliance status of EC/Consent conditions from the Andhra Pradesh Pollution Control Board and regional office of Ministry of Environment and Forests (MoEF), Bengaluru.	Violations of Compliance Reports and pollution issues	Principle 1 & 6	November 11, 2013	The Hindu	<a href="http://www.thehindu.com/business/Industry/eac-defers-clearance-of-petronets-lng-project/article5339292.ece">http://www.thehindu.com/business/Industry/eac-defers-clearance-of-petronets-lng-project/article5339292.ece</a>	No Response	No

81	Cadila Healthcare Ltd.	Healthcare	National Pharmaceutical Pricing Authority (NPPA) has penalised around 1,000 pharmaceutical companies for overcharging while selling medicines used to treat serious illnesses like respiratory tract infection, infection in bones, joints and severe pain in the body among others. According to the official, the regulator has only been able to recover Rs 234.37 cr from these drug manufacturers as penalty for overcharging. The list of companies penalised includes big players like Ranbaxy Laboratories, Johnson & Johnson, Cipla Ltd, Torrent Pharmaceuticals, Dr. Reddy Lab, Glaxo India, Cadila Healthcare and many others.	Overpricing of products	Principle 9, 1 & 5	May 25, 2013	The Sunday Gaurdian	<a href="http://www.sunday-guardian.com/business/big-pharma-companies-fined-for-overcharging">http://www.sunday-guardian.com/business/big-pharma-companies-fined-for-overcharging</a>	No Response	No
82	Cadila Healthcare Ltd.	Healthcare	Around 350 workers staged protest outside the factory gates of Ahmedabad-based pharmaceutical major Cadila Healthcare's (Zydus Cadila) Moraiya plant demanding reinstatement of a section of workers who were asked to leave. Earlier there used to be two operators working on one machine. As per a new rule, now one operator has been asked to work on a single machine. And as a result of this decision, around 350 workers were asked to leave today as they were not necessary.	Labour strike	Principle 3 & 5	January 28, 2014	Business Standard	<a href="http://www.business-standard.com/article/companies/zydus-cadila-moraiya-plant-faces-labour-unrest-114012800907_1.html">http://www.business-standard.com/article/companies/zydus-cadila-moraiya-plant-faces-labour-unrest-114012800907_1.html</a>	No Response	No

83	Cadila Healthcare Ltd.	Healthcare	The US health regulator has issued a warning to Cadila Healthcare for violation of current good manufacturing practice (CGMP) regulations for finished pharmaceuticals at its facility in Gujarat. American health regulator said it identified significant violations of CGMP regulations for finished pharmaceuticals. Your firm's laboratory records fail to include complete data derived from all tests necessary to assure compliance with established specifications and standards	Violation of good manufacturing practices.	Principle 2 & 5	July 6, 2011	Business Standard	<a href="http://www.business-standard.com/article/companies/cadila-gets-us-warning-for-manufacturing-violations-111070600162_1.html">http://www.business-standard.com/article/companies/cadila-gets-us-warning-for-manufacturing-violations-111070600162_1.html</a>	No Response	No
84	Bank of India	Finance	The fixed-deposit (FD) fraud at banks is getting bigger. The economic offences wing (EOW) of the Mumbai Police on Thursday said it had filed nine first information reports against several bank officials for colluding with outsiders in committing FD frauds of at least Rs 700 crore. Preliminary inquiry is currently on in another 10 cases.	Fraud	Principle 1	August 29, 2014	Business Standard	<a href="http://www.business-standard.com/article/companies/bank-fd-scam-gets-bigger-9-firs-filed-114082800228_1.html">http://www.business-standard.com/article/companies/bank-fd-scam-gets-bigger-9-firs-filed-114082800228_1.html</a>	A Bank of India executive said the amount in its case was less than Rs 7 crore. Internally, the bank has already taken action against the employee concerned and also tightened monitoring of business related to big-ticket fixed deposits.	No

85	Adani Power Ltd.	Power	The High Court Thursday sought a reply from the state government on a PIL alleging it had recovered Rs 160 crore less as penalty from Adani Power Limited (APL) that had violated its power purchase agreement with state-run Gujarat Urja Vikas Nigam Limited (GUVNL). As per its agreement with APL on February 6, 2007, GUVNL was entitled to recover Rs 240 crore from the company for failure in ensuring proportionate availability of power from its 1320-MW plant at Mundra, the PIL stated.	Violation of power purchase agreement	Principle 1	May 6, 2015	Indian Express	<a href="http://archive.indianexpress.com/news/-state-firm-cut-adani-power-s-penalty-by-rs-160-cr-/1104729/">http://archive.indianexpress.com/news/-state-firm-cut-adani-power-s-penalty-by-rs-160-cr-/1104729/</a>	No Response	No
86	Adani Power Ltd.	Power	The Central Bureau of Investigation (CBI) has registered a preliminary inquiry against unknown officials of Adani Group, owned by Gautam Adani, and is probing an alleged over-invoicing fraud of Rs 2,300 crore. The CBI registered the inquiry last month in the wake of allegations that the Group availed various credit facilities from public sector banks for procuring power generation and transmission equipment from vendors in China and South Korea through a UAE-based intermediary by overvaluing the original price.	Over-invoicing fraud	Principle 1	July 25, 2014	DNA India	<a href="http://www.dnaindia.com/india/report-adani-group-under-cbi-scanner-in-rs-2300-crore-alleged-invoice-scam-2005101">http://www.dnaindia.com/india/report-adani-group-under-cbi-scanner-in-rs-2300-crore-alleged-invoice-scam-2005101</a>	No Response	No
87	Adani Power Ltd.	Power	The High Court Thursday sought a reply from the state government on a PIL alleging it had recovered Rs 160 crore less as penalty from Adani Power Limited (APL) that had violated its power purchase agreement with state-run Gujarat Urja Vikas Nigam Limited (GUVNL)	Violation of power purchase agreement	Principle 1	April 19, 2013	Indian Express	<a href="http://archive.indianexpress.com/news/-state-firm-cut-adani-power-s-penalty-by-rs-160-cr-/1104729/">http://archive.indianexpress.com/news/-state-firm-cut-adani-power-s-penalty-by-rs-160-cr-/1104729/</a>	No Response	No

88	Adani Power Ltd.	Power	The Rs 56,000-crore Adani Group on Monday received a major blow from the Gujarat High Court, which ordered a shutdown of 12 units in Adani Ports and Special Economic Zone (APSEZ), located in Mundra, Kutch district, with immediate effect. A division Bench of Chief Justice Bhaskar Bhattacharya and J B Pardiwala held that APSEZ violated environmental guidelines by allotting land to individual units in the absence of the mandatory clearance under the Environment Impact Assessment (EIA) Notification, 2006.	Environmental guidelines Violations	Principle 6 & 5	January 14, 2014	Business Standard	<a href="http://www.business-standard.com/article/companies/gujarat-hc-orders-shutdown-of-12-units-in-adani-ports-and-sez-114011300817_1.html">http://www.business-standard.com/article/companies/gujarat-hc-orders-shutdown-of-12-units-in-adani-ports-and-sez-114011300817_1.html</a>	No Response	No
89	Adani Power Ltd.	Power	While former Supreme Court judge and Karnataka Lokayukta, Santosh Hegde, recently made scathing remarks about the Adani group over its alleged illegal mining of iron ore, its massive power project in Maharashtra is now held up, waiting for coal allocation after "coalgate". The Central Bureau of Investigation is currently probing the matter.	Illegal mining.	Principle 1	October 31, 2013	Business Standard	<a href="http://www.business-standard.com/article/companies/gautam-adani-faces-his-toughest-test-ever-112103100027_1.html">http://www.business-standard.com/article/companies/gautam-adani-faces-his-toughest-test-ever-112103100027_1.html</a>	No Response	No

90	NHPC Ltd.	Power	The Department of Forests, Environment and Wildlife in Sikkim has fined NHPC Rs. 75 lakh for violation of forest laws and unauthorised dumping of muck at Elaichi Khola and the area downstream of Teesta Stage. The range officer under whose jurisdiction the affected area falls had submitted a complaint regarding illegal dumping in these specific spots late last year. Damage to these areas was so drastic that the forest department had to take the matter to court for a fair decision.	Violations of Forest Laws	Principle 6 & 5	March 1, 2012	The Hindu Business Line	<a href="http://www.thehindubusinessline.com/companies/nhpc-fined-rs-75-lakh-for-dumping-of-waste/article2949883.ece">http://www.thehindubusinessline.com/companies/nhpc-fined-rs-75-lakh-for-dumping-of-waste/article2949883.ece</a>	No Response	No
91	NHPC Ltd.	Power	Locals stopped working on the project and prevented others too after the NHPC's new policy over low-grade requirements leaked. The corporation wanted to outsource the positions to contractors on open bid basis in which beneficiaries would get lowest wages and no rights at all. The C and D categories for the project would get reduced to bonded labour.	Labour Unrest	Principle 3 & 5	July 3, 2012	The Economic Times	<a href="http://articles.economictimes.indiatimes.com/2012-07-03/news/32524064_1_nhpc-officials-locals-power-project">http://articles.economictimes.indiatimes.com/2012-07-03/news/32524064_1_nhpc-officials-locals-power-project</a>	No Response	No
92	NHPC Ltd.	Power	The allegations on 19 officers of various projects of NHPC included corruption in the form of various claims awarded to contractors on breaching of coffer dams in NHPC projects, and complaints against officers of the company regarding irregularities or malpractices at Dhauliganga Power Station of NHPC.	Corruption and Bribery	Principle 1	March 21, 2013	Business Standard	<a href="http://www.business-standard.com/article/companies/19-charges-of-corruption-received-against-nhpc-govt-113032100257_1.html">http://www.business-standard.com/article/companies/19-charges-of-corruption-received-against-nhpc-govt-113032100257_1.html</a>	No Response	No



93	NHPC Ltd.	Power	The contractor was authorized to propose sub contractors provided they meet the prescribed qualification and criteria on the date of proposal. However, as per conspiracy, Shiv Chand Ram, Senior Manager (E) NHPC initiated note sheet for approval of M/s Dee Control and Electric (P) Ltd Kanpur as sub contractor for steel poles in absence of credentials required to be submitted by the contractor.	Corruption	Principle 1	December 6, 2012	Daily Excelsior	<a href="http://www.dailyexcelsior.com/corruption-charges-framed-against-2-nhpc-ces-8-others/">http://www.dailyexcelsior.com/corruption-charges-framed-against-2-nhpc-ces-8-others/</a>	No Response	No
94	Union Bank of India	Finance	A special CBI court on Friday sentenced a former manager of the Union Bank of India to five years jail term and also imposed a fine of Rs 16.5 lakh on him in a case related to fraud. (Not much was given about the case in the article)	Frauds and Allegations	Principle 1	April 25, 2014	IBN Live	<a href="http://www.ibnlive.com/news/india/former-union-bank-manager-gets-5-years-jail-in-fraud-case-683697.html">http://www.ibnlive.com/news/india/former-union-bank-manager-gets-5-years-jail-in-fraud-case-683697.html</a>	No Response	No
95	Union Bank of India	Finance	CBI has registered a case against six persons in Uttar Pradesh for allegedly defrauding Union bank of India of Rs 12.60 crore by availing credit facility on forged documents.	Credit fraud through forged documents.	Principle 1 & 5	March 25, 2010	Hindustan Times	<a href="http://www.hindustantimes.com/newdelhi/six-booked-for-fraud-with-union-bank-of-india/article1-548453.aspx">http://www.hindustantimes.com/newdelhi/six-booked-for-fraud-with-union-bank-of-india/article1-548453.aspx</a>	No Response	No
96	Union Bank of India	Finance	Former chief manager of a Bangalore branch of Union Bank of India has been sentenced to three years rigorous imprisonment by a special judge in Bangalore in a 13-year-old case of financial embezzlement.	Financial Fraud	Principle 1	July 21, 2014	Indian Express	<a href="http://indianexpress.com/article/cities/pune/fraud-at-union-bank-3-sentenced-to-3-yrs-r-i/">http://indianexpress.com/article/cities/pune/fraud-at-union-bank-3-sentenced-to-3-yrs-r-i/</a>	No Response	No

97	Cipla Ltd.	Healthcare	The National Pharmaceutical Pricing Authority (NPPA) recently issued fresh showcause notices to the company, asking why a Rs 105-crore fine shouldn't be imposed. The charge was that Cipla sold Cipro injection and Ciplox in the market allegedly at a price more than what was fixed. Another penalty decision of a little over Rs 1,700 crore is pending against the company the notices were issued this January), with similar allegations of overcharging on several medicines. Most of those are being appealed.	Overpricing of products	Principle 9, 1 & 5	April 29, 2014	Business Standard	<a href="http://www.business-standard.com/article/companies/cipla-faces-fresh-rs-105-cr-penalty-for-overcharging-114042800835_1.html">http://www.business-standard.com/article/companies/cipla-faces-fresh-rs-105-cr-penalty-for-overcharging-114042800835_1.html</a>	No response by the company.	No
98	Cipla Ltd.	Healthcare	India's top drug regulatory agency violated laws and colluded with pharmaceutical companies to approve medicines without clinical trials. Companies including Novartis AG, GlaxoSmithKline Plc and Mumbai-based Cipla Ltd. without clinical trials required to be done in the country. There is sufficient evidence on record to conclude that there is collusive nexus between drug manufacturers, some functionaries of CDSCO and some medical experts, the report said. Such irregular approvals spare drug producers the cost and efforts but put Indian patients at risk.	Ethical Violations	Principle 1 & 5	May 10, 2012	Bloomberg Business	<a href="http://www.bloomberg.com/news/articles/2012-05-10/indian-drug-regulator-accused-of-corruption-and-collusion">http://www.bloomberg.com/news/articles/2012-05-10/indian-drug-regulator-accused-of-corruption-and-collusion</a>	No Response	No

99	Cipla Ltd.	Healthcare	The investigative wing of the Mumbai income tax department has conducted extensive survey operations on the factories, units and official premises of Cipla. The probe will verify suspicions of certain non-permissible expenses	Possible tax frauds	Principle 1	March 7, 2014	Economic Times	<a href="http://articles.economictimes.indiatimes.com/2014-03-07/news/48005503_1_yk-hamied-cipla-spokesperson-cipla-stock">http://articles.economictimes.indiatimes.com/2014-03-07/news/48005503_1_yk-hamied-cipla-spokesperson-cipla-stock</a>	The Income Tax Department has been present at few of Cipla's premises today to verify our records. Cipla strictly abides by the law and pays all taxes as required by all relevant central, state and local departments. We regard these visits as routine and as expected are cooperating fully with the officials.	No
100	Cipla Ltd.	Healthcare	According to reports, the National Pharmaceutical Pricing Authority (NPPA) has penalised nine companies including Ranbaxy, Dr Reddy's Labs, Glenmark, Cipla and Cadila Health, for allegedly selling anti-asthma drug Doxofylline without prior price approval. The asthma drug was being sold between Rs 80-110 per strip.	Overpricing of products	Principle 9,1 & 5	July 19, 2013	Zee News	<a href="http://zeenews.india.com/business/news/companies/9-drug-firms-to-be-fined-rs-2500-cr-for-overpricing-report_80199.html">http://zeenews.india.com/business/news/companies/9-drug-firms-to-be-fined-rs-2500-cr-for-overpricing-report_80199.html</a>	No Response	No

101	Lupin Ltd.	Healthcare	Leading domestic drug makers Lupin, Unichem and Matrix Labs (now part of Mylan) have come under the scanner of the European Commission for curbing entry of the low-cost generic version of cardiovascular medicine Perindopril in the European Union (EU). Of the total fine, Lupin has to pay euro 40 million (around Rs 324 crore), while Unichem and Matrix have been fined for euro 13.96 million (around Rs 113 crore) and euro 17 million (Rs 138 crore), respectively.	Corruption	Principle 1	July 10, 2014	Business Standard	<a href="http://www.business-standard.com/article/companies/eu-slaps-rs-324-cr-fine-on-lupin-for-blocking-hypertension-generic-114070901094_1.html">http://www.business-standard.com/article/companies/eu-slaps-rs-324-cr-fine-on-lupin-for-blocking-hypertension-generic-114070901094_1.html</a>	We are very disappointed with the European Commission's findings. We are still awaiting an official copy of the decision. We remain confident of our position and intend to appeal against the decision	No
102	Lupin Ltd.	Healthcare	Drug-price regulator, National Pharmaceutical Pricing Authority (NPPA) is set to chase drug majors for defaulting on penalties imposed for overcharging consumers.	Overcharging of products	Principle 9, 1 & 5	June 17, 2014	Hindustan Times	<a href="http://www.hindustantimes.com/business-news/regulator-to-chase-drug-cos-on-overcharging/article1-1230192.aspx">http://www.hindustantimes.com/business-news/regulator-to-chase-drug-cos-on-overcharging/article1-1230192.aspx</a>	No Response	No
103	Shree Cements Ltd.	Housing related	The Competition Commission of India on Monday imposed a penalty of Rs. 397.51 crore on Shree Cement for indulging in restrictive trade practices.	Involved in restrictive trade practices.	Principle 1	July 30, 2012	The Hindu Business Line	<a href="http://www.thehindu.com/business/companies/ci-imposes-rs-397-cr-penalty-on-shree-cement/article3703509.ece">http://www.thehindu.com/business/companies/ci-imposes-rs-397-cr-penalty-on-shree-cement/article3703509.ece</a>	No Response	No
104	Shree Cements Ltd.	Housing related	The Competition Commission of India (CCI) has fined 11 cement companies including Shree Cement of over Rs 6,704 crore for indulging in unfair business practices. No more detail was given in the article.	Indulgence in unfair business practices.	Principle 1	February 10, 2014	The Economic Times	<a href="http://articles.economictimes.indiatimes.com/2014-02-10/news/47200610_1_11-cement-firms-cement-companies-shree-cement-ltd">http://articles.economictimes.indiatimes.com/2014-02-10/news/47200610_1_11-cement-firms-cement-companies-shree-cement-ltd</a>	No Response	No

105	Shree Cements Ltd.	Housing related	The Commission has imposed a penalty on Shree Cement Ltd at the rate of half of its profits for the years 2009 - 2010 and 2010 – 2011, aggregating to US\$66.2m. CCI found 11 cement manufacturers, including Shree Cement and the CMA, in contravention of the provisions of the Competition Act 2002, which deal with anti-competitive agreements, including cartels." It asked Shree Cement to refrain from such anti-competitive activities in the future.	Violations of provisions of the Competition Act 2002	Principle 1	June 19, 2014	Global Cement	<a href="http://www.globalcement.com/news/item/2613-cci-imposes-us\$662m-penalty-on-shree-cement">http://www.globalcement.com/news/item/2613-cci-imposes-us\$662m-penalty-on-shree-cement</a>	No Response	No
106	JSW Steel Ltd.	Metal, Metal Products and Mining	India's top criminal investigative agency said it has filed criminal charges against JSW Steel Ltd., its chief executive Vinod Nowal, and other executives for their alleged involvement in procuring iron ore from illegal mines.	Involvement in illegal mining.	Principle 1	October 17, 2012	The Wall Street Journal	<a href="http://www.wsj.com/articles/SB10000872396390444734804578062390134746064">http://www.wsj.com/articles/SB10000872396390444734804578062390134746064</a>	The concerned officers couldn't be reached.	No
107	JSW Steel Ltd.	Metal, Metal Products and Mining	The Income Tax department conducted searches at the business premises of steel giant Jindal Steel Works here for alleged charges of tax evasion. I-T officials refused to share details on the operations. They clarified, however, that these were "search operations and not raid." The department conducted search operations at two of their offices in Mumbai.	Suspicion of Tax Frauds.	Principle 1	March 17, 2011	DNA India	<a href="http://www.dnaindia.com/money/report-jsw-offices-searched-for-possible-tax-evasion-1520812">http://www.dnaindia.com/money/report-jsw-offices-searched-for-possible-tax-evasion-1520812</a>	"All I have to say is that it is a part of the usual survey wherein the officials wanted to verify and check all files and records," said Sharmila Banerjee, spokesperson, JSW Group.	No

108	JSW Steel Ltd.	Metal, Metal Products and Mining	The Central Bureau of Investigation (CBI) filed charges before a special CBI court in Bangalore arraigning JSW Steel executives of carrying out transactions that benefited former Karnataka Chief Minister B.S. Yeddyurappa and his family. The JSW Steel executives mentioned in the charge-sheet include CEO Vinod Nowal and Vikas Sharma, a senior vice president who quit recently to pursue his career outside JSW.	Bribery Case	Principle 1	October 18, 2012	Business Today	<a href="http://businesstoday.intoday.in/story/jsw-steel-sajjan-jindal-needs-to-come-clean/1/189118.html">http://businesstoday.intoday.in/story/jsw-steel-sajjan-jindal-needs-to-come-clean/1/189118.html</a>	No Response	No
109	JSW Steel Ltd.	Metal, Metal Products and Mining	The CBI investigation found the company and its subsidiaries (South West Mining Limited) had given Rs 20 crore as bribe to the education trust run by the kin of former chief minister B S Yeddyurappa as a 'quid-pro-quo' for not insisting recovery of Rs 890 crore from Vijayanagar Minerals Ltd, a joint venture between JSW and state-run Mysore Minerals Ltd (MML).	Bribery	Principle 1	October 18, 2012	Business Standard	<a href="http://www.business-standard.com/article/companies/jsw-steel-cries-foul-over-cbi-charge-sheet-112101800233_1.html">http://www.business-standard.com/article/companies/jsw-steel-cries-foul-over-cbi-charge-sheet-112101800233_1.html</a>	No Response	No
110	ACC Ltd.	Housing related	ACC Ltd has informed BSE that the Honourable Competition Commission of India (CCI) has on June 21, 2012 published an order against several cement manufacturers including ACC Limited and has imposed a penalty of "0.5 times of the profit for the year 2009-10 and 2010-11". For ACC the amount works out to Rs 1147.59 crores.	Violation of Competition Act.	Principle 1	June 22, 2012	CNBC Money Control	<a href="http://www.moneycontrol.com/news/announcements/cci-fines-accpenaltyrs-114759-cr_721288.html">http://www.moneycontrol.com/news/announcements/cci-fines-accpenaltyrs-114759-cr_721288.html</a>	No Response	No

111	ACC Ltd.	Housing related	The Competition Appellate Tribunal (Compat) Friday stayed a Rs6,300 crore penalty on 11 cement makers that had been levied on them for acting as a cartel but said 10% of the amount would need to be deposited pending their appeal. Among the companies, the worst-hit were ACC Ltd, Ambuja Cements Ltd, UltraTech Cement Ltd and Jaiprakash Associates Ltd, which were fined in excess of Rs1,000 crore each.	Violated the provisions of Competition Act, 2002	Principle 1	May 17, 2013	Live Mint	<a href="http://www.livemint.com/Industry/1HnGwqly8bvNZ7k1o1IEcM/Compat-lifts-stay-on-CCI-penalty-in-cement-cartelization-cas.html">http://www.livemint.com/Industry/1HnGwqly8bvNZ7k1o1IEcM/Compat-lifts-stay-on-CCI-penalty-in-cement-cartelization-cas.html</a>	No Response	No
112	Siemens Ltd.	Capital Goods	The Supreme Court on Friday approved Delhi Metro's bidding process to buy 486 coaches for Rs 3,500 crore, fined Siemens Rs 5 lakh for a frivolous challenge while censuring the urban development ministry's attempt to bypass the judicial monitoring.	Ethical Violations	Principle 1	February 15, 2014	Times of India	<a href="http://timesofindia.indiatimes.com/city/delhi/Delhi-Metro-wins-coaches-SC-fines-Siemens-Rs-5-lakh/articleshow/30418919.cms">http://timesofindia.indiatimes.com/city/delhi/Delhi-Metro-wins-coaches-SC-fines-Siemens-Rs-5-lakh/articleshow/30418919.cms</a>	No Response	No
113	Adani Ports and Speical Economic Zone Ltd.	Transport services	The Rs 56,000-crore Adani Group on Monday received a major blow from the Gujarat High Court, which ordered a shutdown of 12 units in Adani Ports and Special Economic Zone (APSEZ), located in Mundra, Kutch district, with immediate effect. A division Bench of Chief Justice Bhaskar Bhattacharya and J B Pardiwala held that APSEZ violated environmental guidelines by allotting land to individual units in the absence of the mandatory clearance under the Environment Impact Assessment (EIA) Notification, 2006.	Violated environmental guidelines	Principle 6	January 14, 2014	Business Standard	<a href="http://www.business-standard.com/article/companies/gujarat-hc-orders-shutdown-of-12-units-in-adani-ports-and-sez-114011300817_1.html">http://www.business-standard.com/article/companies/gujarat-hc-orders-shutdown-of-12-units-in-adani-ports-and-sez-114011300817_1.html</a>	No Response	No

114	Adani Ports and Speical Economic Zone Ltd.	Transport services	Goa state pollution control board (GSPCB) issued a show cause notice to M/s Adani Mormugao Port Terminal Pvt Ltd, mechanized coal/coke handling terminal, as to why its unit's 'consent to operate' at Mormugao Port Trust (MPT) should not be revoked or cancelled for operating in a manner that has been causing environmental pollution in the vicinity. The board also said that failure to satisfactorily comply with the aforesaid directions within the stipulated time period, will compel the board to initiate further stringent legal action against Adani Mormugao Port Terminal Pvt Ltd	Environmental Violations	Principle 6 & 5	December 31, 2014	Times of India	<a href="http://timesofindia.indiatimes.com/city/goa/Adani-terminal-at-MPT-gets-GSPCB-notice-for-pollution/articleshow/45695541.cms">http://timesofindia.indiatimes.com/city/goa/Adani-terminal-at-MPT-gets-GSPCB-notice-for-pollution/articleshow/45695541.cms</a>	No Response	No
115	Adani Ports and Speical Economic Zone Ltd.	Transport services	With over a dozen law suits pending against the Gautam Adani-promoted Adani Ports and Special Economic Zone (APSEZ), there is gloom hanging over India's largest multi-product economic zone. Spread over 6,473 hectares, it has been taken to court on charges ranging from violation of environmental norms to destruction of natural resources.	Environmental Violations	Principle 6	February 24, 2014	Business Standard	<a href="http://www.business-standard.com/article/companies/will-green-trouble-halt-the-adani-juggernaut-114022401298_1.html">http://www.business-standard.com/article/companies/will-green-trouble-halt-the-adani-juggernaut-114022401298_1.html</a>	No Response	No



116	Sun Pharmaceutical Industries Ltd.	Healthcare	In a lab in an Indian village during the height of monsoon season in 2011, a technician hit a delete button—a keystroke that would have consequences three years later. The quality-control employee of Sun Pharmaceutical Industries Ltd had run high-powered chemical analyses on a drug sample to check for impurities that day. A certain level of impurity means the whole batch is supposed to be thrown out. Instead, the results of the failed tests were deleted, according to a previously undisclosed account detailed in a November 2013 Food and Drug Administration (FDA). The following day, workers used a sample from the same batch that passed the test. That result got entered, and the entire batch was declared clean and ready to ship abroad, eventually to be used by patients in the US.	Distortion of data	Principle 1, 9 & 5	December 3, 2014	Live Mint	<a href="http://www.livemint.com/Politics/LwKoCvTtrFgbxRDxTZ73uK/Indian-labs-deleted-test-results-for-US-drugs-FDA-documents.html">http://www.livemint.com/Politics/LwKoCvTtrFgbxRDxTZ73uK/Indian-labs-deleted-test-results-for-US-drugs-FDA-documents.html</a>	No Response	No
117	Sun Pharmaceutical Industries Ltd.	Healthcare	The US Food and Drug Administration (FDA) has just released its long-awaited Warning Letter to Sun Pharmaceuticals following a 483 and import alert announced earlier this year. And as the letter indicates, conditions at the company's Gujarat, India facility are consistent with an ongoing trend of data integrity problems across the Indian pharmaceutical sector.	No proper maintenance of records	Principle 1	May 20, 2014	RAPS	<a href="http://www.raps.org/regulatory-focus/news/2014/05/18804/FDA-Warning-to-Sun-Pharmaceutical/">http://www.raps.org/regulatory-focus/news/2014/05/18804/FDA-Warning-to-Sun-Pharmaceutical/</a>	No Response	No

118	Sun Pharmaceutical Industries Ltd.	Healthcare	In recent months, the FDA, citing quality control problems ranging from data manipulation to sanitation, has banned the importation of products from Ranbaxy Laboratories Ltd , Wockhardt Ltd and, most recently, Sun Pharmaceutical Industries Ltd. So US Doctors share their concerns over the manufacturing of various medicines by Indian Manufacturers or at Indian plants	Violation of Proper maintance of labs.	Principle 9 & 5	March 18, 2014	First Post	<a href="http://www.firstpost.com/world/us-doctors-worried-about-drugs-manufactured-by-indian-pharma-1438635.html">http://www.firstpost.com/world/us-doctors-worried-about-drugs-manufactured-by-indian-pharma-1438635.html</a>	No Response	No
119	Dr. Reddy's Laboratories Ltd.	Healthcare	It may be recalled that two persons were killed on December 21 last year after inhaling nitrogen gas at Dr Reddy's USFDA-approved plant, which produces active pharmaceutical ingredients.	Death due to toxic emissions by the plant.	Principle 6 & 5	March 27, 2011	Indian Express	<a href="http://archive.indianexpress.com/news/govt-files-case-against-dr-reddys-labs/768016/">http://archive.indianexpress.com/news/govt-files-case-against-dr-reddys-labs/768016/</a>	A statement from Dr Reddy's had said, "At the time of the accident, the deceased were handling the process of micronisation of Omeprazole powder. Apart from these two persons, no others were affected. The incident happened in the 'clean room' located in one of the production blocks. The access to that area has been	No

120	Dr. Reddy's Laboratories Ltd.	Healthcare	The authorities at Hyderabad have stumbled upon pharma major Dr Reddy's Laboratories (DRL) dumping untreated effluents into the Jeedimetla Nallah that ultimately leads to Hussainsagar lake. The company has been given a show cause notice (no RR-II-103 /PCB/TFHYD /2013) by the AP Pollution Control Board (APPCB) for the same and asked to respond within 15 days as to why action should not be taken against it for causing severe pollution of Hussainsagar lake.	Environmental Issues	Principle 6 & 5	November 9, 2013	Times of India	<a href="http://timesofindia.indiatimes.com/home/environment/pollution/PCB-notice-to-Dr-Reddys-Laboratories-for-polluting-Hussainsagar/articleshow/25474794.cms">http://timesofindia.indiatimes.com/home/environment/pollution/PCB-notice-to-Dr-Reddys-Laboratories-for-polluting-Hussainsagar/articleshow/25474794.cms</a>	When contacted, a DRL spokesperson said: "Dr Reddy's is aware of the notice and will be responding to the authorities soon. All our plants are zero liquid discharge facilities, which do not discharge effluents. As an environmentally responsible company, we follow stringent pollution	No
121	Dr. Reddy's Laboratories Ltd.	Healthcare	Nine pharmaceutical companies have been fined Rs 2500 crore by the National Pharmaceutical Pricing Authority (NPPA) for overcharging customers which includes Ranbaxy, Dr. Reddy's Lab, Glenmark, Cipla and Cadilla, were overcharging patients on Doxofylline.	Overpricing of products	Principle 9,1 & 5	July 19, 2013	IBN Live	<a href="http://www.ibnlive.com/news/business/pharmaceutical-companies-fined-624995.html">http://www.ibnlive.com/news/business/pharmaceutical-companies-fined-624995.html</a>	No Response	No

122	GlaxoSmithKline Consumer Healthcare Ltd.	Healthcare	GlaxoSmithKline Consumer Healthcare has paid Rs 48 crore in fine to the National Pharmaceutical Pricing Authority for overcharging for its Crocin Advance fever and pain-relief pill. The company was earlier selling Crocin Advance fast release 500mg at Rs 30 for a strip of 15 tablets. The price of paracetamol 500mg (the key ingredient in Crocin Advance) is capped at 94 paise for a tablet or about Rs 14 for a strip of 15.	Overpricing of products	Principle 9, 1 & 5	May 28, 2014	Business Standard	<a href="http://www.business-standard.com/article/companies/gsk-consumer-fined-for-overcharging-114052800299_1.html">http://www.business-standard.com/article/companies/gsk-consumer-fined-for-overcharging-114052800299_1.html</a>	No Response	No
123	Hindalco Industries Ltd.	Metal, Metal Products and Mining	Aditya Birla Group's Hindalco Industries Ltd will have to pay a penalty of around Rs 500 crore for coal mined from blocks termed illegal and, subsequently, cancelled by the Supreme Court's September 24 order.	Illegal coal mining practices.	Principle 1	September 26, 2014	Financial Express	<a href="http://archive.financialexpress.com/news/hindalco-to-pay-rs-500cr-fine-after-sc-coal-block-verdict/1292938">http://archive.financialexpress.com/news/hindalco-to-pay-rs-500cr-fine-after-sc-coal-block-verdict/1292938</a>	No Response	No
124	Hindalco Industries Ltd.	Metal, Metal Products and Mining	The Odisha State Pollution Control Board (OSPCB) has slapped Rs 13.77 crore penalty on Hindalco for unauthorised sale and inept handling of anode butts which are generated as waste from the company's Hirakud plant.	Pollution harassment	Principle 6 & 5	February 28, 2012	Indian Express	<a href="http://www.newindianexpress.com/states/odisha/article325476.ece?service=print">http://www.newindianexpress.com/states/odisha/article325476.ece?service=print</a>	No response by the company.	No
125	Hindalco Industries Ltd.	Metal, Metal Products and Mining	Jindal Steel and Power Ltd, Hindalco and other companies whose coal mine allocations were scrapped by the Supreme Court on Wednesday are likely to pay about Rs 7,905 crore in penalty.	Fines on coal mine allocations.	Principle 4	September 24, 2014	IBN Live	<a href="http://www.ibnlive.com/news/business/sc-scraps-coal-allocations-firms-likely-to-pay-rs-7905-cr-in-penalty-716197.html">http://www.ibnlive.com/news/business/sc-scraps-coal-allocations-firms-likely-to-pay-rs-7905-cr-in-penalty-716197.html</a>	No Response	No

126	Hindustan Copper Ltd.	Metal, Metal Products and Mining	Asia's largest copper mine, located in Malajkhand in Balaghat district in the central Indian state of Madhya Pradesh threatens the tribal and indigenous communities living nearby because of the environmental contamination brought by the mining activities. And the state-owned mining company Hindustan Copper Limited (HCL) - which operates the mine - plans to increase its production capacity from 2 million tons per year to 5 million. Environmentalists fear this will accelerate forest destruction and lead to further contamination of the surrounding environment.	Environmental Issues	Principle 6 & 5	July 26, 2014	World Bulletin	<a href="http://www.worldbulletin.net/pollution/141387/pollution-from-copper-mine-threatens-indian-villages">http://www.worldbulletin.net/pollution/141387/pollution-from-copper-mine-threatens-indian-villages</a>	No Response	No
127	Jaiprakash Associates Ltd.	Housing related	The Securities and Exchange Board of India (Sebi) has imposed a penalty of Rs 70 lakh on three senior executives of Jaiprakash Associates Ltd (JAL), including executive chairman Manoj Gaur and their relatives for involvement in insider trading.	Insider Trading	Principle 1	January 7, 2012	India Today	<a href="http://indiatoday.in/story/jaiprakash-associates-honchos-fined-rs-70-lakh/1/167685.html">http://indiatoday.in/story/jaiprakash-associates-honchos-fined-rs-70-lakh/1/167685.html</a>	No Response	No
128	Jaiprakash Associates Ltd.	Housing related	Competition Commission has ordered a fresh probe against Jaiprakash Associates for alleged unfair business practices in imposing unreasonable conditions on buyers at one of its realty projects. The complaint alleged that the firm was imposing certain anti-competitive clauses in its agreements for buyers.	Involvement in unfair business practices.	Principle 1	September 26, 2014	Hindustan Times	<a href="http://www.hindustantimes.com/business-news/cci-orders-fresh-probe-against-jaiprakash-associates/article1-1268692.aspx">http://www.hindustantimes.com/business-news/cci-orders-fresh-probe-against-jaiprakash-associates/article1-1268692.aspx</a>	No Response	No

129	Jaiprakash Associates Ltd.	Housing related	The Himachal Pradesh High Court has asked Jai Prakash Associates Limited (JAL) to pay Rs 100 crore as damages for flouting environmental laws to build its cement plant in Solan district of Himachal Pradesh. The court also cancelled the environmental clearance granted to the company's 25 MW thermal power plant.	Flouting Environmental Laws	Principle 6	May 7, 2012	Down To Earth	<a href="http://www.downtoearth.org.in/news/high-court-slaps-rs-100-crore-fine-on-jaypee-for-flouting-green-laws-38121">http://www.downtoearth.org.in/news/high-court-slaps-rs-100-crore-fine-on-jaypee-for-flouting-green-laws-38121</a>	No Response	No
130	National Aluminium Co. Ltd.	Metal, Metal Products and Mining	The Odisha government has threatened to stop water supply to mining and refinery division of National Aluminium Company as the Navaratna central public sector unit has failed to pay water dues. The NALCO authorities were not paying any heed to repeated reminders for payment of dues, said a senior Water Resource Department official.	Pollution harassment	Principle 6	July 26, 2015	The Hindu	<a href="http://www.thehindu.com/news/national/other-states/odisha-may-stop-water-supply-to-nalco/article7465443.ece">http://www.thehindu.com/news/national/other-states/odisha-may-stop-water-supply-to-nalco/article7465443.ece</a>	No Response	No
131	National Aluminium Co. Ltd.	Metal, Metal Products and Mining	The Central Bureau of Investigation (CBI) on Friday nabbed National Aluminium Company Ltd (Nalco) chairman and managing director Abhay Kumar Srivastava, wife Chandni, middleman Bhushan Lal Bajaj and his wife Anita on corruption charges. Apart from the 3 kg gold, the CBI team recovered seven kilogram gold bricks from a bank locker that Chandni allegedly held in someone else's name.	Bribery	Principle 1	February 26, 2011	Hindustan Times	<a href="http://www.hindustantimes.com/newdelhi/cbi-arrests-nalco-chief-wife/article1-666821.aspx">http://www.hindustantimes.com/newdelhi/cbi-arrests-nalco-chief-wife/article1-666821.aspx</a>	No response by the company.	No

132	GMR Infrastructure Ltd.	Power	Offices of GMR group were under scrutiny as income-tax officials conducted search and seizure operations on suspicion of tax evasion. The income-tax department's investigation unit carried out searches at its Delhi, Bangalore, Hyderabad and Mumbai offices and is said to have carried back several documents for further investigation.	Tax Evasion	Principle 1	October 12, 2012	DNA India	<a href="http://www.dnaindia.com/money/report-tax-sleuths-lay-siege-to-gmr-offices-1751635">http://www.dnaindia.com/money/report-tax-sleuths-lay-siege-to-gmr-offices-1751635</a>	GMR did not give out details on the nature of documents searched. "We follow the highest ethical and legal standards in our businesses. As an Indian multinational infrastructure developer, we have businesses in Turkey, Singapore, Indonesia, Maldives, South Africa and Nepal besides India and have	No
133	Container Corporation of India Ltd.	Transport services	The Indian Railways, Container Corporation of India (Concor), a navratna PSU, and 17 private companies, including the Adani group, are facing investigations for evasion of service tax to the tune of Rs 1,000 crore. According to sources, the companies (also called Private Container Train Operators or PCTOs) in connivance with the Indian Railways allegedly evaded paying service tax by taking advantage of the relaxation given by the government for goods transported by train.	Tax Evasion	Principle 1	February 18, 2015	Times of India	<a href="http://timesofindia.indiatimes.com/india/Railways-18-companies-face-probe-for-service-tax-evasion/articleshow/46281052.cms">http://timesofindia.indiatimes.com/india/Railways-18-companies-face-probe-for-service-tax-evasion/articleshow/46281052.cms</a>	No Response	No

134	Container Corporation of India Ltd.	Transport services	It started off some kind of sparking in a set of wires in the server room in the office. Since the staff was trained to deal with such fires, they started to douse it, but, suddenly, the fire just shot up and started to spread through the wires. There are about 25 to 35 staff members in the Concor office at any point of time. As soon as the fire started shooting up, everyone was told to evacuate. No injuries were reported.	Safety violations	Principle 4	June 28, 2014	DNA India	<a href="http://www.dnaindia.com/mumbai/report-thankfully-there-were-no-injuries-says-concor-chief-1998271">http://www.dnaindia.com/mumbai/report-thankfully-there-were-no-injuries-says-concor-chief-1998271</a>	No Response	No
135	Rural Electrification Corporation Ltd. URAL ELECTRIFICATION CORPORATION LIMITED	Finance	Acting against listed firms without a mandatory woman director, regulator Sebi on Wednesday announced a minimum Rs 50,000 fine on them and warned of further action, including against promoters and directors, if they remain non-compliant beyond six months. As per data compiled by Prime Database, as many as 32 public sector firms have failed to comply and they include GAIL, ONGC, NTPC, SAIL, Punjab National Bank, Bharat Electronics, BPCL, Container Corp, Power Finance Corp and Rural Electrification Corporation.	Violation of Provisions of Companies Act, 2013	Principle 1 & 5	April 8, 2015	Zee News	<a href="http://zeenews.india.com/business/news/companies/women-directors-erring-companies-to-face-minimum-rs-50-000-fine_123007.html">http://zeenews.india.com/business/news/companies/women-directors-erring-companies-to-face-minimum-rs-50-000-fine_123007.html</a>	No Response	No



136	Bharat Electronics Ltd.	Capital Goods	Acting against listed firms without a mandatory woman director, regulator Sebi on Wednesday announced a minimum Rs 50,000 fine on them and warned of further action, including against promoters and directors, if they remain non-compliant beyond six months. As per data compiled by Prime Database, as many as 32 public sector firms have failed to comply and they include GAIL, ONGC, NTPC, SAIL, Punjab National Bank, Bharat Electronics, BPCL, Container Corp, Power Finance Corp and Rural Electrification Corporation, where the government incidentally sold 5 per cent stake today for Rs 1,550 crore.	Violation of Provisions of Companies Act, 2013	Principle 1 & 5	April 8, 2015	Zee News	<a href="http://zeenews.india.com/business/news/companies/women-directors-erring-companies-to-face-minimum-rs-50-000-fine_123007.html">http://zeenews.india.com/business/news/companies/women-directors-erring-companies-to-face-minimum-rs-50-000-fine_123007.html</a>	No Response	No
137	Neyveli Lignite Corporation Ltd.	Power	Workers unions at the lignite mining-cum-power generating company Neyveli Lignite Corporation Ltd (NLC) Friday threatened to go on strike opposing the five percent divestment decision of the Cabinet Committee on Economic Affairs (CCEA).	Labour strike	Principle 3 & 5	June 21, 2013	Business Standard	<a href="http://www.business-standard.com/article/news-ians/labour-strike-looms-at-neyveli-lignite-over-divestment-113062100707_1.html">http://www.business-standard.com/article/news-ians/labour-strike-looms-at-neyveli-lignite-over-divestment-113062100707_1.html</a>	No Response	No
138	Neyveli Lignite Corporation Ltd.	Power	The workers unions in Neyveli Lignite Corporation Ltd. (NLC) on Wednesday decided to strike work opposing the centre's disinvestment decision even though the Madras High Court earlier in the day restrained them from striking work, said a union leader. "We have decided to go on indefinite strike from today (Wednesday) night onwards," R Udayakumar, secretary of the AIADMK-affiliated Anna Workers and Staff Union.	Labour strike	Principle 3 & 5	July 4, 2013	IBN Live	<a href="http://www.ibnlive.com/news/india/tamil-nadu-neyveli-lignite-workers-strike-work-621016.html">http://www.ibnlive.com/news/india/tamil-nadu-neyveli-lignite-workers-strike-work-621016.html</a>	No response by the company.	No

139	Neyveli Lignite Corporation Ltd.	Power	While CBI has not ruled out concluding that the Hindalco case merits closure, the agency is probing whether the coal ministry sought the views of public sector Neyveli Lignite Corporation (NLC) over the decision to insert the private firm as partner in a joint venture.	Corruption and Bribery	Principle 1	October 27, 2013	Times of India	<a href="http://timesofindia.indiatimes.com/india/CBI-probing-if-Neyveli-Lignite-Corporations-views-sought-on-Hindalco-deal/articleshow/24769654.cms">http://timesofindia.indiatimes.com/india/CBI-probing-if-Neyveli-Lignite-Corporations-views-sought-on-Hindalco-deal/articleshow/24769654.cms</a>	No response by the company.	No
140	Maruti Suzuki India Ltd.	Transport equipments	The Competition Commission slapped a penalty of Rs. 471 crore on Maruti Suzuki for violating trade norms in the spare parts and after services market. The decision, spelt out in a 215-page order, says that auto companies indulged in anti-competitive practices as they did not make genuine spare parts freely available in the open market, upholding the contentions of a petition filed by a complainant in 2011	Violation Of competition norms with respect to its agreements with local Original Equipment Suppliers (OESs)	Principle 1	August 26, 2014	Economic Times	<a href="http://economictimes.indiatimes.com/industry/auto/automobiles/cci-imposes-rs-2545-crore-penalty-on-14-car-makers-including-maruti-suzuki-tata-motors/articleshow/40871116.cms">http://economictimes.indiatimes.com/industry/auto/automobiles/cci-imposes-rs-2545-crore-penalty-on-14-car-makers-including-maruti-suzuki-tata-motors/articleshow/40871116.cms</a>	No Response	No
141	Maruti Suzuki India Ltd.	Transport equipments	A labour court in Gurgaon has directed automobile major Maruti Suzuki India Ltd to pay Rs 1 lakh each as compensation to 425 workers, who were sacked after violence in the company's Manesar plant	Harassment of Workers	Principle 3 & 5	March 6, 2015	Times Of India	<a href="http://timesofindia.indiatimes.com/business/india-business/Maruti-told-to-pay-Rs-1L-each-to-425-sacked-workers/articleshow/46473209.cms">http://timesofindia.indiatimes.com/business/india-business/Maruti-told-to-pay-Rs-1L-each-to-425-sacked-workers/articleshow/46473209.cms</a>	We will consider further course of action based on our understanding of the court's order	No

142	Asian Paints(India) Ltd.	Chemical and Petrochemical	Workers at Asian Paints' have gone on a hunger strike demanding bonus for 2012-13. The Asian Paints Employees Union, affiliated with the All India Central Council of Trade Unions, said an inconclusive discussion with the management early last week has triggered the hunger strike. There are 200 permanent workers and around 300 other workers including contract workers. All the permanent workers are part of the union and are on strike.	Labour Strike	Principle 3 & 5	March 22, 2014	Business Standard	<a href="http://www.business-standard.com/article/companies/workers-of-asian-paints-on-hunger-strike-114032200619_1.html">http://www.business-standard.com/article/companies/workers-of-asian-paints-on-hunger-strike-114032200619_1.html</a>	Talks are going on and we are trying our best to resolve the issue.	No
143	Asian Paints(India) Ltd.	Chemical and Petrochemical	Workers' strike at Asian Paints plant in Tamil Nadu demanding reinstatement of dismissed employees. The operations at the company's paint plant situated at Sriperumbudur, Tamil Nadu, are affected due to the cessation of work by the operators belonging to Asian Paints Employees' Union	Strike due to reinstatement of dismissed employee	Principle 3 & 5	April 29, 2015	Business Standard	<a href="http://www.thehindubusinessline.com/companies/strike-at-asian-paints-tn-unit-enters-3rd-day/article7158991.ece">http://www.thehindubusinessline.com/companies/strike-at-asian-paints-tn-unit-enters-3rd-day/article7158991.ece</a>	NO response	No
144	Ambuja Cements Ltd.	Housing related	The Competition Commission of India (CCI) has slapped with a fine of Rs 6,304 crore for price cartelisation, the highest penalty ever imposed by the fledgling. The act of these cement companies in limiting and controlling supplies in the market and determining prices through an anti-competitive agreement is not only detrimental to the cause of the consumers but also to the whole economy	Voilated the provisions of Competition Act 2002	Principle 1	June 21, 2012	Hindustan Times	<a href="http://www.hindustantimes.com/business-news/cci-fines-11-cement-firms-rs-6-304-cr/article1-876658.aspx">http://www.hindustantimes.com/business-news/cci-fines-11-cement-firms-rs-6-304-cr/article1-876658.aspx</a>	Company denied cartel formation.	No

145	Ambuja Cements Ltd.	Housing related	The National Green Tribunal has fined the cement producing company Ambuja Cements Limited in a case of leakage of toxic gases that damaged agricultural fields. The tribunal observed that the company did not take necessary measures to ensure that the local pollution control authority was informed about the accident, nor did it constitute its own committee of experts to study the impact of the accident.	Environmental Issues	Principle 6 & 5	May 1, 2013	Hindustan Times	<a href="http://www.hindustantimes.com/india-news/guj-pollution-board-ambuja-fined-for-toxic-gases/article1-1157942.aspx">http://www.hindustantimes.com/india-news/guj-pollution-board-ambuja-fined-for-toxic-gases/article1-1157942.aspx</a>	The company, however, said it had complied with most regulations and paid compensation as agreed with the affected farmers.	No
146	Ambuja Cements Ltd.	Housing related	The Competition Commission of India (CCI) ordered Ambuja to pay 6 billion rupees in fees pay for alleged price fixing. The act of these cement companies in limiting and controlling supplies in the market and determining prices through an anti-competitive agreement is not only detrimental to the cause of the consumers but also to the whole economy	Price Fixation	Principle 9,1 & 5	March 17, 2013	Reuters	<a href="http://in.reuters.com/article/2013/05/17/ambujacements-fine-idINDEE94G05420130517">http://in.reuters.com/article/2013/05/17/ambujacements-fine-idINDEE94G05420130517</a>	No response	No
147	Ultratech Cement Ltd.	Housing related	The Competition Commission of India (CCI) has slapped with a fine of Rs 6,304 crore for price cartelisation, the highest penalty ever imposed by the fledgling. The act of these cement companies in limiting and controlling supplies in the market and determining prices through an anti-competitive agreement is not only detrimental to the cause of the consumers but also to the whole economy	Voilated the provisions of Competition Act 2002	Principle 1	June 21, 2012	Hindustan Times	<a href="http://www.hindustantimes.com/business-news/cci-fines-11-cement-firms-rs-6-304-cr/article1-876658.aspx">http://www.hindustantimes.com/business-news/cci-fines-11-cement-firms-rs-6-304-cr/article1-876658.aspx</a>	Company denied cartel formation.	No

148	Ultratech Cement Ltd.	Housing related	Ultra Tech temporarily shut down its operation due to labour unrest. This is for the second time that the Group is forced to stop production in just four days. The operations at the company's unit viz Awarpur Cement Works, Awarpur, Maharashtra, having a capacity of 3.6 mtpa has been temporarily shut down on account of stoppage of work by the workers	Labour Unrest	Principle 3 & 5	March 1, 2013	The Hindu	<a href="http://www.thehindu.com/business/Industry/ultratech-cement-shuts-down-awarpur-plant/article4465754.ece">http://www.thehindu.com/business/Industry/ultratech-cement-shuts-down-awarpur-plant/article4465754.ece</a>	No response	No
149	Ultratech Cement Ltd.	Housing related	The Competition Commission of India (CCI) ordered Ultra Tech to pay 6 billion rupees in fees pay for alleged price fixing. The act of these cement companies in limiting and controlling supplies in the market and determining prices through an anti-competitive agreement is not only detrimental to the cause of the consumers but also to the whole economy	Price Fixation	Principle 9 & 1	March 17, 2013	Reuters	<a href="http://in.reuters.com/article/2013/05/17/ambujacements-fine-idINDEE94G05420130517">http://in.reuters.com/article/2013/05/17/ambujacements-fine-idINDEE94G05420130517</a>	No response	No
150	Nestle India Ltd.	FMCG	The Food Safety and Drug Administration in the northern Indian state of Uttar Pradesh registered a case against Nestle India, after they found seven times the permissible level of lead in a routine test of two dozen noodle packets.	Not providing full information to the customers.	Principle 9 & 5	May 31, 2015	The Times of India	<a href="http://timesofindia.indiatimes.com/india/Barabanki-court-admits-complaint-against-Nestle-India/articleshow/47486409.cms">http://timesofindia.indiatimes.com/india/Barabanki-court-admits-complaint-against-Nestle-India/articleshow/47486409.cms</a>	Nestle India, which sells a vast number of products in India including two-minute noodles, has rejected the FDA's findings and has been conducting its own tests of the batch	Yes

151	Exide Industries Ltd.	Transport equipments	Workers at Exide are at strike & are demanding higher wages and a change in productivity norms, the workers have been on a go-slow agitation since end of March demanding higher wages and modification of the current three-year contract.	Labour strike	Principle 3 & 5	April 22, 2010	Economic Times	<a href="http://articles.economictimes.indiatimes.com/2010-04-22/news/27610545_1_battery-maker-exide-bawal-hero-honda">http://articles.economictimes.indiatimes.com/2010-04-22/news/27610545_1_battery-maker-exide-bawal-hero-honda</a>	As per Exide spokesperson labours are demanding higher wages and a change in productivity norms, which is unreasonable	No
152	Sterlite Industries (India) Ltd.	Metal, Metal Products and Mining	Vice President of Sterlite Industries Varadharajan was arrested in connection with alleged import excise duty evasion of Rs 750 crore, the alleged duty evasion in import of raw materials for production of copper products was detected during a raid in the factory premises here for the past two days.	Import Excise Evasion	Principle 1	July 24, 2010	Business Standard	<a href="http://www.business-standard.com/article/companies/sterlite-vp-arrested-for-alleged-duty-evasion-110072400118_1.html">http://www.business-standard.com/article/companies/sterlite-vp-arrested-for-alleged-duty-evasion-110072400118_1.html</a>	the firm had not evaded any excise/customs duty related to its copper operations at Thoothukudi as alleged	NO
153	Sterlite Industries (India) Ltd.	Metal, Metal Products and Mining	Supreme Court fined Sterlite Industries nearly 100 crore rupees for polluting the environment, the company is asked to pay Rs. 100 crore as compensation for polluting land and water by running it without approval for a considerable period of time.	Environmental Issues	Principle 6 & 5	April 2, 2013	The Hindu	<a href="http://www.thehindu.com/news/national/tamil-nadu/sc-slaps-rs-100-cr-penalty-on-sterlite-for-pollution/article4572805.ece">http://www.thehindu.com/news/national/tamil-nadu/sc-slaps-rs-100-cr-penalty-on-sterlite-for-pollution/article4572805.ece</a>	The unit denied in a statement any gas leak and said "key readings of the particular period were well within the permissible range	NO
154	Bharat Heavy Electronics Ltd.	Capital Goods	BHEL have been handed penalties by the Central Vigilance Commission for their alleged involvement in corrupt practices. Five officials working in BHEL have been penalised	Involved in Corrupt practices	Principle 1	August 6, 2012	Deccan Herald	<a href="http://www.deccanherald.com/content/269757/172-govt-officials-penalised-corruption.html">http://www.deccanherald.com/content/269757/172-govt-officials-penalised-corruption.html</a>	No Response	No

155	Bharat Heavy Electronics Ltd.	Capital Goods	General manager of Bharat Heavy Electricals Ltd (BHEL) here and two others were arrested for allegedly demanding and accepting a bribe of Rs 1.10 lakh. The accused, in conspiracy with each other, demanded bribe from the complainant at the rate of 2 per cent of the amount in the supply order. The complainant did not want to pay the bribe and lodged a complaint with CBI	Bribery Case	Principle 1	July 25, 2012	The Archive	<a href="http://archive.indianexpress.com/news/bhel-gm-others-arrested-for-bribery/979163/">http://archive.indianexpress.com/news/bhel-gm-others-arrested-for-bribery/979163/</a>	No response	NO
156	Bharat Heavy Electronics Ltd.	Capital Goods	The State government has issued a notice to the Bharat Heavy Electricals Limited (BHEL), slapping a fine of Rs 250 crore for the technical flaws in the construction of unit 8 of the Raichur Thermal Power Station (RTPS). The company had supplied faulty power generating equipments	penalty for supplying faulty power generating equipments	Principle 2 & 9	August 12, 2012	Money Control	<a href="http://www.moneycontrol.com/news/business/govt-slaps-notice-to-bhel-asking-to-pay-rs-25cr-as-penalty_743990.html">http://www.moneycontrol.com/news/business/govt-slaps-notice-to-bhel-asking-to-pay-rs-25cr-as-penalty_743990.html</a>	No response	NO
157	Bharat Heavy Electronics Ltd.	Capital Goods	The employees of the public structure Bharat Heavy Electrical Ltd - Electrical Machines Ltd. (BHEL-EML) launch an indefinite hunger strike on Tuesday in protest against the management's alleged failure to revise their pay structure and update manufacture technology	Labour Issues	Principle 3 & 5	February 4, 2014	The Hindu	<a href="http://www.thehindu.com/todays-paper/tp-national/tp-kerala/bheleml-staff-to-go-on-hunger-strike/article5651367.ece">http://www.thehindu.com/todays-paper/tp-national/tp-kerala/bheleml-staff-to-go-on-hunger-strike/article5651367.ece</a>	No response by the company.	NO

158	Hero MotoCorp Ltd.	Transport equipments	A case of cheating, forgery and criminal conspiracy has been lodged against Hero Motocorp and its senior officials on a Delhi court order for allegedly colluding with each other to wrongfully terminate a contract. According to complainant Brains Logistics, the motorcycle manufacturer had hired over 550 trained employees from it in 2001, but had terminated its contract abruptly in 2010. The private firm has also alleged that Hero MotoCorp had produced forged resignation letters of these employees in court and tried to transfer their PF accounts.	Cheating , Forgery and Criminal Conspiracy	Principle 1	January 16, 2013	The Times of India	<a href="http://timesofindia.indiatimes.com/city/gurgaon/Delhi-Police-serve-notices-to-Hero-MotoCorp-officials/articleshow/18040276.cms">http://timesofindia.indiatimes.com/city/gurgaon/Delhi-Police-serve-notices-to-Hero-MotoCorp-officials/articleshow/18040276.cms</a>	In December 2013, the two-wheeler manufacturer filed a petition in the Delhi High Court, presenting documents to support its arguments in relation to the case.	NO
159	Hero MotoCorp Ltd.	Transport equipments	Around 5,000 workers (including around 700 permanent workers) went on strike protesting the suspension of a permanent worker, Amarnath. Production came to a standstill at the plant , where the company manufactures around 9,000 motorcycles a day	Labour Unrest	Principle 3 & 5	August 31, 2013	The Hindu	<a href="http://www.thehindubusinessline.com/companies/hero-motocorp-workers-at-haridwar-plant-call-off-strike/article5079355.ece">http://www.thehindubusinessline.com/companies/hero-motocorp-workers-at-haridwar-plant-call-off-strike/article5079355.ece</a>	Hero MotoCorp's spokesperson said the issue was related to a disciplinary show-cause notice issued to a worker for misrepresenting facts while applying for work at the plant, in violation of the model standing orders and policies laid down by the company.	NO



160	Hero MotoCorp Ltd.	Transport equipments	Hero MotoCorp Workers Union (HMCWU) had threatened to go on strike at the Gurgaon plant against 'police action' on some workers, who have been alleged by the management of assaulting supervisors in the shop floor of the plant.	Labour Unrest	Principle 3 & 5	September 7, 2013	The Financial Express	<a href="http://archive.financialexpress.com/news/hero-motocorps-haridwar-labour-pain-spreads-to-gurgaon/1166789">http://archive.financialexpress.com/news/hero-motocorps-haridwar-labour-pain-spreads-to-gurgaon/1166789</a>	The issue shall be sorted out very soon and will not accept any indiscipline	NO
161	Hero MotoCorp Ltd.	Transport equipments	The workers' union at the Gurgaon plant of India's largest two-wheeler manufacturer Hero MotoCorp Ltd has gone on a strike between 12-6pm. The workers are demanding the reinstatement of union members who have been suspended for more than a year now	Labour Issues	Principle 3 & 5	August 4, 2014	Live Mint	<a href="http://www.livemint.com/Companies/R9pb3wMUL1sG3cKtQ3i6XM/Hero-MotoCorp-workers-on-strike-demand-reinstatement-of-uni.html">http://www.livemint.com/Companies/R9pb3wMUL1sG3cKtQ3i6XM/Hero-MotoCorp-workers-on-strike-demand-reinstatement-of-uni.html</a>	Hero MotoCorp's spokesperson could not be reached for comment	No
162	Hero MotoCorp Ltd.	Transport equipments	The workers are demanding that the company reinstate few employees who had been suspended. This issue dates back to August 2013, when the workers stopped the production for the same issue. But somehow the management resolved the issue but suspended 38 workers for taking part in the strike. Later, 11 of the suspended employees were taken back but 27 workers remain suspended, which is the root cause of the current strife.	Labour Issues	Principle 3 & 5	November 27, 2014	Business Standard	<a href="http://www.business-standard.com/article/companies/labour-unrest-at-hero-motocorp-unit-in-haridwar-114112601476_1.html">http://www.business-standard.com/article/companies/labour-unrest-at-hero-motocorp-unit-in-haridwar-114112601476_1.html</a>	No response	NO
163	Cairn India Ltd.	Oil and Gas	A senior executive at Cairn India has been arrested in a deepening corporate espionage scandal involving some of India's top oil producers. The scandal got murkier on as confidential documents including an input for the upcoming finance minister's Budget speech were allegedly leaked in the whole operation.	Corporate espionage	Principle 1	February 21, 2015	India Today	<a href="http://indiatoday.intoday.in/story/corporate-espionage-petroleum-ministry-reliance-essar/1/420184.html">http://indiatoday.intoday.in/story/corporate-espionage-petroleum-ministry-reliance-essar/1/420184.html</a>	The three senior executives of Cairns India who are under the scanner in the leakgate case, have denied	No

164	MMTC Ltd.	Miscellaneous	MMTC have been handed penalties by the Central Vigilance Commission for their alleged involvement in corrupt practices. Seven officials working in MMTC Ltd have been penalised for being corrupt	Corruption	Principle 1	August 6, 2012	Deccan Herald	<a href="http://www.deccanherald.com/content/269757/172-govt-officials-penalised-corruption.html">http://www.deccanherald.com/content/269757/172-govt-officials-penalised-corruption.html</a>	No Response	No
165	MMTC Ltd.	Miscellaneous	Anti-corruption sleuths of the CBI arrested a retired general manager of Minerals and Metals Trading Corporation of India (MMTC) for allegedly forging documents and causing a loss of 89 crore to the Centre.	Forgery	Principle 1	November 20, 2012	Hindustan Times	<a href="http://timesofindia.indiatimes.com/city/chennai/CBI-arrests-ex-official-of-minerals-corporation-for-fraud/articleshow/17287981.cms">http://timesofindia.indiatimes.com/city/chennai/CBI-arrests-ex-official-of-minerals-corporation-for-fraud/articleshow/17287981.cms</a>	No Response	No
166	MMTC Ltd.	Miscellaneous	The Central Bureau of Investigation (CBI) arrested a senior official of the Mineral and Metal Trading Corporation (MMTC) K Ravi Prasad in connection with alleged irregularities in gold imports .A senior official of the Mineral and Metal Trading Corporation (MMTC) K Ravi Prasad were arrested for alleged irregularities in gold imports and default of payment, worth over Rs 200 crore totally	Alleged irregularities in gold imports and default of payment	Principle 1	December 27, 2013	ZEE News	<a href="http://zeenews.india.com/news/maharashtra/cbi-arrests-businessman-mmtc-official-for-irregularities_899835.html">http://zeenews.india.com/news/maharashtra/cbi-arrests-businessman-mmtc-official-for-irregularities_899835.html</a>	The company had itself filed the complaint	NO
167	Indian Oil Corporation Ltd.	Oil and Gas	An Indian Oil Corporation official was arrested in Ambala for allegedly accepting a bribe of Rs 6000 for giving green cards for oil tankers. CBI said the Safety In-charge of Indian Oil Corporation, Ambala cantonment was arrested for demanding and accepting a bribe from a oil tanker operator.	criminal conspiracy, cheating and Prevention of Corruption Act	Principle 1	May 21, 2013	Economic Times	<a href="http://articles.economictimes.indiatimes.com/2013-05-21/news/39418558_1_oil-tankers-graft-case-demanding-and-accepting-bribe">http://articles.economictimes.indiatimes.com/2013-05-21/news/39418558_1_oil-tankers-graft-case-demanding-and-accepting-bribe</a>	No response	NO

168	Indian Oil Corporation Ltd.	Oil and Gas	Market regulator Securities and Exchange Board of India (SEBI) found giant like Indian Oil Corporation (IOL) to have violated various capital market guidelines. The firm were found to be non-compliant with the norms pertaining to the composition of the board of directors. These rules were related to minimum number of independent directors.	Violation of various Capital Market guidelines	Principle 1	December 5, 2014	Business Standard	<a href="http://www.business-standard.com/article/pti-stories/sebi-finds-22-psus-violating-norms-sbi-files-for-settlement-114120500619_1.html">http://www.business-standard.com/article/pti-stories/sebi-finds-22-psus-violating-norms-sbi-files-for-settlement-114120500619_1.html</a>	No Response	No
169	Indian Oil Corporation Ltd.	Oil and Gas	State-owned Indian Oil Corp's (IOC) director (R&D)-designate Debangshu Ray has been suspended for allegedly leaking confidential information to a private company.	allegedly leaked sensitive information on phone to an executive of private company	Principle 1	March 3, 2015	NDTV	<a href="http://www.ndtv.com/india-news/indian-oil-corporation-director-designate-suspended-over-information-leak-743706">http://www.ndtv.com/india-news/indian-oil-corporation-director-designate-suspended-over-information-leak-743706</a>	The company suspended and is yet to serve chargesheet against him	NO
170	TATA Motors Ltd.	Transport equipments	Failing to comply with its orders, the state consumer disputes redressal commission, Chandigarh, has awarded six months' imprisonment to managing directors of Tata motors and Hind motors. Tata Motors Limited to replace defective parts and repair the car sold to Sarin, besides paying compensation to him.	Failed to comply with its orders	Principle 9 & 5	May 2, 2013	Hindustan Times	<a href="http://www.hindustantimes.com/chandigarh/state-consumer-court-awards-6-month-jail-to-tata-motors-md/article1-1053904.aspx">http://www.hindustantimes.com/chandigarh/state-consumer-court-awards-6-month-jail-to-tata-motors-md/article1-1053904.aspx</a>	Tata Motors have their own side of the story to tell in the case	no

171	TATA Motors Ltd.	Transport equipments	The service tax department has passed an order on Tata Motors for service tax evasion. The department has levied a tax demand of around Rs 400 crore. According to the service tax department, some of the Tata Motors authorised service stations were not deducting service tax on the bills. In addition to this, the auto financing transaction that took place Tata Motors did not cut service tax on the fees that it received and thus the department has levied a tax demand of around Rs 400 crore for the period between 2004 and 2011.	Service tax evasion	Principle 1	July 23, 2013	MONEY CONTROL	<a href="http://www.moneycontrol.com/news/cnbc-tv18-comments/tata-motors-gets-rs-400cr-noticeservice-tax-dept_922548.html">http://www.moneycontrol.com/news/cnbc-tv18-comments/tata-motors-gets-rs-400cr-noticeservice-tax-dept_922548.html</a>	NO	The company has preferred appeal before CESTAT (Customs, Excise and Service Tax Appellate Tribunal) and the matter is sub judice
172	TATA Motors Ltd.	Transport equipments	The Competition Commission slapped a penalty of Rs. 1346 crore on TATA Motors for violating trade norms in the spare parts and after services market. The decision, spelt out in a 215-page order, says that auto companies indulged in anti-competitive practices as they did not make genuine spare parts freely available in the open market, upholding the contentions of a petition filed by a complainant in 2011.	Violation of competition norms with respect to its agreements with local Original Equipment Suppliers (OESs)	Principle 1	August 26, 2014	Economic Times	<a href="http://economictimes.indiatimes.com/industry/auto/automobiles/ci-imposes-rs-2545-crore-penalty-on-14-car-makers-including-maruti-suzuki-tata-motors/articleshow/40871116.cms">http://economictimes.indiatimes.com/industry/auto/automobiles/ci-imposes-rs-2545-crore-penalty-on-14-car-makers-including-maruti-suzuki-tata-motors/articleshow/40871116.cms</a>	No Response	No

173	Larsen & Toubro Ltd.	Capital Goods	The World Bank has barred Larsen & Toubro (L&T) from doing any business with it or the projects funded by it for six months, after finding that a senior executive of the conglomerate has indulged in fraud. The debarment will continue till September 6, making L&T ineligible for being awarded contracts for any World Bank-funded projects, from receiving any loan proceeds made by the Bank or participating in any Bank-financed project.	Fraudulent practices	Principle 1	March 9, 2013	THE Hindu	<a href="http://www.thehindu.com/business/Industry/world-bank-bans-lt-for-forgery/article4488843.ece">http://www.thehindu.com/business/Industry/world-bank-bans-lt-for-forgery/article4488843.ece</a>	no	Lack of response
174	WIPRO Ltd.	Information Technology	Wipro was threatened with legal action by authorities for allegedly not paying Rs 16.47 crore property tax as sought by the Bangalore municipal corporation. The dispute is with regard to its corporate headquarters and facilities at Doddakannelli village. The BBMP says the company has to pay tax as calculated at Rs 20 per sq ft.	Tax Evasion	Principle 1	January 18, 2013	Economic Times	<a href="http://articles.economictimes.indiatimes.com/2013-01-18/news/36415661_1_suresh-senapaty-tax-notice-property-tax">http://articles.economictimes.indiatimes.com/2013-01-18/news/36415661_1_suresh-senapaty-tax-notice-property-tax</a>	Wipro termed the notice as "intimidatory tactics to collect money against unsubstantiated notice of payment" and said it was exploring all legal options to seek redressal.	No

175	WIPRO Ltd.	Information Technology	Wipro employee among seven held on job fraud charge, An amount of Rs. 4.69 lakh was recovered from the employees. An amount of Rs. 4.69 lakh was recovered from theM. Shaik Bibi Hajra, working with a software company's Human Resources wing at Tarnaka ganged up with Anil Kumar, Pramod and Vignesh Shukla to form a fictitious man power consultancy. The four were from Hyderabad. The quartet would lure the unemployed with placements in reputed companies through the backdoor, seeking money in return.	Fraud	Principle 1 & 5	March 7, 2014	The Hindu	<a href="http://m.thehindu.com/news/cities/Hyderabad/wipro-employee-among-seven-held-on-job-fraud-charge/article5761571.ece">http://m.thehindu.com/news/cities/Hyderabad/wipro-employee-among-seven-held-on-job-fraud-charge/article5761571.ece</a>	Wipro tried to trace the fraudsters	Yes
176	NMDC Ltd.	Metal, Metal Products and Mining	NMDC employees strike work. Employees of the (NMDC) observed a day-long strike to protest the Union government's plan to sell 10 per cent stake in the company.	Labour issues	Principle 3 & 5	April 9, 2015	The Hindu	<a href="http://www.thehindu.com/news/national/other-states/nmdc-employees-strike-work/article7085625.ece">http://www.thehindu.com/news/national/other-states/nmdc-employees-strike-work/article7085625.ece</a>	the strike was called to caution government as the proposed disinvestment is unjustified and against the interests of corporation and its stakeholders	NO
177	NTPC Ltd.	Power	NTPC runs into a fly-ash problem with environment ministry. The company has been asked not to use fly ash as landfill or as agricultural manure — two methods of disposal till now considered safe.	Environmental issues	Principle 6 & 5	December 23, 2010	DNA Analysis	<a href="http://www.dnaindia.com/money/report-ntpc-runs-into-a-fly-ash-problem-with-environment-ministry-1484928">http://www.dnaindia.com/money/report-ntpc-runs-into-a-fly-ash-problem-with-environment-ministry-1484928</a>	No Response	No

178	NTPC Ltd.	Power	Acting against listed firms without a mandatory woman director, regulator Sebi on Wednesday announced a minimum Rs 50,000 fine on them and warned of further action, including against promoters and directors, if they remain non-compliant beyond six months. As per data compiled by Prime Database, as many as 32 public sector firms have failed to comply and they include GAIL, ONGC, NTPC, SAIL, Punjab National Bank, Bharat Electronics, BPCL, Container Corp, Power Finance Corp and Rural Electrification Corporation, where the government incidentally sold 5 per cent stake today for Rs 1,550 crore.	Violation of provisions Companies Act 2013	Principle 3 & 5	April 8, 2015	Zee News	<a href="http://zeenews.india.com/business/news/companies/women-directors-erring-companies-to-face-minimum-rs-50-000-fine_123007.html">http://zeenews.india.com/business/news/companies/women-directors-erring-companies-to-face-minimum-rs-50-000-fine_123007.html</a>	No Response	
179	NTPC Ltd.	Power	Bribery case: NTPC officer Susheel Gupta, Additional General Manager gets 7-year imprisonment and a fine of Rs two lakh , for demanding a bribe from a contractor. Judge Shyam Lal also slapped a fine of Rs two lakh on Susheel Gupta, Additional General Manager in NTPC Dadri project	Bribery Case	Principle 1 & 5	May 1, 2012	The Indian Express	<a href="http://archive.indianexpress.com/news/bribery-case-ntpc-officer-gets-7year-ri/944024/">http://archive.indianexpress.com/news/bribery-case-ntpc-officer-gets-7year-ri/944024/</a>	No Response	No
180	NTPC Ltd.	Power	Market regulator Securities and Exchange Board of India (SEBI) found giant like NTPC to have violated various capital market guidelines. The firm were found to be non-compliant with the norms pertaining to the composition of the board of directors. These rules were related to minimum number of independent directors.	Violation of various Capital Market guidelines	Principle 3 & 5	December 5, 2014	Business Standard	<a href="http://www.business-standard.com/article/pti-stories/sebi-finds-22-psus-violating-norms-sbi-files-for-settlement-114120500619_1.html">http://www.business-standard.com/article/pti-stories/sebi-finds-22-psus-violating-norms-sbi-files-for-settlement-114120500619_1.html</a>	No Response	No

181	NTPC Ltd.	Power	An AGM-ranked officer of the National Thermal Power Corporation (NTPC) was arrested on the charge of cheating a person to the tune of `20 lakh. The officer had prepared forged documents to show that he owns landed property in Chandaka and handed over the same to Sahu.Sahu found the documents to be fake and lodged a complaint	Bribery Case	Principle 1	August 1, 2014	The New Indian Express	<a href="http://www.newindianexpress.com/states/odisha/NTPC-AGM-Arrested/2014/08/01/article2358804">http://www.newindianexpress.com/states/odisha/NTPC-AGM-Arrested/2014/08/01/article2358804</a> .	No response	No
182	NTPC Ltd.	Power	NTPC's Badarpur unit among the most polluting power plant, 55% of the thermal power units were violating air pollution standards. The performance of government-owned NTPC, which is also the largest coal-power producing company in India, was also found to be below par.	Environmental issues	Principle 6 & 5	July 27, 2015	Live Mint	<a href="http://www.livemint.com/Industry/tLlrYuHTI2oCR3nwDYa49M/NTPCs-Badarpur-unit-among-the-most-polluting-power-plants.html">http://www.livemint.com/Industry/tLlrYuHTI2oCR3nwDYa49M/NTPCs-Badarpur-unit-among-the-most-polluting-power-plants.html</a>	No response	No
183	Infosys Ltd.	Information Technology	A group of women employees at Infosys, India's second largest information technology (IT) services company, has brought charges of sexual harassment against a senior executive of the company	Sexual Harassment	Principle 3 & 5	November 6, 2014	Business Standard	<a href="http://www.business-standard.com/article/companies/group-of-women-employees-levels-sexual-harassment-charge-against-infy-executive-114110600041_1.html">http://www.business-standard.com/article/companies/group-of-women-employees-levels-sexual-harassment-charge-against-infy-executive-114110600041_1.html</a>	Appropriate action will take place against the said individual	NO



184	ITC Ltd.	FMCG	SEBI slapped a penalty of Rs. 5 lakh on an executive of diversified group ITC Ltd for failing to make disclosures about shareholding after selling over Rs. 35 lakh worth shares . Sebi found that Ghosh, head-human resource and competency development with ITC, had offloaded 10,000 shares of ITC amounting to Rs 35,27,078 on July 11, 2013 but had failed to make the disclosures on the same.	PIT (Prohibition of Insider Trading) Regulations	Principle 1	July 7, 2014	NDTV Profit	<a href="http://profit.ndtv.com/news/industries/article-sebi-slaps-rs-5-lakh-fine-on-itc-executive-584827">http://profit.ndtv.com/news/industries/article-sebi-slaps-rs-5-lakh-fine-on-itc-executive-584827</a>	As per the executive she had submitted to Sebi that she was under the "bonafide belief" that she was not an 'officer' of the company and was not required to make any such disclosures.	No
185	ITC Ltd.	FMCG	HR manager at ITC fined Rs 5 lakh for not disclosing the sale of 10,000 ITC shares to exchanges within the stipulated time	Prohibition of Insider Trading Regulations	Principle 1	August 22, 2014	The Economic Times	<a href="http://articles.economictimes.indiatimes.com/2014-08-22/news/53112852_1_insider-trading-regulations-wipro-shares-saurabh-govilv">http://articles.economictimes.indiatimes.com/2014-08-22/news/53112852_1_insider-trading-regulations-wipro-shares-saurabh-govilv</a>	No Response	NO
186	Oil and Natural Gas Corporation Ltd.	Oil and Gas	Corruption of over Rs 3 crore, in which donations were collected by top ONGC officials in the name of charity from multinational drilling contractors. They had also alleged misappropriation of funds meant for corporate social responsibility.	Corruption & alleged misappropriation of funds meant for corporate social responsibility.	Principle 8	November 24, 2011	Daily newspaper Analysis	<a href="http://www.dnaindia.com/india/report-pil-accuses-ongc-of-collecting-funds-in-the-name-of-charity-1617192">http://www.dnaindia.com/india/report-pil-accuses-ongc-of-collecting-funds-in-the-name-of-charity-1617192</a>	No Response	NO

187	Oil and Natural Gas Corporation Ltd.	Oil and Gas	Acting against listed firms without a mandatory woman director, regulator Sebi on Wednesday announced a minimum Rs 50,000 fine on them and warned of further action, including against promoters and directors, if they remain non-compliant beyond six months. As per data compiled by Prime Database, as many as 32 public sector firms have failed to comply and they include GAIL, ONGC, NTPC, SAIL, Punjab National Bank, Bharat Electronics, BPCL, Container Corp, Power Finance Corp and Rural Electrification Corporation, where the government incidentally sold 5 per cent stake today for Rs 1,550 crore.	Violation of provisions of Companies Act 2013	Principle 3 & 5	April 8, 2015	Zee News	<a href="http://zeenews.india.com/business/news/companies/women-directors-erring-companies-to-face-minimum-rs-50-000-fine_123007.html">http://zeenews.india.com/business/news/companies/women-directors-erring-companies-to-face-minimum-rs-50-000-fine_123007.html</a>	No Response	
188	Oil and Natural Gas Corporation Ltd.	Oil and Gas	Bora, who was posted in Sivasagar district, was accused of demanding and accepting a bribe of Rs 40,000 from a contractor for awarding him a contract. The special court further fined him Rs 50,000 in the same offence.	Bribery Case	Principle 1 & 5	March 24, 2014	The Assam Times	<a href="http://www.assamtimes.org/node/13705">http://www.assamtimes.org/node/13705</a>	No Response	NO
189	Oil and Natural Gas Corporation Ltd.	Oil and Gas	Market regulator Securities and Exchange Board of India (SEBI) found giant like Oil and Natural Gas Corp (ONGC) to have violated various capital market guidelines. The firm were found to be non-compliant with the norms pertaining to the composition of the board of directors. These rules were related to minimum number of independent directors.	Violation of various Capital Market guidelines	Principle 1	December 5, 2014	Business Standard	<a href="http://www.business-standard.com/article/pti-stories/sebi-finds-22-psus-violating-norms-sbi-files-for-settlement-114120500619_1.html">http://www.business-standard.com/article/pti-stories/sebi-finds-22-psus-violating-norms-sbi-files-for-settlement-114120500619_1.html</a>	No Response	No

190	Oil and Natural Gas Corporation Ltd.	Oil and Gas	Twelve persons were injured on when an ONGC's gas well near Olpad town caught fire during a repair operation. Four of the injured are in a serious condition. The incident occurred when a blow-out preventer (BOP), which is installed on wells to prevent a fire, was being repaired at a well in OLPAD-31 in Ankleshwar basin, ONGC's Ankleshwar asset manager Har Govind said. The injured, he said, have been rushed to a hospital in Surat. A blowout occurred as the well got activated during repair of the BOP.	Health and safety	Principle 4 & 5	April 19, 2015	MINT LIVE	<a href="http://www.livemint.com/Companies/I52bma8CQSF3uPBE9TxzPN/12-injured-as-ONGCs-gas-well-catches-fire-near-Surat.html">http://www.livemint.com/Companies/I52bma8CQSF3uPBE9TxzPN/12-injured-as-ONGCs-gas-well-catches-fire-near-Surat.html</a>	Providing all necessary medical treatment and have mobilized its crisis management team	NO
191	Reliance Power Ltd.	Power	The Comptroller and Auditor General (CAG) said the Ministry of Power and Ministry of Coal gave post-bid concessions to Reliance Power Limited (RPL) for the 4000 MW Sasan mega power project and this not only vitiated the bidding process but also led to conferring "undue benefits" to the tune of Rs. 29,033 crore to the project developer (Reliance). It also flayed the Government for allowing Reliance Power to use surplus coal from blocks allotted to Sasan power plant for its other projects.	Corruption	Principle 1	August 17, 2012	The Hindu	<a href="http://www.thehindu.com/news/national/reliance-power-got-undue-benefit-of-rs-29033-cr-cag/article3784810.ece">http://www.thehindu.com/news/national/reliance-power-got-undue-benefit-of-rs-29033-cr-cag/article3784810.ece</a>	NO response	No
192	Reliance Power Ltd.	Power	4 states slap Rs 400cr fine on R Power for delay in Krishnapatnam project. The four states include Andhra Pradesh, Tamil Nadu, Karnataka and Maharashtra.	Fine on Krishnapatnam project delay	Principle 1	March 21, 2012	Money Control	<a href="http://www.moneycontrol.com/news/buzzing-stocks/4-states-slap-rs-400cr-finer-power-stock-down-23_683321.html?utm_source=ref_article">http://www.moneycontrol.com/news/buzzing-stocks/4-states-slap-rs-400cr-finer-power-stock-down-23_683321.html?utm_source=ref_article</a>	NO response	no

193	Reliance Industries Ltd.	Oil and Gas	Finance Ministry have issued summons seeking documents pertaining to sale of insurance policies and commission paid as part of its probe into alleged service tax evasion of over Rs. 300 crore by them. The notices were sent under Section 14 of the Central Excise Act 1944 to the companies, they said.	Service Tax Evasion	Principle 1	October 7, 2012	The Hindu(BUSINESS LINE)	<a href="http://www.thehindubusinessline.com/banking/service-tax-evasion-insurance-firms-summoned/article3974530.ece">http://www.thehindubusinessline.com/banking/service-tax-evasion-insurance-firms-summoned/article3974530.ece</a>	RLIC is in full compliance with applicable laws and regulations and will be providing the required information as desired	NO
194	Reliance Industries Ltd.	Oil and Gas	ONGC has complained that Reliance Industries is not cooperating with the state firm in its efforts to prevent RIL from drawing natural gas from the public sector unit's undeveloped fields that seem to extend into the controversial KG-D6 block	Extended case of KG -Gas Dispute	Principle 1	February 24, 2014	Economic Times	<a href="http://articles.economictimes.indiatimes.com/2014-02-27/news/47740086_1_oil-ministry-ril-spokesman-dgh">http://articles.economictimes.indiatimes.com/2014-02-27/news/47740086_1_oil-ministry-ril-spokesman-dgh</a>	RIL spokesman confirmed the two companies are in talks to resolve the matter.	No
195	Reliance Industries Ltd.	Oil and Gas	Reliance Infratel, a subsidiary of Reliance Communications, evaded taxes worth up to Rs 1,000 crore. The service tax department thinks that Reliance Infratel is liable to pay taxes on the fees it received from the group company for services rendered.	Tax Evasion	Principle 1	March 19, 2014	Money Control (CNBC TV 18)	<a href="http://www.moneycontrol.com/news/cnbc-tv18-comments/reliance-infratel-under-service-tax-scanner_1053622.html">http://www.moneycontrol.com/news/cnbc-tv18-comments/reliance-infratel-under-service-tax-scanner_1053622.html</a>	The company has denied the reports claiming them baseless and saying that it hasn't evaded a single penny.	NO
196	Reliance Industries Ltd.	Oil and Gas	The Directorate General of Civil Aviation (DGCA) grounded the business jet for carrying expired safety equipment. Most of the safety equipment on board RCDL's 14-seater Falcon 900EX, with call-sign VT-ISH, like fire extinguishers, was past its expiry date.	Safety Issues	Principle 4 & 5	March 24, 2014	Hindustan Times	<a href="http://www.hindustantimes.com/india-news/dgca-grounds-reliance-private-jet-over-serious-safety-lapses/article1-1199005.aspx">http://www.hindustantimes.com/india-news/dgca-grounds-reliance-private-jet-over-serious-safety-lapses/article1-1199005.aspx</a>	No response	NO

197	Reliance Industries Ltd.	Oil and Gas	Reliance Industries Ltd, India's biggest private oil company, by slapping an additional penalty of \$579 million - or Rs 3,474 crore at a dollar exchange rate of Rs 60 - for failing to meet the gas production commitment from its Andhra offshore field. The penalty has been slapped for missing the production target in 2013-14 and brings the total fine against the company to \$2.37 billion, or Rs 14,200 crore, in the four financial years beginning April 1, 2010.	Failing to meet the gas production commitment	Principle 1	July 15, 2014	Times of india	<a href="http://timesofindia.indiatimes.com/business/india-business/Govt-slaps-another-fine-of-579-million-on-Reliance-Industries-Ltd/articleshow/38399666.cms">http://timesofindia.indiatimes.com/business/india-business/Govt-slaps-another-fine-of-579-million-on-Reliance-Industries-Ltd/articleshow/38399666.cms</a>	The company argue that the contract does not allow penalty for drop in production, which they have blamed on geographical factors such as water and sand choking wells	no
198	Reliance Industries Ltd.	Oil and Gas	Shailesh Saxena from Reliance Industries were allegedly paying Rs 70,000-1,00,000 a month each to Lalta Prasad and his brother Rakesh Kumar for supplying official documents pertaining to the oil, coal and power ministries. The scandal got murkier on as confidential documents including an input for the upcoming finance minister's Budget speech were allegedly leaked in the whole operation.	Corporate Espionage	Principle 1	February 15, 2015	The Indian Express	<a href="http://indianexpress.com/article/india/india-others/corporate-espionage-delhi-court-grants-bail-to-four-accused/">http://indianexpress.com/article/india/india-others/corporate-espionage-delhi-court-grants-bail-to-four-accused/</a>	RIL spokesperson said the company had been informed that one of its staffers had been detained and that it would conduct a probe and would cooperate	NO
199	Reliance Industries Ltd.	Oil and Gas	Labours on strike, demanding higher wages for contract labourers. RIL has maintained the massive pay disparity for the last 30 years.	Voilation of Labour rights	Principle 3 & 5	May 12, 2015	The Hindu(BUSINESS LINE)	<a href="http://www.thehindubusinessline.com/companies/strike-at-rils-patalganga-factory-on-wage-issue/article7198337.ece">http://www.thehindubusinessline.com/companies/strike-at-rils-patalganga-factory-on-wage-issue/article7198337.ece</a>	Reliance Industries denied there was strike and said work at the factory is continuing as usual	NO

200	Power Grid Corporation of India Ltd.	Power	The Power Grid Corporation of India, is allegedly dealing with extra high tension power in a dangerous manner, ignoring all the provision of security and safety codes and guidelines of the Government of India, several accidents have taken place due to reluctance on the part of the authorities to adhere to these codes.	Violation Of safety norms	Principle 4 & 5	June 29, 2012	The Assam Tribune	<a href="http://www.assamtribune.com/scripts/detailsnew.asp?id=may2912/state05">http://www.assamtribune.com/scripts/detailsnew.asp?id=may2912/state05</a>	No Response	No
201	Power Grid Corporation of India Ltd.	Power	Sushil Kumar Gupta, the then Additional General Manager, Power Grid Corporation of India Ltd., had been caught red-handed while demanding and accepting a bribe of Rs 5000 from a contractor and had been sentenced to undergo seven years of rigorous imprisonment with a total fine of Rs. 2 lakh	Bribery Case	Principle 1	May 1, 2012	NetIndian	<a href="http://netindian.in/news/2012/05/01/00019924/former-power-grid-corp-official-sent-prison-7-years-bribery-case">http://netindian.in/news/2012/05/01/00019924/former-power-grid-corp-official-sent-prison-7-years-bribery-case</a>	No Response	No
202	TATA Steel Ltd.	Metal, Metal Products and Mining	Tata Steel Ltd. fined Rs 6,000 crore for allegedly mining above volume limits in the mineral-rich eastern state of Orissa. Deputy Director of Mines (DDM) of Joda mining circle has slapped the fine on the steelmaker and on several other iron ore producers on charges of "illegal and excess mining"	Violation of mining norms in the state.	Principle 1	November 5, 2012	Economic Times	<a href="http://articles.economictimes.indiatimes.com/2012-11-05/news/34925656_1_captive-iron-ore-mines-shah-commission-vital-steel">http://articles.economictimes.indiatimes.com/2012-11-05/news/34925656_1_captive-iron-ore-mines-shah-commission-vital-steel</a>	The company refuses to pay the lofty fine saying that it "has paid royalty and taxes for whatever ore has been mined over and above the permissible limi	No

203	Cummins India Ltd.	Transport equipments	Engines maker Cummins India Ltd workers at its production facility at Pune city in Maharashtra went on strike from Sept. 15 demanding re-opening of a six-month-old wage agreement.	Labour Strike	Principle 3 & 5	September 16, 2009	Business Standard	<a href="http://www.business-standard.com/article/companies/strike-at-cummins-39-pune-plant-109091600135_1.html">http://www.business-standard.com/article/companies/strike-at-cummins-39-pune-plant-109091600135_1.html</a>	Denied the allegation imposed on the company. According to the company, the union members' version that they have not been given incentives for the past four months was incorrect.	No
204	Steel Authority of India Ltd.	Metal, Metal Products and Mining	SAIL have been handed penalties by the Central Vigilance Commission for their alleged involvement in corrupt practices.	Corruption	Principle 1	August 6, 2012	Deccan Herald	<a href="http://www.deccanherald.com/content/269757/172-govt-officials-penalised-corruption.html">http://www.deccanherald.com/content/269757/172-govt-officials-penalised-corruption.html</a>	No response by the company.	No
205	Steel Authority of India Ltd.	Metal, Metal Products and Mining	CBI has registered a case of alleged graft against the then Deputy General Manager and other officials of Steel Authority of India Limited in Bhilai for favouring a Mumbai-based private company in the supply of raw material.	Alleged cheating under the Prevention of Corruption Act.	Principle 1	January 17, 2014	Economic Times	<a href="http://articles.economictimes.indiatimes.com/2014-01-17/news/46301388_1_cbi-official-and-residential-premises-alleged-graft">http://articles.economictimes.indiatimes.com/2014-01-17/news/46301388_1_cbi-official-and-residential-premises-alleged-graft</a>	No Response	No

206	Steel Authority of India Ltd.	Metal, Metal Products and Mining	Acting against listed firms without a mandatory woman director, regulator Sebi announced a minimum Rs 50,000 fine on them and warned of further action, including against promoters and directors, if they remain non-compliant beyond six months. As per data compiled by Prime Database, as many as 32 public sector firms have failed to comply and they include GAIL, ONGC, NTPC, SAIL, Punjab National Bank, Bharat Electronics, BPCL, Container Corp, Power Finance Corp and Rural Electrification Corporation, where the government incidentally sold 5 per cent stake today for Rs 1,550 crore.	Violation of provisions of Companies Act 2013	Principle 3 & 5	April 8, 2015	Zee News	<a href="http://zeenews.india.com/business/news/companies/women-directors-erring-companies-to-face-minimum-rs-50-000-fine_123007.html">http://zeenews.india.com/business/news/companies/women-directors-erring-companies-to-face-minimum-rs-50-000-fine_123007.html</a>	No response	NO
207	Steel Authority of India Ltd.	Metal, Metal Products and Mining	Market regulator Securities and Exchange Board of India (SEBI) found giant like SAIL to have violated various capital market guidelines. The firm were found to be non-compliant with the norms pertaining to the composition of the board of directors. These rules were related to minimum number of independent directors.	Violation of various Capital Market guidelines	Principle 1	December 5, 2014	Business Standard	<a href="http://www.business-standard.com/article/pti-stories/sebi-finds-22-psus-violating-norms-sbi-files-for-settlement-114120500619_1.html">http://www.business-standard.com/article/pti-stories/sebi-finds-22-psus-violating-norms-sbi-files-for-settlement-114120500619_1.html</a>	No Response	No
208	Steel Authority of India Ltd.	Metal, Metal Products and Mining	Steel Authority of India Ltd threatened with fines for flouting clean-energy rules as regulators crack down on lapses that undermine the nation's renewable-credit trading market. The company has been penalised due to the failure of it to observe clean-power mandates weakens.	Environmental issues	Principle 6	July 2, 2013	Live Mint	<a href="http://www.livemint.com/Industry/1508QtZ1MfEOJ2FW6iakyN/SAIL-Jindal-Steel-threatened-with-fines-for-cleanpower-lap.html">http://www.livemint.com/Industry/1508QtZ1MfEOJ2FW6iakyN/SAIL-Jindal-Steel-threatened-with-fines-for-cleanpower-lap.html</a>	Didn't respond to an e-mail and two phone calls seeking comment.	No



209	Jindal Steel and Power Ltd.	Metal, Metal Products and Mining	Jindal Steel threatened with fine Rs.290 crore (\$49 million) for flouting clean-energy rules as regulators crack down on lapses that undermine the nation's renewable-credit trading market. The company has been penalised due to the failure of it to observe clean-power mandates weakens.	Environmental issues	Principle 6	July 2, 2013	Live Mint	<a href="http://www.livemint.com/Industry/1508QtZ1MfEOJ2FW6iakyN/SAIL-Jindal-Steel-threatened-with-fines-for-cleanpower-lap.html">http://www.livemint.com/Industry/1508QtZ1MfEOJ2FW6iakyN/SAIL-Jindal-Steel-threatened-with-fines-for-cleanpower-lap.html</a>	No response	No
210	Jindal Steel and Power Ltd.	Metal, Metal Products and Mining	JSPL has indulged in duty evasion of Rs 55 crore regarding the aircraft that it bought in November 2008 from California's J Aviation for Rs 244 crore.	Duty Evasion	Principle 1	January 13, 2014	Times of India	<a href="http://timesofindia.indiatimes.com/business/india-business/Notice-to-Jindal-firm-for-Rs-55-crore-tax-evasion/articleshow/28716227.cms">http://timesofindia.indiatimes.com/business/india-business/Notice-to-Jindal-firm-for-Rs-55-crore-tax-evasion/articleshow/28716227.cms</a>	No Response	No
211	DLF Ltd.	Housing related	Real estate major DLF has come under the scanner of central revenue officials for allegedly evading service tax to the tune of about Rs 30 crore. The liability for payment of service tax is on the owners who transfer land to others. DLF did not discharge its service tax liability on the consideration received towards transfer of the development rights of its land	Service Tax Evasion	Principle 1	September 28, 2014	Business Standard	<a href="http://www.business-standard.com/article/pti-stories/df-under-scanner-for-alleged-service-tax-evasion-114092800113_1.html">http://www.business-standard.com/article/pti-stories/df-under-scanner-for-alleged-service-tax-evasion-114092800113_1.html</a>	DLF denied any charge of service tax evasion by it and any of its group companies.	No
212	DLF Ltd.	Housing related	An FIR filed against realty major DLF and its top officials for fraud. As per the written agreement that DLF had entered into with buyers, the realtor had to build an exclusive club with all modern facilities for home buyers and was supposed to hand it over to Park Place Condominium Association for its functioning, but buyers were betrayed by not giving charge to association	Fraud	Principle 1	March 17, 2015	Business Standard	<a href="http://www.business-standard.com/article/news-ians/fir-against-dlf-top-officials-for-fraud-115031701069_1.html">http://www.business-standard.com/article/news-ians/fir-against-dlf-top-officials-for-fraud-115031701069_1.html</a>	no one from the DLF was available for comment.	No

213	Coal India Ltd.	Metal, Metal Products and Mining	India's competition watchdog has fined Coal India Ltd (CIL) and three of its subsidiaries a combined Rs.1,773 crore for misusing their position as monopoly suppliers of coal to fix prices and supply poor-quality coal, and do so on conditions that favoured them over the buyers.	Contravention of the provisions of section 4(2)(a)(i) of the Competition Act, 2002 for imposing unfair/discriminatory conditions	Principle 1	December 11, 2013	Economic Times	<a href="http://articles.economictimes.indiatimes.com/2013-12-12/news/45123222_1_dividend-income-coal-producer-competition-commission">http://articles.economictimes.indiatimes.com/2013-12-12/news/45123222_1_dividend-income-coal-producer-competition-commission</a>	Coal India Ltd (CIL) has moved the Competition Appellate Tribunal against an order by the Competition Commission of India (CCI) slapping a Rs 1,773-crore penalty on it for unfair trade practices.	No
214	Coal India Ltd.	Metal, Metal Products and Mining	Market regulator Securities and Exchange Board of India (SEBI) found giant like Coal India Limited to have violated various capital market guidelines. The firm were found to be non-compliant with the norms pertaining to the composition of the board of directors. These rules were related to minimum number of independent directors.	Violation of various Capital Market guidelines	Principle 1	December 5, 2014	Business Standard	<a href="http://www.business-standard.com/article/pti-stories/sebi-finds-22-psus-violating-norms-sbi-files-for-settlement-114120500619_1.html">http://www.business-standard.com/article/pti-stories/sebi-finds-22-psus-violating-norms-sbi-files-for-settlement-114120500619_1.html</a>	No Response	No
215	Coal India Ltd.	Metal, Metal Products and Mining	A strike by coal miners in India has shut down some mines and disrupted supply at others as unions vowed the biggest walkout in decades to halt plans by Prime Minister Narendra Modi to privatize the industry.	Labour Strike, blackouts	Principle 3 & 5	January 6, 2015	Bloomberg	<a href="http://www.bloomberg.com/news/articles/2015-01-06/coal-india-workers-strike-to-fight-modi-s-privatization-plans">http://www.bloomberg.com/news/articles/2015-01-06/coal-india-workers-strike-to-fight-modi-s-privatization-plans</a>	No comments	No
216	Idea Cellular Ltd.	Telecom	A total penalty of Rs 123.44 crore was imposed on Idea Cellular till September 30, 2014, for violation of customer verification guidelines	Violation of customer verification guidelines	Principle 9	December 10, 2014	Economic Times	<a href="http://articles.economictimes.indiatimes.com/2014-12-10/news/56917429_1_total-penalty-subscriber-verification-crore">http://articles.economictimes.indiatimes.com/2014-12-10/news/56917429_1_total-penalty-subscriber-verification-crore</a>	No response	No

217	Idea Cellular Ltd.	Telecom	Telecom firm IDEA Cellular Ltd has been fined Rs 71,000 by a consumer forum for not redressing a complaint of a woman against unwanted activation of a service on her mobile connection which voluntarily disconnected calls.	Harassment	Principle 3 & 5	January 21, 2015	Business Standard	<a href="http://www.business-standard.com/article/pti-stories/idea-cellular-ltd-asked-to-pay-rs-71-k-for-deficiency-115012100773_1.html">http://www.business-standard.com/article/pti-stories/idea-cellular-ltd-asked-to-pay-rs-71-k-for-deficiency-115012100773_1.html</a>	The firm, however, contended before the forum that according to law, it was not providing any service to her.	No
218	Idea Cellular Ltd.	Telecom	The government has imposed penalty of Rs 90 Lakh on Idea Cellular till May 31, 2015 for exceeding the prescribed limit for radiation from mobile towers. The number of towers exceeding the limit stood at 103 in 2012-13 and 77 in 2013-14	Penalty for violation of actual EMF radiation norm	Principle 6 & 5	August 8, 2015	The Indian Express	<a href="http://indianexpress.com/article/technology/tech-news-technology/telcos-fined-rs-10-80-crore-for-exceeding-radiation-limits-airtel-fined-maximum/">http://indianexpress.com/article/technology/tech-news-technology/telcos-fined-rs-10-80-crore-for-exceeding-radiation-limits-airtel-fined-maximum/</a>	No response	No
219	Bharti Airtel Ltd.	Telecom	Bharti Airtel, the country's largest mobile services provider by subscribers, faces an income tax demand of Rs 1,067 crore on its overseas operations. The department has alleged a breach of sections 201 (consequences of failure to deduct or pay taxes) and section 195 (any person responsible for paying to a non-resident) of the I-T Act	breach of sections 201 (consequences of failure to deduct or pay taxes) and section 195 (any person responsible for paying to a non-resident) of the I-T Act.	Principle 1	January 19, 2012	Business Standard	<a href="http://www.business-standard.com/article/technology/bharti-airtel-gets-rs-1-067-cr-i-t-notice-to-appeal-112011900041_1.html">http://www.business-standard.com/article/technology/bharti-airtel-gets-rs-1-067-cr-i-t-notice-to-appeal-112011900041_1.html</a>	No response	No

220	Bharti Airtel Ltd.	Telecom	Telecom regulator TRAI has imposed Rs 1 lakh penalty on Bharti Airtel in 2012-13 for violating norms laid by it to check telemarketing calls and SMSes. The Telecom Regulatory Authority of India (TRAI) has imposed Rs 1 lakh fine on Bharti Airtel for violation of the provisions of the Telecom Commercial Communications Customer Preference Regulations during the ongoing financial year.	Violation of the provision of Telecom Commercial Communications Customer Preference Regulations	Principle 9	February 27, 2013	The Indian Express	<a href="http://indianexpress.com/tag/bharti-airtel-fined/">http://indianexpress.com/tag/bharti-airtel-fined/</a>	No response	No
221	Bharti Airtel Ltd.	Telecom	The Department of Telecommunications (DoT) has slapped a penalty of Rs 350 crore on Bharti Airtel for not stopping third-generation (3G) mobile data services through roaming pacts outside its licensed zones in seven circles	Fine over 3G Roaming	Principle 1	March 15, 2013	NDTV Profit	<a href="http://profit.ndtv.com/news/industries/article-bharti-airtel-slapped-rs-350-crore-fine-by-telecom-department-over-3g-roaming-319540">http://profit.ndtv.com/news/industries/article-bharti-airtel-slapped-rs-350-crore-fine-by-telecom-department-over-3g-roaming-319540</a>	No response	No
222	Bharti Airtel Ltd.	Telecom	Telecom major Bharti Airtel has received a show cause notice from service tax department for alleged tax evasion of Rs 200 crore. The notice has been served to Bharti for claiming tax credit on towers. The service tax department said that towers are immovable property, so there was no credit due to the company.	Service Tax Evasion	Principle 1	May 22, 2013	Money Control	<a href="http://www.moneycontrol.com/news/business/bharti-airtel-gets-service-tax-noticers-200-crore_877148.html">http://www.moneycontrol.com/news/business/bharti-airtel-gets-service-tax-noticers-200-crore_877148.html</a>	Bharti though has contested that stance saying that towers are not immovable property.	No
223	Bharti Airtel Ltd.	Telecom	Bharti Airtel has been penalised the maximum amount for breaching mobile number portability norms. The maximum penalty for contravention of MNP regulation has been imposed on Airtel (Rs 4.6 crore)	Breaching mobile number portability norms.	Principle 1	November 28, 2014	Economic Times	<a href="http://articles.economictimes.indiatimes.com/2014-11-28/news/56540147_1_loop-mobile-financial-disincentive-reliance-telecom">http://articles.economictimes.indiatimes.com/2014-11-28/news/56540147_1_loop-mobile-financial-disincentive-reliance-telecom</a>	No response	No

224	Bharti Airtel Ltd.	Telecom	A total penalty of Rs 309.87 crore was imposed on Bharti Airtel till September 30, 2014, for violation of customer verification guidelines. By invoking licence conditions, the government has prescribed penalties to be imposed on telecom service providers who, during audit, are found non-compliant to the licence conditions and instructions issued by the government on the subject of CAF verification,	Violation of customer verification guidelines	Principle 9 & 5	December 10, 2014	Economic Times	<a href="http://articles.economictimes.indiatimes.com/2014-12-10/news/56917429_1_total-penalty-subscriber-verification-crore">http://articles.economictimes.indiatimes.com/2014-12-10/news/56917429_1_total-penalty-subscriber-verification-crore</a>	No response	No
225	Bharti Airtel Ltd.	Telecom	Telecom major Bharti Airtel has been directed by a consumer forum to pay Rs 38,500 to a Delhi-based couple for not providing them Internet TV and broadband connection even after receiving the payment.	Grievances and Complaints	Principle 9 & 5	April 3, 2015	NDTV Profit	<a href="http://profit.ndtv.com/news/corporates/article-bharti-airtel-to-pay-rs-38-500-as-penalty-to-consumer-751822">http://profit.ndtv.com/news/corporates/article-bharti-airtel-to-pay-rs-38-500-as-penalty-to-consumer-751822</a>	The telecom firm, however, denied any deficiency of service on its part	No
226	Bharti Airtel Ltd.	Telecom	The Bangalore bench of the Customs, Excise and Service Tax Appellate Tribunal (CESTAT) has asked Indian telecom company Bharati Airtel to pay over Rs 7 billion fine for evading customs duty by undervaluing hardware imports.	Custom Duty Evasion	Principle 1	June 14, 2015	The Hindu	<a href="http://www.thehindubusinessline.com/industry-and-economy/infotech/bharti-airtel-to-pay-rs-700-crore-for-customs-duty-evasion-case/article3528776.ece">http://www.thehindubusinessline.com/industry-and-economy/infotech/bharti-airtel-to-pay-rs-700-crore-for-customs-duty-evasion-case/article3528776.ece</a>	a company spokesperson said, "Bharti Airtel is yet to receive a copy of the order."	No
227	Bharti Airtel Ltd.	Telecom	The government has imposed penalty of Rs 2.15 crore on Bharti Airtel till May 31, 2015 for exceeding the prescribed limit for radiation from mobile towers	Penalty for violation of actual EMF radiation norm	Principle 6	August 8, 2015	The Indian Express	<a href="http://indianexpress.com/article/technology/tech-news-technology/telcos-fined-rs-10-80-crore-for-exceeding-radiation-limits-airtel-fined-maximum/">http://indianexpress.com/article/technology/tech-news-technology/telcos-fined-rs-10-80-crore-for-exceeding-radiation-limits-airtel-fined-maximum/</a>	No response	No

228	GAIL (India) Ltd.	Oil and Gas	GAIL have been handed penalties by the Central Vigilance Commission for their alleged involvement in corrupt practices.	Involved in corruption	Principle 1	August 6, 2012	Deccan Herald	<a href="http://www.deccanherald.com/content/269757/172-govt-officials-penalised-corruption.html">http://www.deccanherald.com/content/269757/172-govt-officials-penalised-corruption.html</a>	No Response	No
229	GAIL (India) Ltd.	Oil and Gas	Acting against listed firms without a mandatory woman director, regulator Sebi announced a minimum Rs 50,000 fine on them and warned of further action, including against promoters and directors, if they remain non-compliant beyond six months. As per data compiled by Prime Database, as many as 32 public sector firms have failed to comply and they include GAIL, ONGC, NTPC, SAIL, Punjab National Bank, Bharat Electronics, BPCL, Container Corp, Power Finance Corp and Rural Electrification Corporation, where the government incidentally sold 5 per cent stake today for Rs 1,550 crore.	Violations of Provisions of Companies Act 2013	Principle 3 & 5	April 8, 2015	Zee News	<a href="http://zeenews.india.com/business/news/companies/women-directors-erring-companies-to-face-minimum-rs-50-000-fine_123007.html">http://zeenews.india.com/business/news/companies/women-directors-erring-companies-to-face-minimum-rs-50-000-fine_123007.html</a>	No Response	NO
230	GAIL (India) Ltd.	Oil and Gas	CBI today arrested an officer of Gas Authority of India Limited for allegedly receiving bribe of Rs 1 lakh at his residence for favouring a contractor. Ashok Kumar Lal, designated as competent authority of GAIL, had allegedly demanded Rs 5 lakh as bribe from a person for clearing compensation of land owner whose land is used for laying the gas pipeline.	Bribery Case	Principle 1	February 1, 2015	Business Standard	<a href="http://www.business-standard.com/article/pti-stories/gail-officer-arrested-for-taking-bribe-115020100778_1.html">http://www.business-standard.com/article/pti-stories/gail-officer-arrested-for-taking-bribe-115020100778_1.html</a>	No response	No
231	GAIL (India) Ltd.	Oil and Gas	CBI on Friday seized nearly 18 kilograms of gold valuing over Rs 4.5 crore from the lockers of an official of Gas Authority of India Limited during searches in Rudrapur, Uttarakhand, in connection with graft allegations	Graft Allegations	Principle 1	June 28, 2013	IBN Live	<a href="http://www.ibnlive.com/news/india/cbi-seizes-18-kilogram-gold-from-a-gail-officials-locker-619714.html">http://www.ibnlive.com/news/india/cbi-seizes-18-kilogram-gold-from-a-gail-officials-locker-619714.html</a>	No response	No

232	GAIL (India) Ltd.	Oil and Gas	The Petroleum and Natural Gas Regulatory Board has ordered GAIL Ltd pay Rs 20 lakh in fine for the lapses by the state-run gas company that caused a pipeline explosion in June last year in Andhra Pradesh, which had killed 21 people and injured 18 others.	Human Rights Issues	Principle 3 & 5	July 23, 2015	The Economic Times	<a href="http://articles.economictimes.indiatimes.com/2015-07-23/news/64772648_1_pipeline-explosion-lpg-pipeline-pipeline-operator">http://articles.economictimes.indiatimes.com/2015-07-23/news/64772648_1_pipeline-explosion-lpg-pipeline-pipeline-operator</a>	GAIL has embarked on an exercise to strengthen its safety standards	No
233	HCL Technologies Ltd.	Information Technology	CBI registers case against officials of HCL Infosystem, dealing in optical fibre transmission in awarding the contract for broadcast network during Commonwealth Games held in Delhi	Charged for irregularities in broadcast network contract	Principle 1	June 9, 2011	The Hindu	<a href="http://www.thehindu.com/news/national/cwg-scam-cbi-registers-case-against-mtnl-noida-hcl/article2088027.ece">http://www.thehindu.com/news/national/cwg-scam-cbi-registers-case-against-mtnl-noida-hcl/article2088027.ece</a>	No response	No
234	Hindustan Unilever Ltd.	FMCG	Hindustan Lever has been pulled up for unfair trade practice by a consumer forum here which directed it to pay Rs 5.5 lakh to a purchaser of one of its detergents for not giving him Rs 5 lakh prize money according to a scheme launched by it.	Involved in Unfair Trade Practices	Principle 1 & 5	December 1, 2013	Business Standard	<a href="http://www.business-standard.com/article/companies/hindustan-lever-told-to-pay-rs-5-50-000-for-unfair-trade-practice-113120100037_1.html">http://www.business-standard.com/article/companies/hindustan-lever-told-to-pay-rs-5-50-000-for-unfair-trade-practice-113120100037_1.html</a>	Company was not available to comment	No
235	Hindustan Unilever Ltd.	FMCG	Over 200-300 workers of Hindustan Unilever, the country's largest consumer good company, protested outside the headquarters of the firm over what they said were low wages.	Labour strike & Protest	Principle 3 & 5	October 31, 2014	Business Standard	<a href="http://www.business-standard.com/article/companies/workers-of-hul-protest-over-wages-outside-head-office-114110100004_1.html">http://www.business-standard.com/article/companies/workers-of-hul-protest-over-wages-outside-head-office-114110100004_1.html</a>	Will look into the demands of employee	No
236	Hindustan Zinc Ltd.	Metal, Metal Products and Mining	A man from Gujarat has lodged a case of fraud against top officers of Hindustan Zinc Ltd for breach of agreement. Suthar held in his complaint that the company had breached the contract by giving the remaining work order to another firm, causing him a loss of around Rs 14 lakh.	Section 420 and 120 of the IPC.	Principle 1	July 13, 2014	The Times of India	<a href="http://timesofindia.indiatimes.com/city/jaipur/Gujarat-man-lodges-fraud-case-against-Hindustan-Zinc-officials/articleshow/38282188.cms">http://timesofindia.indiatimes.com/city/jaipur/Gujarat-man-lodges-fraud-case-against-Hindustan-Zinc-officials/articleshow/38282188.cms</a>	No response	No

237	Bajaj Auto Ltd.	Transport equipments	Bajaj Auto Ltd , maker of the Discover and Pulsarmotorbikes, is bracing for another face-off with workers at its factory in Chakan near Pune.	Labour Unrest	Principle 3 & 5	April 23, 2014	Live Mint	<a href="http://www.livemint.com/Home-Page/X2spyDXAMzKZvh58ZZ9LBP/Bajaj-braces-for-fresh-faceoff-with-workers.html">http://www.livemint.com/Home-Page/X2spyDXAMzKZvh58ZZ9LBP/Bajaj-braces-for-fresh-faceoff-with-workers.html</a>	No response	No
238	Adani Enterprises Ltd.	Diversified	The Central Bureau of Investigation (CBI) today arrested Rajesh S Adani, the Managing Director of the Rs 26,000 crore Adani Enterprises Ltd, on the charges of evasion of customs duty and undervaluation fraud tentatively pegged at Rs 1.7 crore in Goa.	Evasion of Custom Duty	Principle 1	January 3, 2013	Indian Express	<a href="http://archive.indianexpress.com/news/rajesh-adani-held-for-fraud-gets-bail/585294/">http://archive.indianexpress.com/news/rajesh-adani-held-for-fraud-gets-bail/585294/</a>	No response	No
239	Adani Enterprises Ltd.	Diversified	Adani Ports and SEZ has challenged the environment ministry's decision to impose penalties on it for environmental violations, setting the stage for a faceoff between the government and the company, possibly even a legal battle.	Environmental Issues	Principle 6 & 5	April 18, 2013	Down To Earth	<a href="http://www.downtoearth.org.in/news/adani-project-in-mundra-has-violated-environmental-norms-moef-committee-report-40853">http://www.downtoearth.org.in/news/adani-project-in-mundra-has-violated-environmental-norms-moef-committee-report-40853</a>	Adani Enterprises spokesperson said there is no noncompliance or violation of the terms	No



240	Kotak Mahindra Bank Ltd.	Finance	<p>The Reserve Bank of India (RBI) has penalised Kotak Mahindra Bank with fine of Rs 1.5 crore for violation of its instructions on Know Your Customer (KYC)/Anti Money Laundering (AML) norms. The Reserve Bank of India had carried out a scrutiny of books of accounts, internal control, compliance systems and processes of these banks at their offices during April.</p> <p>The scrutiny revealed violations such as non-adherence to certain aspects of KYC norms and AML guidelines like customer identification procedure, risk categorisation, periodical review of risk profiling of account holders and periodical KYC updation.</p>	Violation of norms of Foreign Exchange Management Act (FEMA)	Principle 1	July 15, 2013	The Hindu	<a href="http://www.thehindubusinessline.com/banking/rbi-fines-22-banks-for-violating-antimoney-laundering-norms/article4917197.ece">http://www.thehindubusinessline.com/banking/rbi-fines-22-banks-for-violating-antimoney-laundering-norms/article4917197.ece</a>	No response	No
241	Kotak Mahindra Bank Ltd.	Finance	<p>The Reserve Bank of India, imposed a monetary penalty on Kotak Mahindra Bank of Rs 10 Lakh for violating central bank rules in the case of Deccan Chronicle Holdings. The RBI said that it "came to the conclusion that some of the violations were substantiated and warranted imposition of monetary penalty</p>	Violation of its instructions on Know Your Customer (KYC)/Anti Money Laundering (AML) norms.	Principle 1	July 26, 2014	The Hindu	<a href="http://www.thehindu.com/business/Industry/rbi-slaps-fine-on-12-banks-in-deccan-chronicle-case/article6250013.ece">http://www.thehindu.com/business/Industry/rbi-slaps-fine-on-12-banks-in-deccan-chronicle-case/article6250013.ece</a>	No response by the company.	No
242	Punjab National Bank	Finance	<p>Nine Officials of PNB were penalised by the Central Vigilance Commission for their alleged involvement in illegal practices, whistle blowing practices. It has received a total of 1,697 complaints, including 205 from whistle-blowers, alleging corruption in the central government departments.</p>	Violation of central bank rules in the case of Deccan Chronicle Holdings.	Principle 1	January 27, 2012	Business Standard	<a href="http://www.business-standard.com/article/economy-policy/cvc-penalises-81-govt-officials-for-corruption-112012700121_1.html">http://www.business-standard.com/article/economy-policy/cvc-penalises-81-govt-officials-for-corruption-112012700121_1.html</a>	NO response	No

243	Punjab National Bank	Finance	Police have lodged an FIR against the AGM, LK Channa, under IPC 354-A (sexual harassment, physical contact, advances involving unwelcome and explicit sexual overtures) and 509 (Word, gesture or act intended to insult the modesty of a woman) at Collectorganj police station on the woman's complaint	Involved in Illegal practices	Principle 1 & 5	December 1, 2013	Archive	<a href="http://archive.india.nexpress.com/news/harassment-case-against-bank-official/1201742/">http://archive.india.nexpress.com/news/harassment-case-against-bank-official/1201742/</a>	CCTV Footage did not show any type of this issue	No
244	Punjab National Bank	Finance	The Reserve Bank of India (RBI) has penalised PNB with fine of Rs 2.5 crore for violation of its instructions on Know Your Customer (KYC)/Anti Money Laundering (AML) norms. The Reserve Bank of India had carried out a scrutiny of books of accounts, internal control, compliance systems and processes of these banks at their offices during April. The scrutiny revealed violations such as non-adherence to certain aspects of KYC norms and AML guidelines like customer identification procedure, risk categorisation, periodical review of risk profiling of account holders and periodical KYC updation.	Violation of its instructions on Know Your Customer (KYC)/Anti Money Laundering (AML) norms.	Principle 1 & 5	July 15, 2013	The Hindu	<a href="http://www.thehindubusinessline.com/banking/rbi-fines-22-banks-for-violating-antimoney-laundering-norms/article4917197.ece">http://www.thehindubusinessline.com/banking/rbi-fines-22-banks-for-violating-antimoney-laundering-norms/article4917197.ece</a>	No response	No

245	Punjab National Bank	Finance	Acting against listed firms without a mandatory woman director, regulator Sebi announced a minimum Rs 50,000 fine on them and warned of further action, including against promoters and directors, if they remain non-compliant beyond six months. As per data compiled by Prime Database, as many as 32 public sector firms have failed to comply and they include GAIL, ONGC, NTPC, SAIL, Punjab National Bank, Bharat Electronics, BPCL, Container Corp, Power Finance Corp and Rural Electrification Corporation, where the government incidentally sold 5 per cent stake today for Rs 1,550 crore.	violation of its instructions on Know Your Customer (KYC)/Anti Money Laundering (AML) norms.	Principle 1	April 8, 2015	Zee News	<a href="http://zeenews.india.com/business/news/companies/women-directors-erring-companies-to-face-minimum-rs-50-000-fine_123007.html">http://zeenews.india.com/business/news/companies/women-directors-erring-companies-to-face-minimum-rs-50-000-fine_123007.html</a>	No response by the company.	
246	Punjab National Bank	Finance	Government holds Punjab National Bank guilty of breaching IT Act, bank has been ordered to pay Rs 5.50 lakh as compensation to the complainant who was at the receiving end of the fraud. The complainant Bal Kishan Rai holds two pension accounts with the Punjab National Bank. On March 1 and 2, 2010, Rs9,67,320 were fraudulently transferred from both accounts via 45 fraudulent transactions to 14 different accounts held with the bank at different branches. On March 4, 2010, the complainant came to know about the fraud and immediately informed the bank. He also lodged a complaint at the Kharghar police station. Rs4,50,218 has been recovered.	Violation of provisions Companies Act 2013	Principle 1	December 2, 2014	Daily News Analysis	<a href="http://www.dnaindia.com/mumbai/report-government-holds-punjab-national-bank-guilty-of-breaching-it-act-orders-it-to-compensate-account-holder-2040067">http://www.dnaindia.com/mumbai/report-government-holds-punjab-national-bank-guilty-of-breaching-it-act-orders-it-to-compensate-account-holder-2040067</a>	Said it is the fault of the customer and not of the Bank	No

247	Punjab National Bank	Finance	Delhi-based Punjab National Bank (PNB) had 123 cases of fraud totalling Rs 2,036 crore, with PNB reporting the maximum number of such instances	Breached IT Act norms	Principle 1	March 22, 2015	Economic Times	<a href="http://articles.economictimes.indiatimes.com/2015-03-22/news/60369470_1_uco-bank-fraud-cases-commerce">http://articles.economictimes.indiatimes.com/2015-03-22/news/60369470_1_uco-bank-fraud-cases-commerce</a>	No response	No
248	Bank of Baroda	Finance	The Reserve Bank of India (RBI) has penalised BoB with fine of Rs 3 crore for violation of its instructions on Know Your Customer (KYC)/Anti Money Laundering (AML) norms. The Reserve Bank of India had carried out a scrutiny of books of accounts, internal control, compliance systems and processes of these banks at their offices during April. The scrutiny revealed violations such as non-adherence to certain aspects of KYC norms and AML guidelines like customer identification procedure, risk categorisation, periodical review of risk profiling of account holders and periodical KYC updation	Fraud	Principle 1	July 15, 2013	The Hindu	<a href="http://www.thehindubusinessline.com/banking/rbi-fines-22-banks-for-violating-antimoney-laundering-norms/article4917197.ece">http://www.thehindubusinessline.com/banking/rbi-fines-22-banks-for-violating-antimoney-laundering-norms/article4917197.ece</a>	No response	No
249	Bank of Baroda	Finance	The two officials of a local branch of Bank of Baroda have been booked based on the complaint of Satpal Singh, a resident of Jalandhar, who has alleged that they in connivance with four local firms advanced a loan of Rs 3.6 crore using fake documents of his land for mortgage purposes.	violation of its instructions on Know Your Customer (KYC)/Anti Money Laundering (AML) norms.	Principle 1 & 9 & 5	November 29, 2013	India TV	<a href="http://www.indiatvnews.com/news/india/bank-officials-booked-for-fraud-and-criminal-conspiracy-25849.html">http://www.indiatvnews.com/news/india/bank-officials-booked-for-fraud-and-criminal-conspiracy-25849.html</a>	No response	No
250	Bank of Baroda	Finance	Bank of Baroda fined Rs 25 Lakh by RBI over violations of customer protection rules that allowed fraudsters to cash fake cheques and postal orders	Fraud & Criminal Conspiracy	Principle 1 & 5	December 18, 2014	Business Today	<a href="http://businesstoday.intoday.in/story/rbi-fines-icici-bank-of-baroda-over-fake-customers-kyc-norms/1/213624.html">http://businesstoday.intoday.in/story/rbi-fines-icici-bank-of-baroda-over-fake-customers-kyc-norms/1/213624.html</a>	banks were not immediately available for comment	No

251	Bank of Baroda	Finance	The Anti-Corruption Bureau (ACB) of CBI on Friday arrested an assistant general manager of Bank of Baroda while accepting a bribe of Rs 50,000 in exchange of passing a bill of an asset recovery management company.	Bribery Case	Principle 1	March 21, 2015	ZEE News	<a href="http://zeenews.india.com/news/maharashtra/cbi-nabs-bank-of-baroda-official-for-graft-in-mumbai_1565517.html">http://zeenews.india.com/news/maharashtra/cbi-nabs-bank-of-baroda-official-for-graft-in-mumbai_1565517.html</a>	No response	No
252	Axis Bank	Finance	The banks allegedly offered to accept black money and invest it in insurance products and other bank services. They also offered lockers to stash the black money. The banks allegedly offered to accept black money and invest it in insurance products and other bank services. They also offered lockers to stash the black money. Cobrapost claims the banks offered to open accounts without adhering to RBI guidelines and use 'benami' accounts to facilitate the conversion of the black money and keep the identity of the depositor a secret.	Bribery Case	Principle 1 & 5	March 14, 2013	NDTV	<a href="http://www.ndtv.com/india-news/money-laundering-by-big-banks-alleges-cobrapost-banks-deny-charges-516170">http://www.ndtv.com/india-news/money-laundering-by-big-banks-alleges-cobrapost-banks-deny-charges-516170</a>	The bank involved have denied the accusations.	NO
253	Axis Bank Ltd.	Finance	The Reserve Bank of India (RBI) penalised the country's top private lender Axis Bank – for violating its instructions on know-your-customer (KYC) and anti-money laundering (AML) guidelines. Axis Bank has been fined with Rs 5 crore. RBI carried out a scrutiny of the books of accounts, internal control, compliance systems and processes of these the bank following allegations that these lenders were running a money-laundering racket in India.	Involved in Money Laundering practices and Violation of its instructions on Know Your Customer (KYC)/Anti Money Laundering (AML) norms.	Principle 1	June 10, 2013	Business Standard	<a href="http://www.business-standard.com/article/finance/rbi-fines-axis-hdfc-icici-banks-113061000612_1.html">http://www.business-standard.com/article/finance/rbi-fines-axis-hdfc-icici-banks-113061000612_1.html</a>	bank didnt commented on the fines imposed by RBI	NO

254	Axis Bank Ltd.	Finance	<p>Axis Bank employees allegedly forged signature of its account holder.</p> <p>Purvi Sanghvi, an account holder at Axis Bank's Juhu branch alleges that bank employees forged signatures on locker documents and refused to accept her complaint until she approached the police.</p> <p>She alleges that her signature on a bank locker agreement has been forged by Axis Bank employees of the Juhu branch in Mumbai, where she holds an account.</p>	Forgery	Principle 1 & 5	December 4, 2013	Money Life	<a href="http://www.moneylife.in/article/axis-bank-employees-forged-signature-of-its-account-holder/35524.html">http://www.moneylife.in/article/axis-bank-employees-forged-signature-of-its-account-holder/35524.html</a>	<p>Axis Bank was clearly eager to pay the compensation and close the matter, it also asked her if she wanted to surrender the locker. Except this, bank has not taken any serious actions against malpractices going on inside the bank.</p>	NO
255	Axis Bank Ltd.	Finance	<p>The Reserve Bank of India, imposed a monetary penalty on Axis Bank of Rs 15 Lakh for violating central bank rules in the case of Deccan Chronicle Holdings. The RBI said that it "came to the conclusion that some of the violations were substantiated and warranted imposition of monetary penalty</p>	Violation of central bank rules in the case of Deccan Chronicle Holdings.	Principle 1	July 26, 2014	The Hindu	<a href="http://www.thehindu.com/business/Industry/rbi-slaps-fine-on-12-banks-in-deccan-chronicle-case/article6250013.ece">http://www.thehindu.com/business/Industry/rbi-slaps-fine-on-12-banks-in-deccan-chronicle-case/article6250013.ece</a>	No response	No

256	Axis Bank Ltd.	Finance	The Delhi police has filed an FIR against Axis Bank, its nine senior executives and 14 board members for an alleged fraud estimated to be around Rs 20 crore. Golf has claimed that Axis Bank without its authority released Rs 20 crore from its loan account of Rs 50 crore to its current account. "...From that current account, on the strength of a forged letter of instruction dated December 31, 2012, (the bank) transferred a sum of Rs 19.89 crore to some other party's account maintained with that bank. The transfer was done in order to liquidate some liabilities of that other party," it said. In the complaint, the company has also asked for Rs 167 crore in damages and compensation from the bank.	Fraud	Principle 1	October 9, 2014	Business Standard	<a href="http://www.business-standard.com/article/finance/police-files-fir-against-axis-bank-in-fraud-case-114100900020_1.html">http://www.business-standard.com/article/finance/police-files-fir-against-axis-bank-in-fraud-case-114100900020_1.html</a>	the bank is taking suitable action in this regard.	NO
257	Axis Bank Ltd.	Finance	Delhi police files FIR against Axis Bank CEO, directors & senior officials. Based on an allegation by Golf Technologies, a Magistrate's Court directed Delhi Police to issue notices to Axis Bank's CEO and its top officials with regard to a fraudulent transfer of Rs19.89 crore from its term loan account to that of Tulip Telecom.	Bank officials forged signature of its director to release Rs19.89 crore from the company's term loan account to current account of a third party	Principle 1	December 7, 2014	Money Life	<a href="http://www.moneylife.in/article/delhi-police-files-fir-against-axis-bank-ceo-directors-senior-officials/39031.html">http://www.moneylife.in/article/delhi-police-files-fir-against-axis-bank-ceo-directors-senior-officials/39031.html</a>	The matter is being reviewed by the legal team.	NO
258	Axis Bank Ltd.	Finance	Axis Bank fined by RBI over violations of customer protection rules that allowed fraudsters to cash fake cheques and postal orders.	Non compliance of "know-your-customer" rules or (KYC norms),	Principle 1, 9 & 5	December 18, 2014	Business Today	<a href="http://businesstoday.intoday.in/story/rbi-fines-icici-bank-of-baroda-over-fake-customers-kyc-norms/1/213624.html">http://businesstoday.intoday.in/story/rbi-fines-icici-bank-of-baroda-over-fake-customers-kyc-norms/1/213624.html</a>	banks were not immediately available for comment	No

259	ICICI Bank Ltd.	Finance	A local court has ordered registration of a criminal case against 18 officials of ICICI Bank. Nithyananda in his complaint had alleged that the officials were using force against him and that they were threatening to defame him, if an amount of Rs 37,042 was not paid. He also alleged that his credit card was stolen by a former bank employee, who had misused it.	Violation of norms of Foreign Exchange Management Act (FEMA)	Principle 1	March 30, 2011	Deccan Herald	<a href="http://www.deccanherald.com/content/149969/court-orders-filing-case-against.html">http://www.deccanherald.com/content/149969/court-orders-filing-case-against.html</a>	No response	No
260	ICICI Bank Ltd.	Finance	ICICI Bank has been held "grossly negligent" by a consumer forum in New Delhi for allowing withdrawal of Rs.1.25 lakh from a customer's account without permission, and directed to return him the amount along with compensation of Rs.50,000.	Criminal case	Principle 1	February 13, 2013	Live Mint	<a href="http://www.livemint.com/Politics/NFmRUclC7zHp1QrZfqALP/ICICI-Bank-to-pay-Rs175-lakh-for-customers-loss-due-to-fra.html">http://www.livemint.com/Politics/NFmRUclC7zHp1QrZfqALP/ICICI-Bank-to-pay-Rs175-lakh-for-customers-loss-due-to-fra.html</a>	No response	No
261	ICICI Bank Ltd.	Finance	The banks allegedly offered to accept black money and invest it in insurance products and other bank services. They also offered lockers to stash the black money. The banks allegedly offered to accept black money and invest it in insurance products and other bank services. They also offered lockers to stash the black money. Cobrapost claims the banks offered to open accounts without adhering to RBI guidelines and use 'benami' accounts to facilitate the conversion of the black money and keep the identity of the depositor a secret.	Fraud	Principle 1	March 14, 2013	NDTV	<a href="http://www.ndtv.com/india-news/money-laundering-by-big-banks-alleges-cobrapost-banks-deny-charges-516170">http://www.ndtv.com/india-news/money-laundering-by-big-banks-alleges-cobrapost-banks-deny-charges-516170</a>	The bank involved have denied the accusations.	NO



262	ICICI Bank Ltd.	Finance	The Reserve Bank of India (RBI) penalised the country's top private lender ICICI Bank – for violating its instructions on know-your-customer (KYC) and anti-money laundering (AML) guidelines. ICICI Bank been fined with Rs 1 crore. RBI carried out a scrutiny of the books of accounts, internal control, compliance systems and processes of these the bank following allegations that these lenders were running a money-laundering racket in India.	for violating its instructions on know-your-customer (KYC) and anti-money laundering (AML) guidelines	Principle 1	June 10, 2013	Business Standard	<a href="http://www.business-standard.com/article/finance/rbi-fines-axis-hdfc-icici-banks-113061000612_1.html">http://www.business-standard.com/article/finance/rbi-fines-axis-hdfc-icici-banks-113061000612_1.html</a>	bank didnt commented on the fines imposed by RBI	NO
263	ICICI Bank Ltd.	Finance	The Reserve Bank of India, imposed a monetary penalty of Rs 40 Lakh for violating central bank rules in the case of Deccan Chronicle Holdings. The RBI said that it “came to the conclusion that some of the violations were substantiated and warranted imposition of monetary penalty	Violation of instructions on non-adherence of safeguards on issuing ‘at par’ cheques through co-operative banks, non adhere to certain aspects of KYC and AML norms.	Principle 1	July 26, 2014	The Hindu	<a href="http://www.thehindu.com/business/Industry/rbi-slaps-fine-on-12-banks-in-deccan-chronicle-case/article6250013.ece">http://www.thehindu.com/business/Industry/rbi-slaps-fine-on-12-banks-in-deccan-chronicle-case/article6250013.ece</a>	NO response	No
264	ICICI Bank Ltd.	Finance	ICICI Bank fined Rs 50 Lakh by RBI over violations of customer protection rules that allowed fraudsters to cash fake cheques and postal orders	Violated central bank rules in the case of Deccan Chronicle Holdings.	Principle 1	December 17, 2014	Business Today	<a href="http://profit.ndtv.com/news/corporates/article-rbi-fines-icici-bank-of-baroda-over-know-your-customer-norms-714506">http://profit.ndtv.com/news/corporates/article-rbi-fines-icici-bank-of-baroda-over-know-your-customer-norms-714506</a>	banks were not immediately available for comment	No

265	ICICI Bank Ltd.	Finance	The manager of ICICI Bank's Vasai branch has been arrested in a cheating and forgery case involving over Rs 10 crore, he used a cash-credit facility to siphon off the funds and could be part of a larger conspiracy	Involved in fraudulent transactions like creating a loan account against fixed deposit, transfer of money from that account to beneficiaries	Principle 1	March 7, 2015	The Times Of India	<a href="http://timesofindia.indiatimes.com/city/mumbai/Vasai-bank-manager-held-for-10cr-fraud/articleshow/46488539.cms">http://timesofindia.indiatimes.com/city/mumbai/Vasai-bank-manager-held-for-10cr-fraud/articleshow/46488539.cms</a>	No response	No
266	HDFC Bank Ltd.	Finance	Credit card complaints against HDFC. Complaints have been received from customers on issues, including those on undue penal charges, late payment charges, issue of unsolicited cards and harassing telephone calls among others.	Grievances and Complaints	Principle 9 & 5	December 14, 2012	The Indian Express	<a href="http://archive.indianexpress.com/news/credit-card-complaints-against-sbi-icici-bank-hdfc-govt/1045338/">http://archive.indianexpress.com/news/credit-card-complaints-against-sbi-icici-bank-hdfc-govt/1045338/</a>	RBI has advised banks to adopt adequate safeguards and implement the guidelines in order to ensure that their credit card operations are run in a sound and customer-friendly manner	No
267	HDFC Bank Ltd.	Finance	Mohanty allegedly created a fake cheque of Rs 98.5 Lakh and forged the signature of the company's authorized signatory, encashing the whopping amount from HDFC's main branch.	Banking Fraud Case	Principle 1 & 5	February 6, 2013	The Times of India	<a href="http://timesofindia.indiatimes.com/city/bhubaneswar/Rs-98-5-lakh-fraud-HDFC-banker-in-police-net/articleshow/18360055.cms">http://timesofindia.indiatimes.com/city/bhubaneswar/Rs-98-5-lakh-fraud-HDFC-banker-in-police-net/articleshow/18360055.cms</a>	No response	No

268	HDFC Bank Ltd.	Finance	The banks allegedly offered to accept black money and invest it in insurance products and other bank services. They also offered lockers to stash the black money. The banks allegedly offered to accept black money and invest it in insurance products and other bank services. They also offered lockers to stash the black money. Cobrapost claims the banks offered to open accounts without adhering to RBI guidelines and use 'benami' accounts to facilitate the conversion of the black money and keep the identity of the depositor a secret.	Involved in Money Laundering Practices	Principle 9 & 5	March 14, 2013	NDTV	<a href="http://www.ndtv.com/india-news/money-laundering-by-big-banks-alleges-cobrapost-banks-deny-charges-516170">http://www.ndtv.com/india-news/money-laundering-by-big-banks-alleges-cobrapost-banks-deny-charges-516170</a>	The bank involved have denied the accusations.	NO
269	HDFC Bank Ltd.	Finance	The Reserve Bank of India (RBI) penalised the country's top private lender HDFC Bank – for violating its instructions on know-your-customer (KYC) and anti-money laundering (AML) guidelines. HDFC Bank has been fined with Rs 4.5 crore. RBI carried out a scrutiny of the books of accounts, internal control, compliance systems and processes of the bank following allegations that these lenders were running a money-laundering racket in India.	Bank violated instructions on non-adherence of safeguards on issuing 'at par' cheques through co-operative banks and did not adhere to certain aspects of KYC and AML norms.	Principle 1	June 10, 2013	Business Standard	<a href="http://www.business-standard.com/article/finance/rbi-fines-axis-hdfc-icici-banks-113061000612_1.html">http://www.business-standard.com/article/finance/rbi-fines-axis-hdfc-icici-banks-113061000612_1.html</a>	bank didnt commented on the fines imposed by RBI	NO
270	HDFC Bank Ltd.	Finance	The Reserve Bank of India, imposed a monetary penalty of Rs 5 Lakh for violating central bank rules in the case of Deccan Chronicle Holdings. The RBI said that it "came to the conclusion that some of the violations were substantiated and warranted imposition of monetary penalty	Violated central bank rules in the case of Deccan Chronicle Holdings.	Principle 1	July 26, 2014	The Hindu	<a href="http://www.thehindu.com/business/Industry/rbi-slaps-fine-on-12-banks-in-deccan-chronicle-case/article6250013.ece">http://www.thehindu.com/business/Industry/rbi-slaps-fine-on-12-banks-in-deccan-chronicle-case/article6250013.ece</a>	No response by the company.	No

271	HDFC Bank Ltd.	Finance	HDFC Bank to pay Rs 50,000 compensation for 'harassing' consumer, a complaint of harassment against HDFC bank made by an army officer for allegedly unilaterally making him a co-borrower in his son's car loan and putting his account on hold.	Harassment	Principle 3 & 5	September 16, 2014	The Indian Express	<a href="http://archive.indianexpress.com/news/launch-police-probe-against-hdfc-bank-forum/952485/">http://archive.indianexpress.com/news/launch-police-probe-against-hdfc-bank-forum/952485/</a>	Accepted their fault	No
272	HDFC Bank Ltd.	Finance	The Punjab Commission for Non-Resident Indians (NRIs) issued notice to the HDFC Bank after a Britain-based NRI alleged that an amount of Rs.70 lakh was siphoned off from his account allegedly by an official of the private bank	Cheating, forgery and fraud	Principle 1 & 5	November 5, 2014	Business Standard	<a href="http://www.business-standard.com/article/news-ians/punjab-nri-commission-slaps-notice-on-hdfc-bank-for-fraud-114110501211_1.html">http://www.business-standard.com/article/news-ians/punjab-nri-commission-slaps-notice-on-hdfc-bank-for-fraud-114110501211_1.html</a>	lack of any response	No
273	State Bank Of India	Finance	Complaints increased with maximum grievances against SBI, as many as 3,599 complaints were registered with Banking Ombudsman (BO). The number of complaints regarding cash withdrawal through fraudulent means from ATMs also saw a significant jump	Compliance issues	Principle 1	November 9, 2011	Hindustan Times	<a href="http://www.hindustantimes.com/business/bankinginsurance/maximum-complaints-against-sbi-group-banking-ombudsman/article1-766985.aspx">http://www.hindustantimes.com/business/bankinginsurance/maximum-complaints-against-sbi-group-banking-ombudsman/article1-766985.aspx</a>	Lack of response	No
274	State Bank Of India	Finance	Four Officials of SBI were penalised by the Central Vigilance Commission for their alleged involvement in illegal practices, were also penalised by the anti-corruption watchdog for their alleged involvement in corruption	Involved in corruption	Principle 1	January 27, 2012	Business Standard	<a href="http://www.business-standard.com/article/economy-policy/cvc-penalises-81-govt-officials-for-corruption-112012700121_1.html">http://www.business-standard.com/article/economy-policy/cvc-penalises-81-govt-officials-for-corruption-112012700121_1.html</a>	NO response	No

275	State Bank Of India	Finance	The Reserve Bank of India has imposed a penalty of Rs. 5.62 lakh on State Bank of India for violation of the terms of agreement for opening and maintaining currency chests. The penalty has been levied in connection with the deficiencies/lapses observed in the operation and maintenance of the Currency Chest at SBI	violation of the terms of agreement	Principle 1	August 7, 2013	The Hindu	<a href="http://www.thehindubusinessline.com/banking/rbi-imposes-rs-562lakh-penalty-on-sbi/article4999185.ece?ref=relatedNews">http://www.thehindubusinessline.com/banking/rbi-imposes-rs-562lakh-penalty-on-sbi/article4999185.ece?ref=relatedNews</a>	No Response	No
276	State Bank Of India	Finance	A field officer of the State Bank of India, Markarpuram branch, Prakasam district was arrested for allegedly demanding and accepting a bribe of Rs 5000 from the complainant.	Involved in Bribery	Principle 1 & 5	December 18, 2014	The Times of India	<a href="http://timesofindia.indiatimes.com/city/pune/CBI-arrests-field-officer-of-State-Bank-of-India-for-bribery/articleshow/45560538.cms">http://timesofindia.indiatimes.com/city/pune/CBI-arrests-field-officer-of-State-Bank-of-India-for-bribery/articleshow/45560538.cms</a>	No Response	No
277	State Bank Of India	Finance	Former minister and the then staff of State Bank of India was booked for alleged fraud of Rs 5.73 crore. It was alleged that during 2011-2013, the former minister, a resident of Narsapur in West Godavari district, had connived with the bank officials and availed loans for 22 fish tank under KCC (Kisan Credit Card) for pisciculture in his name and in the names of his wife, relatives and close associates by submitting fake and fabricated annual agricultural income certificates (purportedly issued by revenue authorities), fake/forged lease agreement documents and routed the entire sanctioned amount to his Savings Bank account maintained with State Bank of India, Narsapur branch.	Fraud	Principle 1	March 6, 2015	The New Indian Express	<a href="http://www.newindianexpress.com/states/andhra_pradesh/Former-AP-Minister-Kothapally-Subbarayudu-SBI-Staff-Booked-for-Rs-5-Crore-Fraud/2015/03/06/article2700492.ece">http://www.newindianexpress.com/states/andhra_pradesh/Former-AP-Minister-Kothapally-Subbarayudu-SBI-Staff-Booked-for-Rs-5-Crore-Fraud/2015/03/06/article2700492.ece</a>	No Response	No

278	Mahindra and Mahindra Ltd.	Transport equipments	Capital market regulator Sebi today imposed fines on two employees of Mahindra & Mahindra for trading in the automaker's shares during restricted periods. the Securities and Exchange Board of India (Sebi) has imposed a penalty of Rs 2 lakh on Gopalan Murali and Rs 4 lakh on Pavan Kumar Sodani -- both designated employees of Mahindra & Mahindra -- for violation of 'prohibition of insider trading' regulations.	Prohibition of Insider Trading Regulations	Principle 1	August 7, 2014	Business Standard	<a href="http://www.business-standard.com/article/pti-stories/sebi-imposes-fines-on-two-m-m-employees-for-insider-trading-114080701122_1.html">http://www.business-standard.com/article/pti-stories/sebi-imposes-fines-on-two-m-m-employees-for-insider-trading-114080701122_1.html</a>	No response	NO
279	Mahindra and Mahindra Ltd.	Transport equipments	Sebi imposed a fine of Rs 2 lakh on a general manager of Mahindra & Mahindra for selling 644 shares of M&M during the silent period — the 'no transaction period' that precedes events such as quarterly results and annual general meetings	Prohibition of Insider Trading Regulations	Principle 1	August 22, 2014	The Economic Times	<a href="http://articles.economictimes.indiatimes.com/2014-08-22/news/53112852_1_insider-trading-regulations-wipro-shares-saurabh-govilv">http://articles.economictimes.indiatimes.com/2014-08-22/news/53112852_1_insider-trading-regulations-wipro-shares-saurabh-govilv</a>	No Response	NO
280	Mahindra and Mahindra Ltd.	Transport equipments	The Competition Commission slapped a penalty of Rs. 292.25 crore on Mahindra & Mahindra for violating trade norms in the spare parts and after services market. The decision, spelt out in a 215-page order, says that auto companies indulged in anti-competitive practices as they did not make genuine spare parts freely available in the open market, upholding the contentions of a petition filed by a complainant in 2011.	Violation of competition norms with respect to its agreements with local Original Equipment Suppliers (OESs)	Principle 1	August 26, 2014	Economic Times	<a href="http://economictimes.indiatimes.com/industry/auto/automobiles/ci-imposes-rs-2545-crore-penalty-on-14-car-makers-including-maruti-suzuki-tata-motors/articleshow/40871116.cms">http://economictimes.indiatimes.com/industry/auto/automobiles/ci-imposes-rs-2545-crore-penalty-on-14-car-makers-including-maruti-suzuki-tata-motors/articleshow/40871116.cms</a>	Auto major Mahindra & Mahindra today said it would challenge the trade regulator CCI's penalty of Rs 292.25 crore imposed on it for violation of trade norms in the spare parts and after services market	No

281	Mahindra and Mahindra Ltd.	Transport equipments	A workers' strike at Mahindra & Mahindra's (M&M) Nashik plant over wage negotiations which started on Tuesday, has hit production of about 500 vehicles. The company has had a production loss of around 500 vehicles till date, which currently will have no immediate impact on sales as it has three weeks stock in the pipeline,	Labour strike	Principle 3 & 5	March 7, 2015	The Hindu	<a href="http://www.thehindubusinessline.com/companies/strike-at-mahindra-nashik-plant-hits-production/article4484306.ece">http://www.thehindubusinessline.com/companies/strike-at-mahindra-nashik-plant-hits-production/article4484306.ece</a>	No Response	No
282	Power Finance Corporation Ltd.	Finance	Acting against listed firms without a mandatory woman director, regulator Sebi on Wednesday announced a minimum Rs 50,000 fine on them and warned of further action, including against promoters and directors, if they remain non-compliant beyond six months. As per data compiled by Prime Database, as many as 32 public sector firms have failed to comply and they include GAIL, ONGC, NTPC, SAIL, Punjab National Bank, Bharat Electronics, BPCL, Container Corp, Power Finance Corp and Rural Electrification Corporation.	Violation of provisions of Companies Act 2013	Principle 1	April 8, 2015	Zee News	<a href="http://zeenews.india.com/business/news/companies/women-directors-erring-companies-to-face-minimum-rs-50-000-fine_123007.html">http://zeenews.india.com/business/news/companies/women-directors-erring-companies-to-face-minimum-rs-50-000-fine_123007.html</a>	NO response	No

283	IDFC Ltd.	Finance	A portfolio investment of the IIF is GMR Kamalanga Energy Limited (GKEL), a part of the GMR Group. Kamalanga Energy is a special purpose vehicle set up by GMR Energy Limited to develop and operate a 1400 MW coal based power plant near Kamalanga village in Dhenkanal, a district of Odisha state. In April 2011, Odisha Chas Parivesh Surekhsa Parishad together with the Delhi Forum, filed a complaint with the CAO on behalf of people affected by the project. The complaint voices concerns about disclosure of project information and transparency about potential environmental and social risks and impacts of Kamalanga Energy in Odisha, and IFC's financing role.	Lack of transparency regarding potential environmental and social risk in the project.	Principle 6 & 5	April 15, 2011	Compliance Advisor Ombudsman	<a href="http://www.cao-ombudsman.org/cases/case_detail.aspx?id=165">http://www.cao-ombudsman.org/cases/case_detail.aspx?id=165</a>	In May 2012, the complainants and the company expressed their commitment to addressing the concerns in the complaint through a dialogue process facilitated by CAO	NO
284	Oil India Ltd.	Oil and Gas	NO VIOLATIONS FOUND							
285	Tata Consultancy Services Ltd.	Information Technology	NO VIOLATIONS FOUND							
286	Housing Development Finance Corp. Ltd.	Finance	NO VIOLATIONS FOUND							
287	Titan Industries Ltd.	Consumer Durables	NO VIOLATIONS FOUND							
288	United Breweries Ltd.	Finance	NO VIOLATIONS FOUND							
289	Shriram Transport Finance Co. Ltd.	Finance	NO VIOLATIONS FOUND							
290	Castrol India Ltd.	Oil and Gas	NO VIOLATIONS FOUND							
291	Oracle Financial Services Software Ltd.	Information Technology	NO VIOLATIONS FOUND							



292	ABB Ltd.	Capital Goods	NO VIOLATIONS FOUND								
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**\*Response compiled from articles on date of publication and company website as on date**